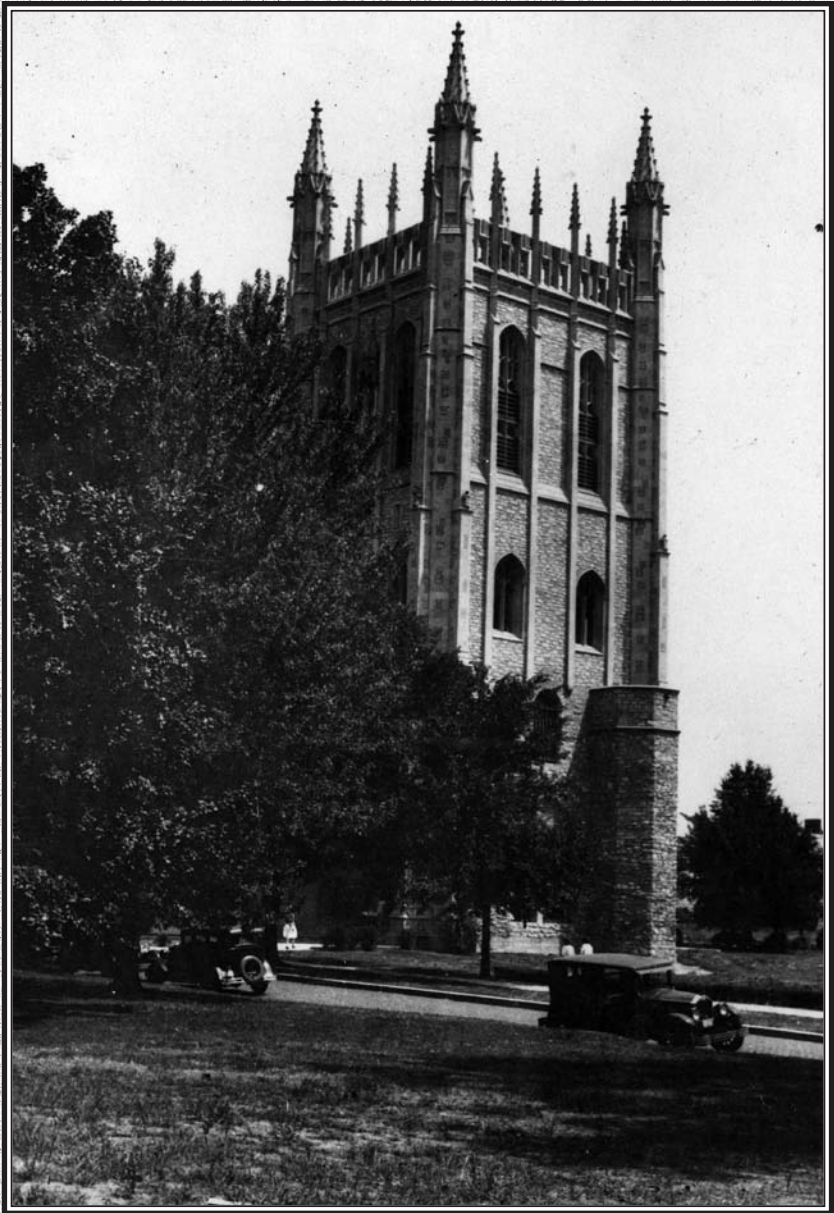


CHAPTER 2

# Executive Branch



Memorial Tower, University of Missouri, ca. 1925  
*Danzero Photograph Collection*  
Special Collections, Missouri State University



# Jeremiah W. (Jay) Nixon

## Governor

Elected November 4, 2008  
Term expires January 2013



JAY NIXON (Democrat) is serving his first term as governor of Missouri. Garnering the highest margin of victory for a non-incumbent governor in 44 years, Nixon was overwhelmingly elected by Missourians as their 55th governor on Nov. 4, 2008, to lead the state in a new direction.

Governor Nixon has put forward an agenda to make government more efficient, effective and responsive to the needs of Missouri families. He is committed to attracting the jobs of the future to Missouri, making health care more affordable and placing a college education within reach for middle-class students.

As he did while serving in the state Senate and during his four terms as attorney general, Governor Nixon is reaching across the aisle to put Missouri families first. During his first legislative session as governor in 2009, Nixon successfully worked with the Republican-controlled legislature to pass his comprehensive jobs bill to put Missourians back to work in the face of a 25-year high in unemployment. The legislature also passed *Caring for Missourians*, an initiative by Governor Nixon that will enable Missouri's public colleges and universities to graduate an additional 900 professionals in high-demand health care fields each year.

The General Assembly also made permanent the reforms Governor Nixon enacted during his first week in office when he opened up every license fee office in Missouri for competitive bid, a move that ended a decades-long system of political patronage.

Governor Nixon has made a strong public education system one of his chief priorities. During his first year in office, Missouri's public elementary and secondary schools received a record amount of funding. Similarly, even as other states were cutting funding for their universities and raising tuition by double digits, Governor Nixon reached a historic agreement to freeze tuition rates for the 2009–2010 school year and keep funding for those schools stable.

Prior to becoming Governor, Jay Nixon was elected to a record four terms as Missouri's attorney general, beginning in 1992. Inheriting an office tainted by scandal, Nixon restored integrity to the Attorney General's Office by cleaning

up corruption, cracking down on crime, and protecting consumers and the environment. Under his leadership, the Attorney General's Office became one of the most efficient and effective in state government.

As attorney general, Nixon earned a reputation for taking on the toughest fights and winning. Nixon himself argued before the United States Supreme Court in *Nixon v. Shrink*, a landmark victory that reinstated Missouri's campaign contribution limits and cleared the way nationally for campaign finance reform. His lawsuit against the big tobacco companies continues to collect billions of dollars for Missourians, and his settlements with the insurance industry and hospitals led to the formation of two of the largest health care foundations in state history. One of Nixon's most successful programs, Missouri's popular No-Call List, has become a model for states across the nation to stop unwanted telemarketing calls.

A native of De Soto, Jay Nixon was raised in a family of public servants. His mother, the late Betty Nixon, was a teacher and served as president of the local school board. His father, Jerry Nixon, was elected mayor of De Soto and was a judge for the community.

Growing up in a home with these strong examples, Nixon learned at a young age that faith and family come first – and giving back to the community comes next. It is a philosophy that has guided him throughout his career in public service.

After earning both his undergraduate and law degrees from the University of Missouri-Columbia, Nixon returned to De Soto to practice as an attorney. In 1986, he was elected to his first term in the Missouri Senate, where he would represent the people of Jefferson County for six years. As a State Senator, Nixon reached across the aisle to pass several major pieces of legislation, including an expansion of pre-natal care for expectant mothers.

Governor Nixon and his wife, Georganne Wheeler Nixon, have two sons, Jeremiah and Willson. They belong to the First United Methodist Church in Jefferson City.



# Office of Governor

State Capitol  
 Jefferson City 65102  
 Telephone: (573) 751-3222 / FAX: (573) 751-1588  
 www.gov.mo.gov

## Qualifications

The chief executive officer of the state of Missouri must be at least 30 years old, a U.S. citizen for at least 15 years and a resident of Missouri for at least 10 years before being elected governor.

The governor is elected to a four-year term during the same year as a presidential election and may seek re-election to a second four-year term. No person may hold the office for more than two terms.

## Responsibilities

The governor appoints the members of all boards and commissions, all department heads in state government and fills all vacancies in public offices unless otherwise provided by law. The board members of Missouri's state universities and colleges are appointed by the governor. The governor also selects the members of the Supreme and Appellate Courts of Missouri from names submitted by the State Judicial Commission. The governor appoints members to the state's six urban election boards, two police boards and the Board of Probation and Parole. Most appointments require the advice and consent of the Senate.

The governor addresses the General Assembly on the state of government and recommends changes or other actions to be taken. A budget is submitted by the governor to the General Assembly within 30 days after the assembly convenes. The budget contains the governor's estimates of available state revenues and an itemized plan for proposed expenditures.

All bills passed by both houses of the legislature are submitted to the governor for consideration. The governor must return the legislation to the house of its inception within 15 days after receiving it. The governor may either approve a bill, making it law, or return it to the legislature with objections. When the legislature is adjourned, the governor has 45 days in which to consider a bill.



JOHN WATSON  
 Chief of Staff



VIOLA SCHAEFER  
 Assistant to the Governor



DEBBIE BRUNNER  
 Assistant to the First Lady



MARY E. NELSON  
 Director of Boards and Commissions



MAYME MILLER  
 Director of Constituent Services



JACK CARDETTI  
 Director of Communications

The governor may object to one or more items or portions of items of an appropriations bill while approving other items or portions of the appropriations bill. Upon signing the appropriations bill, appended to it is a statement of the items or portions of items to which there are objections and such items or portions will not take effect. The governor may control the rate of expenditure in other areas whenever the actual revenues are less than the revenue estimates upon which the appropriations were based.

## Additional Duties and Powers

The governor performs many other duties assigned by constitution, statute or custom. For example, the governor issues writs of election



EDWARD R. ARDINI  
 Chief Counsel



DUSTIN ALLISON  
 Deputy Chief of Staff



NIKKI LOETHEN  
 Deputy Counsel



DANIEL HALL  
 Director of Legislative Affairs



KRISTY MANNING  
 Deputy Director of Legislative Affairs



TIFFANY BAYER  
 Mansion Director



NANCY JOHNSTON  
 Director of Performance Reviews



JEFF HARRIS  
 Director of Policy



SCOTT HOLSTE  
 Press Secretary



BRENT BAYER  
 Director of Scheduling



REX BURLISON  
 Director, St. Louis Office

to fill vacancies in either house of the General Assembly. The governor also has the power to grant reprieves, commutations and pardons, but this does not include the power to parole.

In addition to other duties, the governor is a member of a number of boards and commissions, such as the Board of Public Buildings and the State Board of Fund Commissioners.

Moreover, the governor is the conservator of peace throughout Missouri and is commander-in-chief of the state's militia. The militia may be called out to execute laws, suppress threats of danger to the state, and prevent and repel invasion.

The governor has the authority to remit fines and forfeitures when it is believed that an injustice was done or great hardship was suffered by the defendant.

## Executive Department

The executive department consists of all state elective and appointive employees, except those of the legislative and judicial departments.



Governor's Mansion

### Missouri Governor's Mansion

The Missouri Governor's Mansion serves as the official residence of Governor Jay Nixon, First Lady Georganne Nixon, and their sons, Jeremiah and Willson. The first family extends an invitation to all Missourians to tour "the people's house," which each year hosts approximately 60,000 visitors.

First occupied by Governor G. Gratz Brown and his family in 1872, this stately three-story brick building is one of the oldest and most beautifully restored governors' homes in the United States. Built and finished in the Renaissance Revival style, the entry consists of an imposing portico with four dignified pink granite columns. A Victorian atmosphere greets visitors as they enter the great hall with its 17-foot ceilings, a rare free-flowing staircase of solid walnut, and one of the best collections of period furnishings in the country. Missouri First Ladies' portraits are featured throughout the Mansion, along with loaned works of art created by the world-famous Missouri artist George Caleb Bingham. Designed by George Ingham Barnett of St. Louis, the Mansion is listed on the National Register of Historic Places.

Since moving to the Mansion, the Nixons have added a vegetable and herb garden. Food from the garden is used in meals served at the Mansion, and Mrs. Nixon enjoys encouraging schoolchildren to start their own gardens. The Missouri Botanical Gardens and Powell Gardens provided assistance in planning the garden at the Mansion.

### Missouri's First Lady

Like the governor, first lady Georganne Wheeler Nixon earned her undergraduate and law degrees from the University of Missouri-Columbia. Her support of Governor Nixon's call for strong public education in Missouri comes naturally: her father, the late Hubert Wheeler, served as Missouri's first commissioner of education, and Mrs. Nixon has taught in the public schools.

Mrs. Nixon is an avid supporter of women's causes, the arts and literature. She has been involved with the Women's Leadership Academy, the Zonta Club Yellow Rose Luncheon, Winning Women, and in proclaiming Women's History Month. Mrs. Nixon also serves as honorary chair for Missouri Citizens for the Arts and presents the Missouri Art Education Association awards to young artists whose artworks are selected to be on display at the Capitol and in the Missouri Governor's Mansion. She also is involved with the HALO (Helping Arts Liberate Orphans) Foundation and is a member of the Missouri River Regional Library board.

The first lady believes in standing up for all of Missouri's citizens. She has been involved with Youth Advocacy Day and with the Court Appointed Special Advocate Program (CASA), and has visited with employees of sheltered workshops and their families to show her support for them as valued, productive Missourians.

### Historical Listing, Governors

	Name and (party)	Term	County	Born	Died
1.	Alexander McNair (D) <sup>1</sup>	1820–24	St. Louis	5/5/1775	3/18/1826
2.	Frederick Bates (D) <sup>1</sup>	1824–25	St. Louis	6/23/1777	8/4/1825
3.	Abraham J. Williams (D) <sup>1</sup>	1825–26	Boone	2/26/1781	12/30/1839
4.	John Miller (D) <sup>1</sup>	1826–32	Howard	11/25/1781	3/18/1846
5.	Daniel Dunklin (D)	1832–36	Washington	1/14/1790	8/25/1844
6.	Lilburn W. Boggs (D)	1836–40	Jackson	12/14/1792	3/14/1860
7.	Thomas Reynolds (D)	1840–44	Howard	3/12/1796	2/9/1844
8.	Meredith Miles Marmaduke (D) <sup>2</sup>	1844	Saline	8/25/1791	3/26/1864
9.	John Cummins Edwards (D)	1844–48	Cole	6/24/1806	9/17/1888
10.	Austin Augustus King (D)	1848–53	Ray	9/21/1802	4/22/1870
11.	Sterling Price (D)	1853–57	Chariton	9/1809	9/29/1867
12.	Truett Polk (D) <sup>3</sup>	1857	St. Louis	5/29/1811	4/16/1876
13.	Hancock Lee Jackson (D) <sup>4</sup>	1857	Randolph	5/12/1796	3/19/1876
14.	Robert Marcellus Stewart (D)	1857–61	Buchanan	3/12/1815	9/21/1871
15.	Claiborne Fox Jackson (D)	1861	Saline	4/4/1806	12/6/1862
16.	Hamilton Rowan Gamble (U) <sup>5</sup>	*1861–64	St. Louis	11/29/1798	1/31/1864
17.	Willard Preble Hall (U) <sup>6</sup>	1864–65	Buchanan	5/9/1820	11/3/1882
18.	Thomas Clement Fletcher (R) <sup>7</sup>	1865–69	St. Louis	1/22/1827	3/25/1899
19.	Joseph Washington McClurg (R) <sup>7</sup>	1869–71	Camden	2/22/1818	12/2/1900
20.	Benjamin Gratz Brown (R) <sup>8</sup>	1871–73	St. Louis	5/28/1826	12/13/1885
21.	Silas Woodson (D)	1873–75	Buchanan	5/18/1819	10/9/1896
22.	Charles Henry Hardin (D)	1875–77	Audrain	7/15/1820	7/29/1892
23.	John Smith Phelps (D)	1877–81	Greene	12/14/1814	11/20/1886
24.	Thomas Theodore Crittenden (D)	1881–85	Johnson	1/1/1832	5/29/1909
25.	John Sappington Marmaduke (D)	1885–87	St. Louis City	3/14/1833	12/28/1887
26.	Albert Pickett Morehouse (D)	1887–89	Nodaway	7/11/1835	9/23/1891
27.	David Rowland Francis (D)	1889–93	St. Louis City	10/1/1850	1/15/1927
28.	William Joel Stone (D)	1893–97	Vernon	5/7/1848	4/14/1918
29.	Lon Vest Stephens (D) <sup>9</sup>	1897–1901	Cooper	12/1/1858	1/10/1923
30.	Alexander Monroe Dockery (D)	1901–05	Daviess	2/11/1845	12/26/1926
31.	Joseph Wingate Folk (D)	1905–09	St. Louis City	10/28/1869	5/28/1923
32.	Herbert Spencer Hadley (R)	1909–13	Jackson	2/20/1872	12/1/1927
33.	Elliott Woolfolk Major (D)	1913–17	Pike	10/20/1864	7/9/1949
34.	Frederick Dozier Gardner (D)	1917–21	St. Louis City	11/6/1869	12/18/1933
35.	Arthur Mastick Hyde (R)	1921–25	Grundy	7/12/1877	10/17/1947
36.	Sam Aaron Baker (R)	1925–29	Cole	11/7/1874	9/16/1933
37.	Henry Stewart Caulfield (R)	1929–33	St. Louis	12/9/1873	5/11/1966
38.	Guy Brasfield Park (D)	1933–37	Platte	6/10/1872	10/1/1946
39.	Lloyd Crow Stark (D)	1937–41	Pike	11/23/1886	9/17/1972
40.	Forrest C. Donnell (R)	1941–45	St. Louis	8/20/1884	3/3/1980
41.	Phil M. Donnelly (D)	1945–49	Laclede	3/6/1891	9/12/1961
42.	Forrest Smith (D)	1949–53	Ray	2/14/1886	3/8/1962
43.	Phil M. Donnelly (D)	1953–57	Laclede	3/6/1891	9/12/1961
44.	James T. Blair Jr. (D)	1957–61	Cole	3/15/1902	7/12/1962
45.	John M. Dalton (D)	1961–65	Dunklin	11/9/1900	7/7/1972
46.	Warren E. Hearnes (D)	1965–73	Mississippi	7/24/1923	8/16/2009
47.	Christopher S. (Kit) Bond (R)	1973–77	Audrain	3/29/1939	
48.	Joseph P. Teasdale (D)	1977–81	Jackson	3/6/1939	
49.	Christopher S. (Kit) Bond (R)	1981–85	Audrain	3/29/1939	
50.	John Ashcroft (R) <sup>10</sup>	1985–93	Greene	5/9/1942	
51.	Mel Carnahan (D) <sup>10</sup>	1993–2000	Phelps	2/11/1934	10/16/2000
52.	Roger Wilson (D) <sup>11</sup>	2000–01	Boone	10/10/1948	
53.	Bob Holden (D)	2001–05	Shannon	8/24/1949	
54.	Matt Blunt (R)	2005–09	Greene	11/20/1970	
55.	Jeremiah W. (Jay) Nixon (D)	2009	Jefferson	2/13/1956	

<sup>1</sup>At the time of the elections of McNair, Bates and Williams, and of the first election of Miller in 1825, there were no organized political parties in Missouri. Individual popularity prevailed. All called themselves Jeffersonian Republicans, or what now are called Democrats.

<sup>2</sup>Marmaduke was elected Lt. Governor in 1840. Upon the death of Governor Thomas Reynolds in February 1844, Marmaduke became Governor. He served the remainder of that year, because John Cummins Edwards was elected.

<sup>3</sup>Soon after Polk was inaugurated the Legislature convened and elected him by 101 votes to be a U.S. Senator. He resigned to take that office in February 1857. His occupancy of the Governor's office was shorter than any other Governor of Missouri.

<sup>4</sup>Jackson was Lt. Governor under Polk and became acting governor after Polk resigned in February 1857. He served until October of that year when Robert Stewart took office. Stewart was elected at a special election in August 1857.

<sup>5</sup>Gamble was elected provisional governor by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Claiborne Fox Jackson joined the Confederacy.

<sup>6</sup>Unionist.

<sup>7</sup>Radical.

<sup>8</sup>Liberal.

<sup>9</sup>Gov. Stephens is credited with creating the State Fair in Sedalia.

<sup>10</sup>Some held multiple terms as governor. In consideration of space, some are listed here only once.

<sup>11</sup>Wilson became Missouri's 52nd governor on October 17, 2000, upon the death of Mel Carnahan.



Danzero family and friends in a Regal over the Sac River, ca. 1915  
*Danzero Photograph Collection*  
Special Collections, Missouri State University



# Peter D. Kinder

## Lieutenant Governor

Elected November 2, 2004

Term expires January 2013

PETER D. KINDER (Republican) was elected Missouri's 46th lieutenant governor on November 2, 2004, carrying 91 of Missouri's 114 counties. On November 4, 2008, he was re-elected to a second term, carrying 102 counties.

Born and raised in Cape Girardeau, he attended Cape Girardeau Public Schools and continued his education at Southeast Missouri State University and the University of Missouri-Columbia. He graduated from St. Mary's Univ. School of Law in San Antonio, Texas, in 1979, and was admitted to the Missouri Bar in 1980.

From 1981-1983, he served as a member of U.S. Representative Bill Emerson's Washington staff and then worked as an attorney and real estate representative for Drury Industries. In 1987, he became associate publisher of the *Southeast Missourian*.

In 1992, Peter Kinder was elected to the Missouri Senate where he served 12 years. Kinder was elected *president pro tem* when the Republican party regained the majority in February 2001. When Kinder successfully ran for lieutenant governor in 2004, he became only the second sitting *president pro tem* to be elected lieutenant governor.

As lieutenant governor, Kinder serves as the official Senior Advocate for Missouri. Through Kinder's leadership, the state created the Missouri Rx plan, a prescription drug plan for the poorest seniors. Kinder currently chairs the Missouri Rx Plan Commission.

Lieutenant Governor Kinder was instrumental in passing the 2006 *Assisted Living Act*, a top priority of multiple senior advocacy organizations. This legislation gave seniors more options and choices in the type of long-term care facility they want to call home.

As chair of the Governor's Advisory Council for Veterans Affairs, Kinder has led the fight for veterans issues. In 2005, Lieutenant Governor Kinder created the Missouri Military Family Relief Fund, a fund which benefits family members of the Missouri National Guard and Reserve personnel who have been called to active duty.

In 2007, Kinder created the Missouri Mentor Initiative, a program that encourages state employees to mentor children by receiving up

to 40 hours per year in paid time off to volunteer. He also advocated for funding the Amachi Program that assists Big Brothers/Big Sisters of Missouri to mentor children whose parents are incarcerated in Missouri prisons.

Lieutenant Governor Kinder is chair of the Tour of Missouri professional cycling race. The race features over 150 professional riders from 15 countries has generated nearly \$60 million in economic impact for the state during the first two years of competition. Through live race coverage, the State of Missouri has been showcased on the Internet and televised in over 140 countries.

The lieutenant governor is also a member of several boards and commissions including the Missouri Development Finance Board and the Missouri Housing Development Commission.

Kinder is a member of the United Methodist Church, Beta Theta Pi social fraternity, Missouri Farm Bureau, Cape Girardeau Chamber of Commerce and Lions Club. He is active in many civic causes, including extensive work with Southeast Missouri Port Authority, United Way, Nature Conservancy, American Cancer Society and Boy Scouts of America.

Kinder has been honored by various groups including: Missouri Right to Life Defender of Life; NRA; Univ. of Missouri, Presidential Citation Award; Boy Scouts of America Eagle Scout; National Scouting Assoc. Distinguished Eagle Scout Award; St. Louis Children's Hospital, SSM Cardinal Glennon Hospital, and Children's Mercy of K.C.; March of Dimes; Southeast Missouri State Univ. Alumni Assoc. Distinguished Service Award; St. Louis Business Journal Legislative Award; Support Your Troops Committee Award; Missouri Farm Bureau Outstanding Service to Agriculture; St. Louis Regional Commerce and Growth Assoc. Lewis and Clark Statesman Award for Outstanding Leadership; Missouri Restaurant Assoc. Distinguished Service; Associated Industries of Missouri Voice of Missouri Business; Services for Independent Living; Southeast Missouri Alliance for Disability Independence; SSM Cardinal Glennon Hospital Child Advocate Award; Nat'l. Federation of Independent Businesses Guardian of Small Business; Missouri State Medical Assoc.; and Missouri Chamber of Commerce and Industry Spirit of Enterprise.



# Office of Lieutenant Governor

224 State Capitol, Jefferson City 65101  
Telephone: (573) 751-4727 / FAX: (573) 751-9422  
www.ltgov.mo.gov  
Email: ltgovinfo@ltgov.mo.gov

## Qualifications

Missouri's lieutenant governor must be at least 30 years old, a U.S. citizen for at least 15 years and a resident of Missouri for at least 10 years before being elected to the office. The lieutenant governor is elected for a four year term and is subject to re-election.

## Duties

The lieutenant governor is the only statewide elected official that is part of both the executive and legislative branches of state government. Under the constitution, the lieutenant governor is *ex officio* president of the Missouri Senate. The lieutenant governor is elected independently from the governor, and each can be members of different political parties. Upon the governor's death, conviction, impeachment, resignation, absence from the state or other disabilities, the lieutenant governor shall act as governor. By law, the lieutenant governor is a member of the Board of Public Buildings, Board of Fund Commissioners, Missouri Development Finance Board, Missouri Community Service Commission, Missouri Housing Development Commission and the Tourism Commission. The lieutenant governor is an advisor to the Department of Elementary and Secondary Education on early childhood education and the Parents-as-Teachers program, and the state's official advocate for senior citizens.

## Roles of the Office

In recent years, the lieutenant governor has embraced more responsibilities, especially relating to seniors, veterans, tourism and service. These modern developments have made the lieutenant governor more visible and accessible to Missourians. The 13 different boards and commissions on which the lieutenant governor serves provide many more opportunities to help Missouri citizens. These boards and commis-



RICHARD M. AuBUCHON  
Chief of Staff / General Counsel



JERRY DOWELL  
Deputy Lieutenant Governor



GARY McELYEA  
Director of Communications



LAURIE DAWSON  
Director of Administration



BETH PETERS  
Director of Constituent Services

sions cover a wide variety of functions for Missouri's diverse population.

By statute, the lieutenant governor is the official Senior Advocate for the State of Missouri. This office investigates problems and issues on behalf of senior citizens. The lieutenant governor's office works very closely with the Department of Health and Senior Services to ensure the safety and well-being of Missouri's senior citizens. The lieutenant governor now chairs the Missouri Rx Prescription Drug Program. More information may be found at [www.morx.mo.gov](http://www.morx.mo.gov).

Our military veterans exemplify the meaning of service. They have answered the call of duty, boldly facing death, injury and uncertainty. Their sacrifice should never be forgotten. The lieutenant governor chairs the Governor's Coun-

cil for Veterans Affairs and is proud to advocate for issues vital to our Missouri veterans.

Lieutenant Governor Kinder actively promotes the Missouri Military Family Relief Fund, a state-administered fund assisting families of the Missouri National Guard and reservists who have been deployed for the global war on terrorism. Those interested in donating should contact the Missouri Veterans Commission at (573) 522-4220 or make an income tax check-off donation when filing a state income tax return.

The lieutenant governor's office also houses the Veterans Remembrance Project, recording the memories of veterans who have served in foreign wars. A video kiosk is now operating in the State Capitol as a way for visitors to watch these recorded sessions. More information may be found at [www.missouriveteranstories.com](http://www.missouriveteranstories.com).

Chairing the Missouri Tourism Commission, the lieutenant governor helps market Missouri to the rest of the world. Out-of-state visitors bring new revenue to Missouri businesses, and new tax dollars to Missouri. Centrally located in the United States and bordering eight other states, Missouri hosts tens of millions of visitors each year. The Tourism Commission plans campaigns to show our visitors all that we have to offer.

Lieutenant Governor Kinder has led the effort to bring the Tour of Missouri, an international professional bicycle race, to Missouri. The competition is one of the top five professional cycling events outside of Europe. The event draws spectators from across the U.S. and the globe. More information may be found at [www.tourofmissouri.com](http://www.tourofmissouri.com).

As part of the Missouri Community Service Commission, the lieutenant governor is honored to continue a legacy of service to citizens

across Missouri. This commission nurtures volunteerism by encouraging an atmosphere that enables citizen service to prosper. By partnering with national service programs, the commission promotes volunteer opportunities to interested citizens. Each year, the Community Service Commission recognizes award winners who have demonstrated exemplary service.

Lieutenant Governor Kinder also sponsors the Senior Service Award to promote and highlight the positive accomplishments senior citizens selflessly provide their communities. Quarterly winners are recognized from every region of the state. More information may be found at [www.ltgov.mo.gov](http://www.ltgov.mo.gov).

The lieutenant governor also serves on the Missouri Housing Development Commission. As such, the lieutenant governor has the opportunity to help ensure quality affordable housing for Missourians, regardless of income. Other boards and commissions on which the lieutenant governor serves include: Board of Fund Commissioners; Board of Public Buildings; Missouri Development Finance Board; Missouri Community Service Commission; Personal Independence Commission (co-chair); Missouri Rx Plan Commission (chair); Second State Capitol Commission; Special Health, Psychological, and Social Needs of Minority Older Individuals Commission; and an advisor to the Department of Elementary and Secondary Education on early childhood education.

This compilation of the lieutenant governor's responsibilities demonstrates how this office can assist all Missourians. Citizens are urged to contact the lieutenant governor's office regarding issues that affect seniors by calling (800) 699-2377, or through the web site at [www.ltgov.mo.gov](http://www.ltgov.mo.gov).

## Historical Listing, Lieutenant Governors

	Name and (party)	Term	County	Born	Died
1.	William Henry Ashley (D)	1820–24	St. Louis	1785	3/26/1839
2.	Benjamin Harrison Reeves (D)	1824–28	Howard	3/21/1787	4/16/1849
3.	Daniel Dunklin (D)	1828–32	Washington	1/14/1790	8/25/1844
4.	Lilburn W. Boggs (D)	1832–36	Jackson	12/14/1792	3/14/1860
5.	Franklin Cannon (D)	1836–40	Cape Girardeau	3/12/1794	6/13/1863
6.	Meredith Miles Marmaduke (D)	1840–44	Saline	8/28/1791	3/26/1864
7.	James Young (D)	1844–48	Lafayette	5/11/1800	1/9/1868
8.	Thomas Lawson Price (U) <sup>1</sup>	1848–52	Cole	1/19/1809	7/15/1870
9.	Wilson Brown (D) <sup>2</sup>	1853–57	Cape Girardeau	8/27/1804	8/27/1855
10.	Hancock Lee Jackson (D)	1857–61	Randolph	5/12/1796	3/19/1876
11.	Thomas Cate Reynolds (D)	1860–61	St. Louis	10/11/1821	3/30/1887
12.	William Willard Preble Hall (U) <sup>1, 3</sup>	1861–64	Buchanan	5/9/1820	11/3/1882
13.	George Smith (R)	1865–69	Caldwell	2/2/1809	7/14/1881
14.	Edwin Obed Standard (R) <sup>4</sup>	1869–71	St. Louis	1/5/1832	3/12/1914
15.	Joseph Jackson Gravelly (R) <sup>5</sup>	1871–73	Cedar	9/25/1828	4/28/1872
16.	Charles Phillip Johnson (R) <sup>5</sup>	1873–75	St. Louis	1/8/1836	5/21/1920
17.	Norman J. Colman (D)	1875–77	St. Louis	3/16/1827	11/3/1911
18.	Henry Clay Brockmeyer (D)	1877–81	St. Louis City	8/12/1828	7/26/1906
19.	Robert Alexander Campbell (D)	1881–85	St. Louis City	9/2/1832	4/2/1926
20.	Albert Pickett Morehouse (D)	1885–89	Nodaway	7/11/1835	9/23/1891
21.	Stephen Hugh Claycomb (D)	1889–93	Jasper	8/11/1847	6/6/1930
22.	John Baptiste O'Meara (D)	1893–97	St. Louis City	6/24/1852	7/22/1926
23.	August Henry Bolte (D)	1897–1901	Franklin	9/3/1854	6/24/1920
24.	John Adams Lee (D)	1901–03	St. Louis City	6/28/1851	10/10/1928
25.	Thomas Lewis Rubey (D) <sup>6</sup>	1903–04	Laclede	Unknown	9/2/1928
26.	John C. McKinley (R)	1905–09	Putnam	11/20/1859	5/1/1927
27.	Jacob Friedrich Gmelich (R)	1909–13	Cooper	7/23/1839	2/21/1914
28.	William Rock Painter (D)	1913–17	Carroll	8/27/1863	7/1/1947
29.	Wallace Crossley (D)	1917–21	Johnson	10/4/1874	12/13/1943
30.	Hiram Lloyd (R)	1921–25	St. Louis	7/27/1875	9/10/1942
31.	Phillip Allen Bennett (R)	1925–29	Dallas	3/5/1881	12/7/1942
32.	Edward Henry Winter (R)	1929–33	Cole	4/5/1879	6/29/1941
33.	Frank Gaines Harris (D) <sup>7</sup>	1933–45	Boone	4/25/1871	12/30/1944
34.	Walter Naylor Davis (D)	1945–49	St. Louis	11/29/1876	9/16/1951
35.	James T. Blair Jr. (D) <sup>7</sup>	1949–57	Cole	3/15/1902	7/12/1962
36.	Edward V. Long (D)	1957–61	Pike	7/18/1908	11/6/1972
37.	Hilary A. Bush (D)	1961–65	Jackson	6/21/1905	5/11/1966
38.	Thomas F. Eagleton (D)	1965–69	St. Louis	9/4/1929	3/4/2007
39.	William S. Morris (D)	1969–73	Jackson	11/8/1919	3/5/1975
40.	William C. Phelps (R) <sup>7</sup>	1973–81	Jackson	4/5/1934	
41.	Kenneth J. Rothman (D)	1981–85	St. Louis	10/11/1935	
42.	Harriett Woods (D)	1985–89	St. Louis	6/2/1927	2/8/2007
43.	Mel Carnahan (D)	1989–93	Phelps	2/11/1934	10/16/00
44.	Roger B. Wilson (D) <sup>7</sup>	1993–2000	Boone	10/10/1948	
45.	Joe Maxwell (D) <sup>8</sup>	2000–05	Audrain	3/17/1957	
46.	Peter D. Kinder (R) <sup>7</sup>	2005	Cape Girardeau	5/12/1954	

<sup>1</sup>Unionist.

<sup>2</sup>Wilson Brown died in office in August 1855. The office remained vacant until the 1856 election.

<sup>3</sup>Hall was elected provisional lieutenant governor by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Claiborne Fox Jackson joined the Confederacy.

<sup>4</sup>The Constitution of 1865 shortened Lt. Gov. terms to two years. In 1876, terms went back to four years.

<sup>5</sup>Liberal.

<sup>6</sup>Was president pro tem of Senate and served as lieutenant governor following Lee's resignation.

<sup>7</sup>Some held multiple terms as lieutenant governor. In consideration of space, they are listed here only once.

<sup>8</sup>Maxwell became Missouri's 45th lieutenant governor finishing the remaining months of Roger Wilson's term, who became governor on October 17th, 2000, upon the death of Mel Carnahan.



### MISSOURI'S STATE CAPITOL

State government in Missouri is centered in the state's beautiful, domed Capitol, dominating the bluffs of the Missouri River in Jefferson City.

The dome, rising 238 feet above ground level and topped by a bronze statue of Ceres, goddess of agriculture, is the first view of Jefferson City for travelers arriving from the north. The structure is Jefferson City's leading tourist attraction and is a mecca for school groups who arrive by busloads, particularly during General Assembly sessions when they fill the galleries to watch the Senate and House of Representatives in action.

In addition to housing the two legislative bodies, the Capitol provides office space for the governor, lieutenant governor, secretary of state, treasurer, state auditor and some administrative agencies. The structure is also notable for its architectural features, including its eight 48-foot columns on the south portico and six 48-foot columns on the north side; its 30-foot-wide grand stairway and its bronze front doors, each 13 by 18 feet, among the largest cast since the Roman era.

The Capitol's first floor features the State Museum. Outstanding paintings, pediments and friezes decorate the Capitol interior. Of historical significance is a series of Thomas Hart Benton murals in the House Lounge.

Statuary is a prominent feature of the Capitol grounds. Heroic bronze figures depicting Missouri's two great rivers, the Mississippi and Missouri, and a 13-foot statue of Thomas Jefferson

dominate the south entrance. A bronze relief depicting the signing of the Louisiana Purchase by Livingston, Monroe and Marbois and the Fountain of the Centaurs are the most outstanding features on the north grounds.

The present Capitol, completed in 1917, and dedicated in 1924, is the fourth Capitol in Jefferson City. Before the seat of government was moved to Jefferson City, the functions of Missouri territorial government were based in the Mansion House, a hotel in St. Louis, and later at the Missouri Hotel, also in St. Louis. The upper story of a mercantile store in St. Charles was designated as temporary capital of the state in 1821 and remained the seat of government until 1826 when Jefferson City became the permanent capital city. The first Capitol in Jefferson City was located on land now occupied by the Governor's Mansion. It burned in 1837, apparently after some hot coals fell out of one of its fireplaces. A second structure completed in 1840 and expanded in 1887-88 burned when the dome was struck by lightning on February 5, 1911. A temporary Capitol made of lathe and stucco was erected in an area to the east of the present building and was used from 1912 to 1917.

The present Capitol was constructed for \$4,215,000, including site and furnishings. It is five stories high, 437 feet long, 300 feet wide in the center and 200 feet wide in the wings. The dome is 238 feet high and the height of the wings is 88 feet. It includes over 650,000 square feet of floor space.





# Robin Carnahan

## Secretary of State

Elected November 2, 2004

Term expires January 2013

ROBIN CARNAHAN (Democrat) was sworn in as Missouri's 38th Secretary of State in January 2005. Since taking office, Secretary Carnahan has focused on providing excellent customer service, protecting investors, cutting red tape and costs for Missouri businesses and ensuring the integrity and fairness of our elections.

Carnahan was quickly recognized as one of the most effective public servants in Missouri and our nation. In 2005, the non-partisan think tank *The Aspen Institute* identified Carnahan as a "rising star" in American politics for her ability to work across party lines and deliver results.

As Secretary of State, Carnahan works tirelessly to crackdown on financial scams and protect Missourians from fraud. In 2009, she championed the bipartisan *Senior Investor Protection Act*, which is recognized as one of the toughest investor protection laws in the nation and improves opportunities for seniors to recover lost savings. Carnahan also launched the Missouri Investor Protection Center and expanded educational efforts to help Missourians learn how to protect themselves from financial fraud. And her efforts have paid off. Since taking office, Secretary Carnahan has helped tens of thousands of investors recover over \$10 billion, earning her recognition as a national leader in securities law enforcement.

Through expanded use of technology, Secretary Carnahan has dramatically increased efficiency and cut red tape and costs for Missouri's small businesses. Since taking office, these innovations have saved Missouri businesses more than \$10 million and countless tax dollars. Carnahan's *Red Tape Reduction Act*, which passed with bipartisan support in 2009, cuts government paperwork in half and reduces costs for thousands of Missouri businesses. And Carnahan led the effort to develop the Missouri Business Portal, which provides an online one-stop-shop for those looking to do business in Missouri.

Secretary Carnahan believes good customer service means making information more accessible to Missourians. As the guardian of Missouri's State Archives, she has not only ensured the preservation of historical documents and treasures, but also expanded access to those records by making tens of thousands of records available on-line for the first time. These pioneering efforts have earned praise from historians and genealogists worldwide.

In 2008, Carnahan unveiled the Missouri Digital Heritage website, which digitally links

historical information and resources from the Missouri State Archives, the State Library, and local organizations together for the first time. Since its launch, Missouri Digital Heritage has been named one of the 101 best websites for genealogy by *Family Tree Magazine* and has been visited over 70 million times.

As the state's chief elections official, Secretary Carnahan is dedicated to ensuring integrity and fairness in Missouri elections. Since taking office, she has partnered with local election officials to clean up Missouri's voter rolls by establishing the state's first statewide voter registration list and improved election day operations by recruiting and training additional poll workers.

Since 2007, Missourians who need protection from domestic violence, sexual assault and stalking have had access to the *Safe at Home* address confidentiality program designed by Secretary Carnahan to help prevent future attacks. To date, *Safe at Home* has helped protect more than 500 men, women and children in the state of Missouri.

Secretary Carnahan grew up in Rolla, Missouri, and is a member of the Baptist Church. After graduating from Rolla High School, she continued her studies at William Jewell College and at the University of Southampton and earned a bachelor's degree in economics, graduating *magna cum laude*. Carnahan went on to earn her law degree from the University of Virginia and then returned to Missouri to practice business law with the St. Louis law firm of Thompson & Mitchell. In 1990, Carnahan went to work with the National Democratic Institute in Central and Eastern Europe, where she worked to promote democracy and fair elections. Carnahan also served at the Export-Import Bank of the United States, where she explored innovative ways to help American companies increase their sales of goods and services abroad.

Today, in addition to carrying out her duties as Secretary of State, Carnahan continues to manage her family's 900 acre Angus cattle farm near Rolla. Her husband, Juan Carlos Antolinez, develops and maintains business computer systems.

Carnahan's family has a proud history of devotion to public service. Her father, Mel Carnahan, served as Missouri's Governor, and her mother, Jean Carnahan, was the first woman to serve Missouri in the U.S. Senate.





# Office of Secretary of State

600 W. Main and 208 State Capitol  
PO Box 1767, Jefferson City 65102  
Telephone: (573) 751-4936 / FAX: (573) 526-4903  
SOSmain@sos.mo.gov / www.sos.mo.gov

The Secretary of State's office serves Missourians in a number of diverse and important ways. The major divisions within the Secretary of State's office are: Business Services, Elections, Securities, State Library, Records Services, and Administrative Rules.

At Secretary Robin Carnahan's direction, the office has focused on providing outstanding service to its broad scope of customers and making information more accessible to all Missourians. In business services, Carnahan has cut red tape for small businesses and streamlined operations with her office. Through the securities division, she has protected consumers by cracking down on firms that defraud investors and educating Missourians about responsible investing. As Missouri's chief elections official, Carnahan has worked to ensure fair, accessible and transparent elections for Missouri voters.

In addition, the office is responsible for compiling, storing and publishing a variety of documents. As the keeper of the Great Seal of the State of Missouri, the Secretary of State is also responsible for authenticating official acts of the Governor. The office also includes the following divisions: Information Technology, Communications and Publications, Fiscal and Facilities, and Human Resources.

## Business Services Division

[www.sos.mo.gov/business/](http://www.sos.mo.gov/business/)

The Business Services Division has three sections: Commissions, Corporations and Uniform Commercial Code. The division oversees regional offices for business filings in St. Louis, Kansas City and Springfield and the *Safe at Home* address confidentiality program. The Business Services Division is committed to providing excellent customer service to all its customers.

## Commissions

The Commissions Section commissions nota-



RICH LAMB  
Executive Deputy  
Secretary of State



LAURA EGERDAL  
Director of Communications  
and Publications



RONALD BERRY  
Director of Policy and  
Governmental Affairs



JONI SMITH-CRISWELL  
Deputy Director of Policy and  
Governmental Affairs



ABE RAKOV  
Deputy Director of  
Communications



SARAH DEVLIN  
Deputy Director of Policy and  
Administration

ries public; maintains the official bonds of over 75,000 Missouri notaries; and is responsible for certifying notaries for foreign documents, elected officials, and the State Registrar of Vital Statistics.

The Commissions Section authenticates official acts of the Governor. The Great Seal of the State of Missouri is affixed to more than 35,000 documents annually, including all commissions of state and county elected officials; appointments made by the governor; and proclamations, executive orders, extraditions, commutations of sentence, and restoration of citizenship issued by the Governor. The Commissions Section maintains bonds and oaths of office for state

officials as required by law and is responsible for the official signature, facsimile signature filings, resident agent filings, and trademark and service mark filings.

## Corporations

The secretary of state is responsible for the registration of all Missouri and out-of-state businesses doing business in Missouri. These businesses may be for-profit and nonprofit corporations, as well as other business classifications such as: professional corporations, close corporations, agricultural cooperatives, mutual associations, limited liability companies, limited partnerships, limited liability partnerships, limited liability limited partnerships, and other business entities. Corporations file various documents required by law: creation documents, annual reports, amendments, mergers, consolidations, dissolutions, terminations and withdrawals.

There are approximately 178,000 active corporations doing business in Missouri and 515,000 inactive corporations on file. Each corporation must annually register with the Corporations Section the names of its officers and directors. The section processes more than 150,000 annual reports each year; approximately 80 percent are filed online for a reduced fee by using the Secretary of State's website, saving businesses millions of dollars each year.

Limited liability company (LLC) filings are increasing, with more than 32,000 new LLCs being filed each year. Most businesses are required to file a fictitious name registration with the Secretary of State's office. More than 27,500 new fictitious name registrations are processed annually; the majority of these filings are completed online.

Additional online services include: organizing a limited liability company, obtaining and verifying a certificate of good standing, printing copies of filed documents, obtaining forms for filing a new business entity, and accessing the business entity database to search for a business or corporation. The Small Business Advocacy Center ([www.sos.mo.gov/business/sbac/](http://www.sos.mo.gov/business/sbac/)), and the Missouri Business Portal ([www.business.mo.gov](http://www.business.mo.gov)) continue to help Missouri businesses navigate state government and help their businesses grow.

## Uniform Commercial Code

The Secretary of State's office is the centralized office for perfecting personal property liens and other creditor interests under the Uniform Commercial Code (UCC). These liens are "perfected" by filing a financing statement in the accepted national format indicating the debtor name and address and the secured party name and address along with a description of the collateral. Once a lien or other interest is filed



PHYLLIS ALLSBURY  
Executive Secretary to the  
Secretary of State



MARGIE PETERS  
Executive Assistant/Scheduler  
for the Secretary of State



SHERRECE SMITH  
Special Assistant to the  
Secretary of State



CAROL FISCHER  
Deputy Secretary of State  
for Business Services



BRENDA RIEKE  
Director of Commissions



BRIDGET GUTH  
Director of Corporations

and perfected, the public is considered "on notice" regarding those liens. These financing statements are effective for five years; they may be continued for an additional five-year term by filing a continuation statement within six months prior to expiration. Eighty five percent of the UCC filings with the Secretary of State are now completed online. UCC forms and the fee schedule for filing are available online.

## Safe at Home Address Confidentiality Program

The *Safe at Home* address confidentiality program was created in 2007 to help protect survivors of domestic violence, rape, sexual assault,

or stalking through the use of a designated address. Through the *Safe at Home* program, survivors and their minor children may use a substitute mailing address through the Secretary of State's office for all their first class, legal, and certified mail. The program allows survivors to use a substitute address on their government records and public filings to keep their address confidential and out of the hands of potential abusers.

### Business Services Offices:

**James C. Kirkpatrick State Information Center**  
600 W. Main, Rm. 322  
PO Box 778, Jefferson City, MO 65102-0778  
Telephone: (866) 223-6535 / FAX (573) 751-5841

**Fletcher Daniels State Office Building**  
615 E. 13th St., Rm. 513, Kansas City 64106  
Telephone: (816) 889-2925 / FAX: (816) 889-2879

**U.S. Customs & Post Office Building**  
815 Olive St., Ste. 210, St. Louis 63101  
Telephone: (314) 340-7490 / FAX: (314) 340-7500

**Springfield State Office Building**  
149 Park Central Sq., Rm. 624, Springfield 65806  
Telephone: (417) 895-6330 / FAX: (417) 895-6537

**Safe at Home Address Confidentiality Program**  
PO Box 1409, Jefferson City, MO 65102-1409  
Telephone: (866) 509-1409 (Toll-free)  
FAX: (573) 522-1525  
[www.MoSafeAtHome.com](http://www.MoSafeAtHome.com)

### Securities Division:

James C. Kirkpatrick State Information Center  
600 W. Main, PO Box 1276, Jefferson City 65102  
Telephone: (573) 751-4136 / FAX: (573) 526-3124  
Investor Protection Hotline: (800) 721-7996  
[www.sos.mo.gov/securities](http://www.sos.mo.gov/securities)  
[www.MissouriSafeSavings.com](http://www.MissouriSafeSavings.com)

For more than 80 years, the Secretary of State has been responsible for ensuring compliance with state securities laws through activities of the Securities Division. This responsibility includes enforcement of the law when violations occur, and the registration of securities, broker-dealers, agents, investment advisers and investment adviser representatives. State securities laws are intended to protect investors from unsuitable investment recommendations, dishonest or unethical practices, and fraudulent investment schemes. The Secretary of State appoints the securities commissioner, who administers these laws and oversees the Securities Division.

The enforcement section of the Securities Division receives and investigates complaints from Missouri investors. A toll-free Investor Pro-



MONICA CURLS  
Director, Business Services  
Kansas City Office



ETHEL BYNDOM  
Director, Business Services  
St. Louis Office



DEBORAH EVANS  
Director, Business Services  
Springfield Office



JULIE STEGEMAN  
Deputy Director of Publications

tection Hotline (800-721-7996) is maintained so investors can report complaints or make inquiries about firms or professionals in the securities business. Each year the office fields hundreds of investor complaints which result in the initiation of hundreds of investigations, many of which result in cease and desist orders, licensing revocations, civil penalties, criminal prosecutions, or investor restitution. The attorneys and investigators in the enforcement section typically collect millions of dollars in penalties, payments, and restitution each year, and are leaders in national enforcement actions and task forces.

The registration section regulates the registration of individuals, firms and securities. The audit unit of the registration section performs routine and for-cause inspections of the offices of broker-dealers and investment advisers to ensure compliance with the Missouri securities laws.

As of Summer 2009, there were 113,340 agents, 1,771 broker-dealers, 6,623 investment adviser representatives and 1,258 investment advisers registered in Missouri. Prior to granting each registration, an application review process is conducted to determine if applicants are sufficiently qualified to participate in the securities business. The registration section also reviews proposed securities offerings to ensure that Missouri approved offerings are "fair, just and equitable." The staff receives filings of

federal covered securities and reviews requests for exemption from the registration provisions, provides interpretative opinions of the securities laws and assists Missouri issuers seeking to raise funds with securities law compliance. In the 2009 fiscal year, the registration section staff received filings or exemption notices for over 2,000 securities offerings and collected over \$10 million in revenues for the state of Missouri.

The Securities Division also oversees the Missouri Investor Protection Center, which creates and promotes investor education initiatives designed to educate and assist both current and future investors. The center also includes a website and a Senior Investor Protection Unit to help educate and protect Missouri's older investors.

### Missouri Secretary of State's Securities Advisory Committee

The Securities Advisory Committee exchanges ideas, suggestions and commentary on the laws, policies and events affecting the Missouri securities industry and its regulation.

### Securities Advisory Committee Members

**Carnahan, Robin**, Secretary of State, chair;  
**Kitzi, Matt**, Commissioner of Securities, moderator;  
**Bromberg, Rebekah**, St. Charles;  
**Gleghorn, Regina**, West Plains;  
**Hall, Diane**, Kansas City;  
**Jones, Corbin**, Kansas City;  
**Kickham, Michael**, St. Louis;  
**Levine, Scott**, St. Louis;  
**Prell, Ben**, Kansas City;  
**Tomes, Kenda**, Kansas City;  
**Wegley, Robert O.**, Columbia.

### Elections Division

James C. Kirkpatrick State Information Center  
600 W. Main, PO Box 1767, Jefferson City 65102  
Telephone: (573) 751-2301 / FAX: (573) 526-3242  
[www.sos.mo.gov/elections/](http://www.sos.mo.gov/elections/)

The Office of Secretary of State oversees all statewide elections for both candidates and issues. Candidates for the six constitutional state offices, U.S. Congress, the General Assembly, and various circuit judges file for election with the Secretary of State's Office. Statewide ballots for primary, general, and certain special elections are also prepared by the Secretary of State, and certified copies of those ballots are sent to local election officials. It is the responsibility of the office to canvass, certify, and publish election results.

Missouri has nearly 4 million registered voters. In the 2008 November general election, 2.9 million voters went to the polls.



MATT KITZI  
Commissioner of Securities



MARY HOSMER  
Assistant Commissioner  
of Securities



WAYLENE HILES  
Deputy Secretary of State  
for Elections



KAY DINOLFO  
Director of Elections

In Missouri, elections are run at the local level by local election authorities (county clerks or election boards). In addition to assisting Missouri's 116 local election authorities with their duties in administering elections, the Secretary of State promulgates rules governing elections and electronic voting systems. The Secretary of State is required to publish the Missouri Election Laws for use by county clerks and election boards. Additionally, the office produces various election materials, including instructions for poll workers, calendars of annual election deadlines, a website, training videos, and a manual for election authorities. The elections division cooperates with other officials, schools, and civic organizations to provide materials to support voter registration, responsibility, and education, and oversees the "Democracy is on the line. Be a Poll Worker" statewide poll worker recruitment initiative. In the fall of 2008, the Secretary of State unveiled the Missouri Voting Rights Center and launched the [www.GoVoteMissouri.com](http://www.GoVoteMissouri.com) website which provides important information to voters registered in Missouri. In April 2009, the office released Voters First 2008: An Examination of Missouri's 2008 State and Federal Elections, which identified issues and proposed improvements in Missouri's election administration.

The Secretary of State also certifies statewide ballot measures that are proposed by the General Assembly or through the initiative and

referendum petition process, including the oversight of signature verification. Before an initiative petition can be circulated, the petition and a summary statement must be approved by the Secretary of State and the Attorney General, and the State Auditor adds a fiscal impact statement. For an initiative changing state law to be placed on the ballot, signatures must be obtained from registered voters equal to five (5) percent of the total votes cast in the last gubernatorial election in six of Missouri's nine congressional districts. For an initiative changing the state constitution to be placed on the ballot, signatures are needed from registered voters equal to eight (8) percent of the votes cast in the last gubernatorial election in six of the state's nine congressional districts. The full texts of ballot issues are published in newspapers around the state and printed for display at polling places. The elections division also oversees the signature verification process for the formation of new political parties and the nomination of independent candidates for offices that file with the Secretary of State. In 2008, 25 initiative petitions were approved for circulation. Five were returned with signatures for approval and were ultimately certified for the November 2008 ballot.

The Secretary of State is responsible for coordinating Missouri's compliance with federal election law, including the Help America Vote Act of 2002 (HAVA), which requires increased training for election officials, poll workers, and voters; at least one voting system per polling place that is accessible for voters with disabilities; and a statewide voter registration list. The creation of an interactive statewide voter registration list database has improved the ability of local election officials to manage their voter registration records and keep Missouri's voter list up to date and accurate.

## Missouri State Library

James C. Kirkpatrick State Information Center  
600 W. Main, PO Box 387, Jefferson City 65102  
Telephone: (573) 751-3615 / FAX: (573) 751-3612  
[www.sos.mo.gov/library/](http://www.sos.mo.gov/library/)

The responsibilities of the Missouri State Library are to provide library and reference services to Missouri state government, provide library services to the blind and visually impaired, and promote the development and improvement of library services throughout the state.

## Library Development

Telephone: (800) 325-0131 / (573) 751-0586

The Library Development section supports libraries through consulting, administration of grant programs, continuing education and statewide programs. The consultant staff works with librarians and library governing boards to improve the quality of library service for all



MARGARET CONROY  
State Librarian



BARBARA READING  
Director of Library  
Development



SUSAN MORRISROE  
Director of Reference Services



RICHARD SMITH  
Director of Wolfner Library

Missouri citizens. Through research, publications and personal contacts, consultants provide assistance on general library issues and needs, promote the organization and development of library services, manage statewide projects and maintain statistics on public library services in the state.

The State Library administers several programs to provide funds and services for libraries. State-funded programs include state aid for public libraries, both per capita funds and equalization payments to libraries. Public library costs for materials are supported through funds appropriated from the income tax on earnings of out-of-state athletes and entertainers. State funds also support summer reading programs in public libraries. The State Library coordinates the funding for the REAL (Remote Electronic Access to Libraries) Program which provides Internet access for public libraries through MOREnet and database content for public, K-12, and academic libraries. The federal Library Services and Technology Act provides funding for grants to libraries and statewide projects, including continuing education and training, technology infrastructure, and development of library service for underserved populations, such as seniors and persons with disabilities. The State Library also partners with several private sponsors to administer projects and grants to support library services.

Ongoing projects include digitization of primary resource material for the Missouri Digital Heritage Initiative, development of literacy programs in libraries and coordination of literacy efforts with other state agencies and the statewide literacy center, and a statewide calendar for library-related continuing education events.

As one of 50 state affiliates with the National Center for the Book in the Library of Congress, the Missouri Center for the Book promotes the state's book culture and library heritage.

The State Library also serves as the lead agency for the State Census Data Center program, a cooperative venture with the U.S. Census Bureau.

## Secretary's Council on Library Development

The Secretary's Council on Library Development advises the Secretary of State and the State Librarian on matters that relate to the state's libraries and library service to Missouri citizens. Current Council members are listed at [www.sos.mo.gov/library/council/sosc.asp](http://www.sos.mo.gov/library/council/sosc.asp).

## Reference Services

Telephone: (573) 751-3615 / FAX: (573) 526-1142

The Reference Services section delivers library services to meet the informational and research needs of state government. This objective is achieved through current awareness services, library materials collections in multiple formats, electronic publications and online databases provided to the state employees. Reference Services offers training sessions to state employees on online databases.

*Keeping Up*, a daily newspaper clipping summary of news about the activities and operation of state government, is distributed electronically to the legislature and state agencies. An online version is available to the public. Table of contents service allows state employees to receive full text articles from current periodicals that assist in the performance of their duties.

To support the research work done for state government, the State Library maintains a materials collection of books, magazine and newspaper subscriptions, and microform holdings of government documents, journals and newspapers. Through the State Library's membership, state employees have access to MOBIUS, the statewide union catalog of academic libraries. In addition, Reference Services subscribes to numerous online databases geared to the needs of state government. Most of these databases are provided to state employees at their desktop.

The State Library is a congressionally designated selective depository for U.S. government publications. The library is statutorily responsible for collecting state government publications

and providing access to them for the citizens of Missouri. This is now done through a trusted, electronic repository making the full text of the publications available via the Internet.

While the State Library's research services and other service initiatives are directed to the legislature and employees of state government agencies, the materials collection is open for use by the general public.

## Wolfner Library

James C. Kirkpatrick State Information Center  
600 W. Main, PO Box 387, Jefferson City 65102  
Telephone: (573) 751-8720 / FAX: (573) 526-2985  
Toll-free: (800) 392-2614 / TTY: (800) 347-1379  
[www.sos.mo.gov/wolfner](http://www.sos.mo.gov/wolfner)

Wolfner Library for the Blind and Physically Handicapped serves as the public library for Missourians unable to use standard print because of a visual or physical disability. The library loans a half million books and magazines in Braille, recorded audio, and large print from its collection of more than 300,000 volumes. Audio players are also loaned to users of the recorded materials. More than 8,500 individuals and 1,700 institutions, including nursing homes, schools, hospitals and hospices use the library. Wolfner also provides reference and information services, children's programming, interlibrary loan, a newsletter, catalogs and bibliographies and descriptive DVDs and videos. Access to the collection is available online.

Wolfner services are available to qualified Missourians at no charge. Applications for service are available directly from Wolfner, local Missouri public libraries, or on the Secretary of State's website. Wolfner is Missouri's regional library in the national network of libraries serving individuals who are blind and disabled, in cooperation with the National Library Service of the Library of Congress.

The Wolfner Library for the Blind and Physically Handicapped has a rich history of library service, predating the Missouri State Library and the Library of Congress network. Loaning Braille books to a multi-state region in 1924, Wolfner became one of the first 18 regional libraries for the blind designated by the Library of Congress. Named in memory of noted ophthalmologist Henry L. Wolfner and originally administered by the St. Louis Public Library, Wolfner became part of the Missouri State Library in 1977, and physically moved to Jefferson City in 1985.

## Wolfner Advisory Council

The Wolfner Advisory Council advises on the services provided by the Wolfner Library and how these services may be improved. Current Council members can be found at [www.sos.mo.gov/wolfner/council.asp](http://www.sos.mo.gov/wolfner/council.asp).

## Records Services Division

James C. Kirkpatrick State Information Center  
600 W. Main, PO Box 1747, Jefferson City 65120  
www.sos.mo.gov/records/

The Records Services Division has three sections: Missouri State Archives, Local Records Preservation Program, and Records Management. It is responsible for managing both current and historical records of the state to ensure those records are accessible to Missouri citizens. It is also responsible for assisting local governments in records preservation and management.

## Missouri State Archives

Telephone: (573) 751-3280 / FAX: (573) 526-7333

The Missouri State Archives is the official repository for government records of permanent value. Its mission is to foster an appreciation of Missouri history and illuminate contemporary public issues by preserving and making available the state's permanent records to its citizens and their government.

Records in the Archives holdings date from 1770 to the present. They include executive, legislative and judicial records; records of state departments and agencies; Missouri's history under French and Spanish colonial and U.S. territorial rule; military records from the War of 1812 through World War I; the region's role in the nineteenth century fur trade and America's westward expansion; trademarks and business records; and womens and African-American history.

Holdings currently total more than 338 million pages of paper, 500,000 photographs, 199,000 reels of microfilm, and 270,000 microfiche. The Missouri State Archives is one of the most utilized state repositories in the nation and has been formally acknowledged by historical and genealogical organizations for its service to its patrons. While thousands of researchers visit or write to the Archives each year, the majority of researchers have turned to the Internet as their preferred research medium. In FY08, the Archives received more than 9,439,684 multiple page searches of its web site, an increase from previous years. Over the last few years the Missouri State Archives has become involved in a number of important initiatives: The African-American History Initiative is designed to stimulate interest in African-American History through educational projects and the promotion of a broad awareness of the holdings of the Missouri State Archives. The Missouri State Archives has also played a leading role in the bicentennial commemoration of the Lewis and Clark Expedition. The nationally recognized St. Louis Circuit Court Records Project, begun as a traditional archival project, is now part of a complex consortium. At the instigation of the Missouri State Archives, an academic advisory

board composed of scholars representing nine institutions helps guide its work.

While the St. Louis Circuit Court records project is large, it is just one of many court projects currently being conducted across Missouri. The Archives preserves on microfilm the probate and circuit court records of Missouri's county courts. To date, the Archives has been involved in 581 preservation projects in every county in the state. There are currently 40 such projects. The Missouri State Archives also partners with the Supreme Court of Missouri Historical Society, which funds two legal internships and a legal fellowship for the Archives.

The Archives is devoted to educating the public about materials in the Archives' holdings. It accordingly provides programs for schools and civic groups, sponsors traveling exhibits, offers tours, and supports and maintains a regular calendar of monthly educational programs and historical entertainments. "Archives Alive," brings history to life for thousands of Missouri fourth and fifth grade students each spring through live musical performances. The "Friends of the Missouri State Archives," formed in 1989, which promotes and supports the Archives, has underwritten many of the costs associated with these outreach activities. The State Archives also hosts student interns and practicum students from Missouri colleges and universities; creates historical exhibits, offers a public speakers series, sponsors regional National History Day activities; and provides tours and talks for school and civic organizations.

Volunteers are an important resource of the Missouri State Archives. In Jefferson City they provide invaluable assistance to the staff, helping with reference services and acting as guides. Across Missouri, volunteers help process county and court records and special collections. More novel is the Archives innovative, "e-volunteer" program in which volunteers can provide indexing and transcription services for the Archives from their home computers. Completed transcriptions are posted online providing greater access to the collection. Access to the Archives is provided through the research room. The reference staff answers written requests. Hours are 8 a.m. to 5 p.m. Monday, Tuesday, Wednesday and Friday and 8 a.m. to 9 p.m. on Thursday. Saturday hours are from 8:30 a.m. to 3:30 p.m.

## Missouri Historical Records Advisory Board

The Missouri Historical Records Advisory Board was created by statute in 1989 and assigned to the office of the Secretary of State. The board is the central advisory body for historical records planning within the state. In addition, the board serves as the state affiliate of the National Historical Publications and Records Commission, the grant-awarding arm of the

National Archives and Records Administration, and is responsible for reviewing grant proposals to that program from Missouri applicants, as well as applications to the Local Records Preservation Grant Program.

## Missouri Historical Records Advisory Board Members

- Carnahan, Robin**, chair, state historical records coordinator, Secretary of State;  
**Dougan, John**, deputy coordinator, Missouri State Archivist;  
**Adams, Joseph L.**, mayor, University City;  
**Allen, Gregory B.**, president, Allen Financial Corporation, Kansas City;  
**Bennett, Marcia L.**, executive director, St. Joseph Convention and Visitors Bureau;  
**Doswell, Raymond**, director, Negro League Baseball Museum, Kansas City;  
**Gietschier, Dr. Steven P.**, university curator, Lindinwood University, St. Charles;  
**Gordon, Christopher**, director of library and archives, Missouri Historical Society, St. Louis;  
**Kremer, Dr. Gary R.**, executive director, State Historical Society of Missouri, Columbia, ex officio;  
**Neumann, Robert P.**, director, Greene County Archives;  
**Parks, Cynthia L.**, director of records management, University of Missouri, Columbia;  
**Ramsey, Terry**, Bushwacker museum coordinator, Vernon County Historical Society, Nevada;  
**Richards, David E.**, head, Special Collections and Archives Department, Meyer Library, Southwest Missouri State University, Springfield;  
**Rottman, Anne G.**, head librarian, Legislative Library, Jefferson City.

## Local Records Preservation Program

Telephone: (573) 751-9047 / FAX: (573) 526-3867

The Missouri State Archives Local Records Preservation program is an effort to improve long-term local public record management by advising, educating and encouraging the custodians of those records in the use of sound records management and archival practices. Archivists provide their expertise to local government officials on the retention of records. A part-time local records and analyst program began in 1986. In 1989, legislation converted this to a full-time program. Through the local records program, documents of great historical value have been identified and preserved and the State Archives reference collections have been dramatically enhanced. Because of this program, all records are more accessible to the public. They are properly organized, and in many instances, space in public buildings is freed up for purposes other than storage.



JOHN DOUGAN  
State Archivist



SHELLY CROTEAU  
Assistant State Archivist



LYNN MORROW  
Director of Local Records



CRAIG KELSO  
Director of Records Management

More than 3,500 governmental entities can receive assistance managing records. There are 10 field archivists located around Missouri. Local officials in every county of the state have been assisted.

Records conservation staff provides an advisory service to local government offices on all aspects of record care and preservation, as well as advice on disaster recovery.

A Local Records Board reviews and makes decisions on retention schedules for records of local governments.

## Missouri State Archives Local Records Board Members

- Castle, Marlene**, Hillsboro;  
**Cordsiemon, Jo Ann**, Ellsberry;  
**Cranshaw, Dottie**, Troy;  
**Duncan, Sam**, Jackson;  
**Ellsworth, Danny**, Green Castle;  
**Hepler, Mike**, Milan;  
**Littrell, Robin**, Richmond;  
**Powers, Gilbert**, Warrensburg;  
**Reese, Charles**, Kansas City;  
**Richardson, Judy**, St. Charles;  
**Royal, Sherry**, Springfield;  
**Smith, Tracy**, Shelbyville;  
**Urich, Kristi**, Trenton;  
**Wehmer, Lou**, Willow Springs.

## Records Management Division

Telephone: (573) 751-3319 / FAX: (573) 526-5327

The mission of the Records Management Division is to provide state agencies with the necessary instruments to effectively and efficiently manage information and records, in order to promote the efficiency and continuity of government, document the rights of Missouri citizens, hold state officials accountable for their activities, and preserve Missouri's heritage. To achieve this mission, the division is divided into three sections: Records Management Services, the State Records Center, and Imaging Services.

Sound records management programs consist of a planned and coordinated set of policies, procedures and activities to manage recorded information in all media. Records Management Services assists state government agencies in identifying and effectively managing their official records. Records analysts are assigned to specified agencies. They consult with agency officials to develop retention schedules that list the agency's records and specify the retention period and the ultimate disposition of inactive records. Analysts advise agencies on cost and technical matters related to microfilm digital imaging, and low-cost storage of inactive and semi-active records.

The State Records Center provides state agencies with a storage solution for inactive records. Inactive records are those with a reference rate that does not warrant retention in expensive agency office or storage space. Records Center services include pick-up, delivery, reference pulls and re-filing, and destruction of agency records. All records remain in the legal custody of the transferring agency and access to stored documents is restricted to the agency's authorized personnel. The transferring of inactive files to the Records Center allows agencies to free up office space and equipment, saving Missouri taxpayers millions of dollars of costs over the life of the records. State agencies are not charged for these services. As of June 2009, 295,600 cubic feet of records were stored in the State Records Center's three facilities.

Imaging Services provides micrographics and digital imaging services to state agencies and local governments. Agencies can reduce the volume of, improve access to, and ensure archival preservation for their long-term and significant records by utilizing these services. Micrographics services include microfilming, processing, quality control, and silver and diazo microfilm duplication. Digital imaging services include image production from microfilm or original documents. The unit provides secure protection for silver original microfilm within a climate-controlled vault.

## State Records Commission

Chapter 109.250, RSMo established the State Records Commission. The Commission's task is to guide the records management program in the determination of retention periods for all records in the executive branch of state government. Currently, more than 900 state agencies, commissions, bureaus and boards operate under approved record retention schedules. Additionally, the commission reviews, approves and recommends guidelines for the management of electronic records generated by the state of Missouri.

### State Records Commission Members

**Carnahan, Robin**, chair, Secretary of State;  
**Koster, Chris**, Attorney General;  
**Montee, Susan**, State Auditor;  
**Kremer, Dr. Gary R.**, Executive Director, State Historical Society;  
**Bryan, Bill**, Missouri Chief Information Officer;  
**Nodler, Gary**, State Senator;  
**Scharnhorst, Dwight**, State Representative.

### Administrative Rules Division

James C. Kirkpatrick State Information Center  
 600 W. Main, PO Box 1767, Jefferson City 65102  
 Telephone: (573) 751-4015 / FAX: (573) 751-3032

Since 1976, the Administrative Rules Division has been charged by the Revised Statutes of Missouri with setting uniform standards, procedures and guidelines for the preparation and publishing of rules in the *Missouri Register* and the *Missouri Code of State Regulations*. The Administrative Rules Division initially publishes rulemakings proposed by state agencies in the *Missouri Register*. The rulemakings are published in the *Missouri Register* to provide public notice, and to allow agencies to receive comments and to revise the proposed rulemakings based on the comments. Agencies then file the final version of the rulemakings, the orders of rulemaking, with the division for final publication in the *Missouri Register* and subsequently in the *Missouri Code of State Regulations*. The division provides a user's guide for the proper preparation and filing of agency rules entitled *Rulemaking 1-2-3, Drafting and Style Manual*, that is available on line on the Secretary of State's website at [www.sos.mo.gov/adrules](http://www.sos.mo.gov/adrules) or in hard copy.

The Administrative Rules Division also publishes emergency rules in the *Missouri Register*. An agency may file an emergency rule if the agency finds an immediate danger to the public health, safety or welfare or if the emergency rule is necessary to preserve a compelling governmental interest that requires an early effective date, prior to that allowed by the traditional rule-making process. An emergency rule is only in effect for a limited period of time and normally has a corresponding proposed rulemaking that



BARBARA WOOD  
General Counsel



LESLYE M. WINSLOW  
Senior Counsel



BEN HOWLAND  
Deputy General Counsel



VAL HEET  
Director of Fiscal and Facilities

allows for public notice and comment. Once an emergency rule is approved and processed, it may be viewed on the Secretary of State's website at [www.sos.mo.gov/adrules](http://www.sos.mo.gov/adrules) under the Emergency Rules section until it is published in the *Missouri Register*.

The *Missouri Register* is published twice a month and updates to the 15-volume *Missouri Code of State Regulations* are published once a month. Both the *Missouri Register* and *Missouri Code of State Regulations* are available on the Secretary of State's website. Additionally, Missourians who are interested in particular rules may sign up on the Secretary of State's website for email notification of rule filings by rule number or subject matter.



JOHN BEAKLEY  
Director of Human Resources



BRICK MORFF  
Director of Information Technology

## Historical Listing, Secretaries of State

	Name and (party)	Term	County	Born	Died
1.	Joshua Barton (D)	1820–21	St. Louis	1788	6/28/1823
2.	William Grymes Pettus (D) <sup>1</sup>	1821–24	St. Charles	12/31/1794	Unknown
3.	Hamilton Rowan Gamble (D)	1824–26	St. Louis	11/29/1789	1/31/1864
4.	Spencer Darwin Pettis (D)	1826–28	St. Louis	1802	8/27/1831
5.	Priestly Haggin McBride (D)	1829–30	Boone	1796	5/21/1869
6.	John Cummins Edwards (D) <sup>5</sup>	1830–35	St. Louis	6/24/1806	9/17/1888
7.	Henry Shurlds (D)	1835–37	Washington	11/21/1796	8/2/1852
8.	John Cummins Edwards (D)	1837	Cole	6/24/1806	9/17/1888
9.	Peter Garland Glover (D)	1837–39	Callaway	1/14/1792	10/27/1851
10.	James Lawrence Minor (D) <sup>5</sup>	1839–45	Marion	6/ 9/1813	6/2/1897
11.	Faulkland Heard Martin (D)	1845–49	Jefferson	1804	11/16/1856
12.	Ephriam Brevard Ewing (D)	1849–53	Ray	5/1819	6/2/1873
13.	John M. Richardson (D) <sup>2</sup>	1853–57	Greene	1820	5/1/1899
14.	Benjamin Franklin Massey (D)	1857–61	Jasper	1811	12/18/1879
15.	Mordecai Oliver (U) <sup>3, 4</sup>	1861–65	Greene	1819	4/25/1898
16.	Francis A. Rodman (R) <sup>5</sup>	1865–71	Buchanan	1829	1/1/1888
17.	Eugene F. Weigel (D)	1871–75	St. Louis	1844	10/23/1896
18.	Michael Knowles McGrath (D) <sup>5</sup>	1875–89	St. Louis	9/1833	1/28/1913
19.	Alexander A. Lesueur (D) <sup>5</sup>	1889–1901	Lafayette	11/25/1842	1/29/1924
20.	Sam Baker Cook (D)	1901–05	Audrain	7/11/1852	2/5/1931
21.	John Ephriam Swanger (R)	1905–09	Sullivan	6/22/1864	10/19/1936
22.	Cornelius Roach (D) <sup>5</sup>	1909–17	Jasper	8/9/1863	9/3/1934
23.	John Leo Sullivan (D)	1917–21	Pettis	10/14/1877	1/11/1936
24.	Charles U. Becker (R) <sup>5</sup>	1921–33	Polk	10/21/1868	5/21/1934
25.	Dwight H. Brown (D) <sup>5</sup>	1933–44	Butler	1/12/1887	5/8/1944
26.	Gregory C. Stockard (R) <sup>6</sup>	1944–45	Cole	8/3/1904	10/14/1993
27.	Wilson Bell (D)	1945–47	Washington	5/24/1897	5/20/1947
28.	Edgar C. Nelson (D) <sup>7</sup>	1947–49	Cooper	8/17/1883	10/9/1970
29.	Walter H. Toberman (D) <sup>5</sup>	1949–60	St. Louis	4/19/1879	2/13/1960
30.	Robert W. Crawford (D) <sup>8</sup>	1960–61	Vernon	11/11/1926	2/20/1991
31.	Warren E. Hearnes (D)	1961–65	Mississippi	7/24/1923	8/16/2009
32.	James C. Kirkpatrick (D) <sup>5</sup>	1965–85	Henry, Barton, Cole	6/15/1905	12/26/1997
33.	Roy D. Blunt (R) <sup>5</sup>	1985–93	Greene	1/10/1950	
34.	Judith K. Moriarty (D)	1993–94	Pettis	2/2/1942	
35.	Richard Hanson (D) <sup>9</sup>	1994	Cole	2/20/1940	
36.	Rebecca McDowell Cook (D) <sup>5, 10</sup>	1994–2001	Cape Girardeau	7/18/1950	
37.	Matt Blunt (R)	2001–05	Greene	11/20/1970	
38.	Robin Carnahan (D) <sup>5</sup>	2005	Phelps	8/4/1961	

<sup>1</sup>Appointed when Barton resigned to become U.S. District Attorney for Missouri in September, 1821.

<sup>2</sup>Richardson was the first Secretary of State to be elected to the office. Prior to 1852, Secretaries of State were appointed by the governor.

<sup>3</sup>Unionist

<sup>4</sup>Oliver was elected provisional secretary of state by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Jackson joined the Confederacy.

<sup>5</sup>Some held multiple terms as secretary of state. In consideration of space, some are listed here only once.

<sup>6</sup>Appointed upon death of Dwight H. Brown.

<sup>7</sup>Appointed upon death of Wilson Bell.

<sup>8</sup>Appointed upon death of Walter H. Toberman.

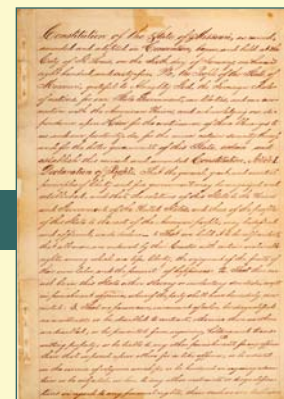
<sup>9</sup>Appointed upon impeachment of Judith K. Moriarty.

<sup>10</sup>Appointed upon resignation of Richard Hanson.

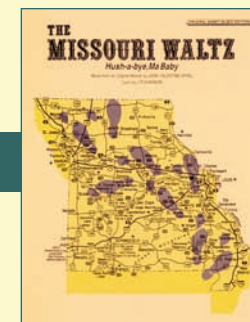
# Missouri Digital Heritage

## Missouri

... in print,



picture,



and song.

The Missouri Digital Heritage Initiative is a collaborative project dramatically expanding the amount of information available online about Missouri's past. The website includes records and images of enduring historical value from institutions throughout Missouri, as well as from the vast holdings of the Missouri State Archives. Citizens of all ages will enjoy browsing the online exhibits, while researchers will find a wealth of resources to serve their needs.

(Top) 1865 Missouri State Constitution, Missouri State Archives. (Middle) Dairy Chemistry Lab, Dairy Building, 1909, *Cows on the Lawn*, Archives of the University of Missouri-Columbia online exhibit. (Bottom) *Missouri Waltz*, Missouri State Archives.

[www.MissouriDigitalHeritage.com](http://www.MissouriDigitalHeritage.com)



# Susan Montee

## State Auditor

Elected November 7, 2006

Term expires January 2011



SUSAN MONTEE (Democrat) was sworn in as Missouri's 35th state auditor in January 2007. She won her election with more votes and by a wider margin than any previous state auditor. Susan emphasized her experience as a certified public accountant and county auditor and subsequently became the first state auditor in Missouri history who is both a CPA and attorney. Susan was the first statewide official elected from Buchanan County, in Northwest Missouri, in over 125 years.

Susan used her background as a small business owner to identify overlap in the state auditor's office and make significant organizational changes. She streamlined procedures and standardized reporting. The increase of shared staff and resources allows the office to run more efficiently. Susan also merged divisions of the state auditor's office, reducing the number of divisions from four to three. She implemented an instantaneous electronic alert system where Missouri citizens can be notified when new audit reports are issued.

She has conducted several audits that have yielded a positive impact for Missouri taxpayers and citizens. The audit of the Missouri Higher Education Loan Authority (MOHELA) uncovered blatant waste and abuse, including severance packages to former executives that total almost \$2.3 million. Susan's audit of the Riverview Gardens School District identified fraud on the part of the superintendent, who was subsequently convicted of his crimes. A citizens' petition prompted an audit of the City of Springfield and City Utilities of Springfield. By the end of the following year, a majority of the audit recommendations had been implemented. Susan alerted the public, general assembly and executive branch of the pending insolvency of the Second Injury Fund. She has urged legislators to make the Second Injury Fund a top priority because of the benefits it conveys to injured workers and veterans. She was petitioned by citizens to audit the City of St. Louis, a process that took over two years and resulted in 23 separate audit reports.

Susan has tackled issues relating to public education, child safety and protection of our most vulnerable citizens. Her audits of student immunization compliance, day care and senior center licensing, and the Safe Schools Initiative

have resulted in legislative proposals to solve the inadequacies she uncovered. Susan brought national attention to Missouri when she released her audit that unveiled insufficient school bus driver compliance and background checks.

Born and raised in St. Joseph, the daughter of Arlene Humphrey of St. Joseph and U.S. Marine Galen Humphrey, Missing-in-Action in Vietnam since 1966. Susan graduated from Bishop LeBlond High School in St. Joseph and received an undergraduate degree in accounting from Drury College in Springfield, with minors in economics and business administration.

After receiving her accounting degree, Susan worked as a CPA in both private practice and in one of the nation's largest accounting firms. Susan then obtained her law degree from the University of Missouri-Kansas City. During her tenure there, she served as business editor for the Law Review. Susan graduated With Distinction in 2000.

Susan's first elective post was to an at-large, four-year term on the St. Joseph City Council in 1998. In 2000, Susan was elected Buchanan County Auditor. She served the county for two years concurrently with her service on the City Council. In 2002, she was re-elected to a four-year term as County Auditor. During her six years as Buchanan County Auditor, Susan transformed the office, taking it from handwritten ledgers to computers and modern accounting practices.

She is a member of the Missouri Society of CPAs and the Missouri Association of Trial Attorneys. She also serves on the advisory committee for the Sue Shear Institute for Women in Public Life, the executive committee of the Mid-America Intergovernmental Audit Forum and the membership development committee for the National Association of State Auditors, Comptrollers and Treasurers. Susan is a member of the Kansas City Association of Women Lawyers, and the American Association of Justice.

Susan was the 2008 "Woman of the Year" award recipient from the Association for Women Lawyers of Greater Kansas City.

She is the mother of three children, Amanda, Andy and Austin. The family are members of the Christ Episcopal Church.





# Office of State Auditor

121 State Capitol, Jefferson City 65101  
Telephone: (573) 751-4824  
Truman State Office Bldg., Room 880  
Telephone: (573) 751-4213 / FAX: (573) 751-7984  
www.auditor.mo.gov  
E-mail: moaudit@auditor.mo.gov

The state auditor's office is Missouri's independent watchdog agency, charged with auditing all state agencies, boards and commissions, the state court system, and the 89 counties in Missouri that do not have a county auditor. The state auditor may also be called on to audit local units of government by citizen petition.

Audits are performed to determine if tax dollars are being spent efficiently, economically and legally, and to determine how well governmental units and agencies protect against fraud and abuse of the public funds under their control. Approximately 200 state agencies and programs, the state's 45 judicial circuits (including approximately 400 municipal divisions) and 89 counties must be regularly audited by the state auditor. Approximately 20 audits of local government entities, petitioned by local voters, are performed each year.

In addition to fulfilling the primary auditing duties of the office, the state auditor assists local governments in development of proper accounting procedures, approves depositories selected by the state treasurer and serves as a member of the Board of Fund Commissioners and the State Records Commission, and as an *ex officio* member of the Joint Committee on Transportation Oversight. The state auditor also examines and registers general obligation bonds issued by political subdivisions of the state to ensure that each one complies with state law and reviews all property tax rates set by local political subdivisions.

The powers of the state auditor are constitutional, derived from Article IV, Section 13 of the *Missouri Constitution*. Most legal duties are detailed in Chapter 29 of the *Revised Statutes of Missouri*.

## Organization

The chief of staff works with the state auditor in developing office policies, supervising staff and special projects, and consulting with directors concerning the day-to-day operations of the office. The chief of staff works with areas such as



JOE MARTIN, JD  
Chief of Staff



KEN JACOB, JD  
General Counsel

human resources, budget and finance, information technology, and public affairs. Audit directors aid the state auditor and supervise the work of audit managers in charge of auditing various aspects of government operations or government agencies and units. Audit directors, the director of quality control and planning, and audit managers review audit reports before the audits are delivered to the state auditor for final review and approval.

In addition to coordinating audits, audit directors and managers also track changes in policies and laws affecting the agencies or areas of government for which they are responsible for possible revision of audit plans or priorities as needed to review compliance.

Individual audits are supervised by an auditor-in-charge, who usually is a senior auditor. Audit fieldwork is performed by senior and staff auditors.

The state auditor's office has approximately 130 employees. One hundred percent of current audit management staff, including the state auditor, are CPAs, and over 57 percent of all audit staff have at least one professional license, certification, or advanced degree. The office hires professional audit staff with undergraduate and graduate degrees, and actively recruits candidates on campuses of many Missouri universities. Audit staff are hired on the basis of professional and academic qualifications.

Employment as an auditor with the state auditor's office qualifies as mandatory experience required for licensure by the Missouri State Board of Accountancy as a certified public accountant.

## Audits

The state auditor's office performs financial and performance audits, which also include review of management practices. Financial records of auditees are examined to determine how the funds under the auditee's control were actually recorded and spent. The systems and controls the auditee uses to protect funds and property against fraud, waste and abuse are checked. The auditee's compliance with con-



BRANDEE BOWER, J.D.  
Chief Legal Counsel



ALICE FAST, CPA  
Director of Audits



PEGGY SCHLER, CPA  
Director of Audits



JOHN LUETKEMEYER, CPA  
Director of Audits



ALLISON BRUNS  
Director of Communications



BECKY BRENECKE  
Legislative Liaison



SEAN SPENCE  
Special Assistant to State Auditor  
for Planning & Development



JOAN DOERHOFF  
Executive Secretary to State  
Auditor

stitutional provisions, laws, legislative appropriation levels and administrative rules is also reviewed. Management practices are reviewed for efficiency and accountability.

Audits are conducted in accordance with government auditing standards established by the Comptroller General of the United States. Completed financial audits contain a formal opinion from the state auditor regarding the fair presentation of financial statements. A management advisory report listing any management weaknesses disclosed during the audit is also included. Findings and recommendations in the management advisory report are reviewed with the auditee at an exit conference prior to the release of the audit so the responses from the auditee to the recommendations may be printed in the final report.

The state auditor performs special reviews and audits of selected programs or agencies when needed.

The state auditor works closely with other audit agencies and offices at the local, state and national level. This is done to avoid duplication of effort in the audits of programs that may receive funding from several sources.

## Citizen Awareness

Information from citizens, legislators and government employees aid the state auditor when performing special reviews and audits.

Citizens are urged to contact the state auditor if they have information they feel would be useful to auditors performing the audits. All sources of information are kept confidential. Citizens can contact the state auditor's office by calling our toll-free Hotline at (800) 347-8597, or through our website at [www.auditor.mo.gov](http://www.auditor.mo.gov).

## Public Information

All audit reports produced by the state auditor's office are public documents issued by the state auditor. Reports dealing with state agencies are provided to the press corps covering the State Capitol and to any regional or local newspaper, radio or television station requesting a copy. Audits dealing with county or local government are delivered to the media in that area. Reports are also delivered to the Missouri State Library and are available through the state's library system. Citizens may request copies of any audit report by writing to PO Box 869, Jefferson City, MO 65102, calling (573) 751-4213 or 800-347-8597, or by visiting our website at [www.auditor.mo.gov](http://www.auditor.mo.gov).

## Historical Listing, State Auditors

	Name and (party)	Term	County	Born	Died
1.	William Christy (D)	1820–21	St. Louis	1/10/1764	4/3/1837
2.	William V. Rector (D)	1821–23	St. Louis	Unknown	9/16/1829
3.	Elias Barcroft (D) <sup>5</sup>	1823–33	St. Louis	1778	8/26/1851
4.	Henry Shurlds (D)	1833–35	Washington	11/21/1796	8/2/1852
5.	Peter Garland Glover (D)	1835–37	Callaway	1/14/1792	10/27/1851
6.	Hiram H. Baber (D) <sup>5</sup>	1837–45	Cole	9/10/1795	10/23/1873
7.	William Monroe (D)	1845	Morgan	Unknown	4/9/1846
8.	James W. McDearmon (D)	1845–48	St. Charles	8/31/1805	3/20/1848
9.	George W. Miller (D)	1848–49	Cole	Unknown	3/19/1879
10.	Wilson Brown (D)	1849–52	Cape Girardeau	8/27/1804	8/27/1855
11.	Abraham Fulkerson (D)	1852	Cole	Unknown	10/2/1892
12.	William H. Buffington (D)	1853–61	Cole	Unknown	9/26/1899
13.	W.S. Moseley (D)	1861–65	New Madrid	Unknown	1/3/1879
14.	Alonzo Thompson (R)	1865–69	Nodaway	2/22/1832	4/9/1913
15.	Daniel M. Draper (R)	1869–73	Montgomery	1839	5/26/1915
16.	George Boardman Clark (D)	1873–75	Washington	Unknown	12/8/1903
17.	Thomas Holladay (D) <sup>5</sup>	1875–81	Madison	1834	7/31/1904
18.	John Walker (D) <sup>5</sup>	1881–89	Howard	Unknown	9/30/1892
19.	James Monroe Seibert (D)	1889–1901	Cape Girardeau	2/3/1847	1/23/1935
20.	Albert Otis Allen (D)	1901–05	New Madrid	12/12/1842	4/4/1926
21.	William Werner Wilder (R)	1905–09	Ste. Genevieve	12/13/1857	8/26/1930
22.	John Pemberton Gordon (D) <sup>5</sup>	1909–17	Lafayette	4/29/1866	3/5/1949
23.	George Ernst Hackman (R) <sup>5</sup>	1917–25	Warren	8/10/1877	12/29/1954
24.	Lorenzo Dow Thompson (R) <sup>5</sup>	1925–33	Callaway	11/22/1873	10/1/1951
25.	Forrest Smith (D) <sup>5</sup>	1933–49	Ray	12/14/1886	3/8/1962
26.	W.H. Holmes (D)	1949–53	Maries	4/21/1883	3/31/1953
27.	Haskell Holman (D) <sup>1, 5</sup>	1953–71	Randolph	11/29/1908	7/12/1974
28.	Christopher S. (Kit) Bond (R)	1971–73	Audrain	3/6/1939	
29.	John Ashcroft (R) <sup>2</sup>	1973–74	Greene	5/9/1942	
30.	George W. Lehr (D)	1974–77	Jackson	3/10/1937	3/21/1988
31.	Thomas M. Keyes (D) <sup>3</sup>	1977–78	Jackson	2/4/1914	4/3/1987
32.	James Antonio (R) <sup>5</sup>	1978–84	Cole	6/30/1939	
33.	Margaret B. Kelly (R) <sup>4, 5</sup>	1984–99	Cole	9/17/1935	
34.	Claire McCaskill (D)	1999–2007	Jackson	7/24/1953	
35.	Susan Montee (D) <sup>6</sup>	2007	Buchanan	7/06/1959	

<sup>1</sup>Appointed upon death of W.H. Holmes.<sup>2</sup>Appointed upon resignation of Christopher S. Bond.<sup>3</sup>Appointed upon resignation of George W. Lehr.<sup>4</sup>Appointed upon resignation of James Antonio.<sup>5</sup>Some held multiple terms as state auditor. In consideration of space, they are listed here only once.<sup>6</sup>Appointed upon resignation of Claire McCaskill to become U.S. Senator in November 2006.

A woman on a bicycle, n.d.  
 Danzero Photograph Collection  
 Special Collections, Missouri State University



# Clint Zweifel

## State Treasurer

Elected November 4, 2008

Term expires January 2013



CLINT ZWEIFEL (Democrat) was sworn in as Missouri's 45th State Treasurer on Jan. 12, 2009. At 35, Treasurer Zweifel is Missouri's youngest State Treasurer in more than a century. He was elected Nov. 4, 2008, winning by more than 92,000 votes.

Zweifel's strategic priorities for his office include rebuilding the economy and putting Missourians back to work, increasing college affordability, furthering open government and renewing the promise of affordable and responsible homeownership.

Upon taking office, Zweifel focused on creating and retaining Missouri jobs and reinvesting in communities by championing *Invest in Missouri*. The legislation targets economic development by reinvesting more than \$1 billion in Missouri communities. The legislative package expands the Missouri Linked Deposit Program, which provides low-interest loans to small businesses and farms. *Invest in Missouri* also provides taxpayers a fair return on state investments for the first time in more than half a century. The legislation passed the legislature without receiving one "no" vote, and was signed into law.

Zweifel pushed for college affordability through use of the MOST 529 College Savings Program, which his office administers. He led efforts to provide incentives to encourage more Missourians to use the program to save for college education. Additionally, he worked to expand awareness of the plan and its benefits, including tax deductions and tax-free capital gains. MOST 529 has more than \$1 billion in assets, with more than 117,000 account owners.

As State Treasurer, Zweifel oversees the management of the state's investments. His office manages \$20 billion in annual state revenues, directs the state's banking services and oversees Missouri's \$3.5 billion investment portfolio. Treasurer Zweifel's office is also responsible for safeguarding \$550 million in unclaimed assets turned over to the state by banks, businesses, insurance companies and government agencies, and aggressively tries to locate owners.

Zweifel serves as chair of the Missouri Higher Education Savings Program Board, which oversees MOST 529: Missouri's College Savings Plan, and the Missouri Investment Trust. In addition, he sits on the Missouri Housing Development Commission, the Missouri State Employees' Retirement System and the Missouri Cultural Trust Board.

Prior to serving as State Treasurer, Zweifel represented the 78th District in the Missouri House of Representatives for six years. His district covered parts of northwest St. Louis County, including parts of Bridgeton, Hazelwood and Florissant.

Zweifel won election to the House in 2002 by a 67-vote margin among more than 13,000 votes cast. He won again in 2004 with 70 percent of the vote, and was uncontested in 2006. While in the House, Zweifel led policy strategy and developed real solutions to help Missouri families. He created proposals on early-childhood education, higher education, helping seniors cope with property taxes and guarding citizen privacy.

Focusing on college affordability, Zweifel developed and sponsored Missouri Promise. The legislation guaranteed any child in Missouri a four-year college degree by expanding the A+ Program. Missouri Promise allowed any student who graduated from an accredited A+ high school to then go to a two-year community college free of charge. If the student maintained the required grades and completed community service while at the community college, he or she would be eligible to complete a four-year degree at any Missouri public university or college at no cost.

In the House, Zweifel was the ranking member on the Ways and Means Committee and the Special Committee on Tax Reform.

Treasurer Zweifel was born Nov. 3, 1973, in St. Louis, and grew up in nearby Florissant. His dad is a retired union carpenter and his mom is a hairdresser. Zweifel graduated from Hazelwood West High School in 1992.

Zweifel was the first member of his family to attend college. He graduated from the University of Missouri-St. Louis with a Bachelors of Arts in Political Science in 1996. In 2001, he graduated from UMSL with a Master of Business Administration. While pursuing his undergraduate degree, Zweifel was Vice President of the UMSL Student Government Association.

Treasurer Zweifel worked for Teamsters Local 688 in the St. Louis area before he was elected Treasurer. While there, he worked with members to plan out their retirements. He is married to Janice, and they are parents to two wonderful girls, Selma and Elma. They reside in Columbia.



# Office of State Treasurer

Room 229, State Capitol, Jefferson City 65101  
Rooms 157, 780, Harry S. Truman State Office Bldg.  
PO Box 210, Jefferson City 65102  
Telephone: (573) 751-8533  
[www.treasurer.mo.gov](http://www.treasurer.mo.gov)

## Duties

State Treasurer Clint Zweifel oversees all investments made by the State of Missouri. His office manages Missouri's \$20 billion in annual State revenues, directs its banking services and manages its \$3.5 billion investment portfolio. Treasurer Zweifel's office safeguards more than \$550 million in unclaimed assets that have been turned over to the State by banks, businesses, insurance companies and government agencies, and tries to locate the owners. Treasurer Zweifel also serves on the management boards of a number of public entities. He is one of six statewide elected officials, and serves a term of four years. A person may only serve as State Treasurer for two terms.

## Investments

Treasurer Zweifel determines the amount of State funds not needed for current operating expenses, and invests those funds in interest-bearing time deposits in Missouri banks, U.S. Treasury and federal agency securities, repurchase agreements, banker's acceptances and top-rated commercial paper. Safety is Treasurer Zweifel's number one priority in the investment of the public's funds. Staff investment specialists monitor major investment markets, and work to maximize the State's return on investments without compromising safety. Treasurer Zweifel's has an average of \$3.5 billion invested daily, earning the State millions of dollars in interest income each year.

## Missouri Linked Deposit Program

The Missouri Linked Deposit Program is designed to provide low-interest loans to small businesses and farms in Missouri. Under this program, State funds are placed with financial institutions at below-market interest rates so the institution can issue loans at a reduced rate to eligible borrowers. Loan savings is usually 2 to 3 percent to the borrower. There is a \$720 million statutory cap for the program. The program can be used by small businesses with less than 100

employees, agricultural operations, beginning farmers, businesses that are adding jobs, entities making alternative energy investments, multi-family housing developments and local governments making community improvements.

This program was expanded by Treasurer Zweifel through *Invest in Missouri*, legislation he championed in 2009. The legislation enhanced program eligibility to stimulate job creation, job retention and community reinvestment.

Financial institutions and borrowers should visit [www.treasurer.mo.gov](http://www.treasurer.mo.gov) or their local financial institution to learn more about the program. The application process has been streamlined and takes about one week to complete within Treasurer Zweifel's office.

## Unclaimed Property – [www.ShowMeMoney.com](http://www.ShowMeMoney.com)

Treasurer Zweifel's office is responsible for Missouri's largest Lost and Found, the State's Unclaimed Property Division. State law requires financial institutions, insurance companies, public agencies and other business entities to turn over assets that belong to a customer, client, employee or other owner if there have been no documented transactions or contact with the owner for five or more years. Treasurer Zweifel's office operates an aggressive program to return unclaimed assets to the original owners or their legal heirs. Most unclaimed property consists of cash from bank accounts, stocks, bonds and contents of safe deposit boxes that have been abandoned. It also can include uncollected insurance policy proceeds, government refunds, utility deposits and wages from past jobs. The Unclaimed Property Division does not handle real property such as land, houses, cars or boats.

Treasurer Zweifel's office currently is holding more than \$550 million in unclaimed property and funds in more than 3.5 million owner accounts. In fiscal year 2008, the Unclaimed Property Division returned a record \$34.5 million. All unclaimed property is held in trust by Treasurer Zweifel's office forever. It can be claimed at any time. To recover unclaimed property, claimants must be either the original owner of the property or a legal heir. All services of the Unclaimed Property Division are free of charge.

Individuals can check to see if the Treasurer Zweifel's office is holding unclaimed property that may belong to them by using its searchable database at [www.ShowMeMoney.com](http://www.ShowMeMoney.com).

## MOST 529: Missouri's College Savings Plan – [www.MissouriMost.org](http://www.MissouriMost.org)

Treasurer Zweifel chairs the Missouri Higher Education Savings Program Board, which over-



MARK MATHERS  
Deputy State Treasurer



ANGIE HEFFNER ROBYN  
Director of Policy / General Counsel



NICOLE HACKMANN  
Director of Banking



SCOTT HARPER  
Director of Unclaimed Property



JON GALLOWAY  
Director of Communications /  
Policy Assistant



RYAN TURNAGE  
Assistant General Counsel



BRANDON FUHR  
Legislative Liaison



DEBBIE SCHERTZER  
Executive Assistant to the  
Treasurer

sees MOST 529. Developed by the State Treasurer's Office in 1999, the MOST 529 program encourages Missouri families to save for higher education, while taking advantage of significant federal and state tax benefits. Accounts can be opened with as little as \$25 or \$15 through payroll deduction. Contributions of up to \$8,000 (\$16,000 for married couples) annually can be deducted from Missouri income taxes, and all earnings are free of federal and State income taxes. Funds from MOST 529 accounts can be used to pay for all eligible educational expenses at virtually any two-year or four-year college or university, vocational, technical or professional school anywhere in the country.

For more information about MOST 529, call toll free: 1-888-414-MOST, or visit [www.missourimost.org](http://www.missourimost.org).

## Banking Services

Treasurer Zweifel serves as Missouri government's banking director. While not a bank itself, his office must authorize payments and balance accounts. To protect taxpayers' money, Treasurer Zweifel's office maintains a separate accounting system to provide a check and balance on the

State accounting system, and distributes investment earnings to the proper funds. Treasurer Zweifel's office contracts with Missouri banks to process the State's receipts and disbursements, handle money and security transfers, report on the State's accounts, balances and payment activities and provide related banking services. Bidding for State banking services contracts is open to all Missouri banks.

## Boards and Commissions

Treasurer Zweifel serves on the governing boards of four large public entities: the Missouri Housing Development Commission, which assists in the creation of affordable housing for Missourians; the Missouri State Employees' Retirement System, which manages retirement funds for more than 56,000 state employees and retirees; the Board of Fund Commissioners, which issues, redeems and cancels State general obligation bonds and other debt; and the Missouri Higher Education Savings Program Board, which oversees MOST 529: Missouri's College Savings Plan. Treasurer Zweifel also serves on the boards of the Missouri Investment Trust and the Missouri Cultural Trust Board.

## Historical Listing, State Treasurers

	Name and (party)	Term	County	Born	Died
1.	John Peter Didier (D)	1820–21	St. Louis	Unknown	8/25/1823
2.	Nathaniel Simonds (D) <sup>1</sup>	1821–29	St. Louis	1775	4/7/1850
3.	James Earickson (D)	1829–33	Howard	1792	1844
4.	John Walker (D)	1833–38	Cole	10/17/1772	5/26/1838
5.	Abraham McClellan (D)	1838–43	Jackson	1775	9/18/1851
6.	Peter Garland Glover (D) <sup>1</sup>	1843–51	Cole	1/14/1792	10/27/1851
7.	Alfred William Morrison (D) <sup>1</sup>	1851–61	Howard	11/25/1802	8/24/1883
8.	George Caleb Bingham (D) <sup>1</sup> , <sup>2</sup>	1862–65	Jackson	3/20/1811	7/7/1879
9.	William Bishop (R)	1865–69	Clark	1822	5/2/1879
10.	William Quintilis Dallmeyer (R)	1869–71	Gasconade	10/23/1829	3/15/1908
11.	Samuel Hays (R)	1871–73	Buchanan	Unknown	10/8/1897
12.	Harvey Wallis Salmon (D)	1873–75	Henry	2/26/1839	4/27/1927
13.	Joseph Wayne Mercer (D)	1875–77	Jackson	2/25/1846	3/13/1906
14.	Elijah Gates (D)	1877–81	Buchanan	1827	3/4/1915
15.	Phillip Edward Chappel (D)	1881–85	Cole	8/18/1837	2/23/1908
16.	James Monroe Seibert (D)	1885–89	Cape Girardeau	12/3/1847	1/23/1935
17.	Edward T. Noland (D)	1889–90	Cape Girardeau	3/24/1847	6/20/1926
18.	Lon Vest Stephens (D)	1890–97	Cooper	12/1/1855	1/10/1923
19.	Frank Littleton Pitts (D)	1897–1901	Monroe	4/25/1841	2/4/1905
20.	Robert Prewitt Williams (D)	1901–05	Howard	9/8/1841	7/11/1910
21.	Jacob Friedrich Gmelich (R)	1905–09	Cooper	Unknown	2/21/1941
22.	James Cowgill (D)	1909–13	Jackson	4/2/1848	1/20/1922
23.	Edwin P. Deal (D)	1913–17	Mississippi	4/19/1859	12/10/1945
24.	George H. Middlekamp (D)	1917–21	Warren	4/20/1880	10/5/1966
25.	Lorenzo Dow Thompson (R)	1921–25	Callaway	11/22/1873	10/1/1951
26.	C. Eugene Stephens (R)	1925–29	St. Louis	12/20/1889	6/25/1970
27.	Larry Brunk (R)	1929–33	Lawrence	2/9/1883	11/22/1956
28.	Richard R. Nancy (D)	1933–37	Cole	11/7/1895	1/10/1961
29.	Robert William Winn (D)	1937–41	Ralls	6/9/1895	8/13/1948
30.	Wilson Bell (D)	1941–45	Washington	5/24/1897	5/20/1947
31.	Robert William Winn (D)	1945–48	Ralls	6/9/1895	8/13/1948
32.	Richard R. Nancy (D) <sup>3</sup>	1948–49	Cole	11/7/1895	1/10/1961
33.	Mount Etna Morris (D)	1949–53	Grundy	9/1/1900	7/8/1988
34.	George Hubert Bates (D)	1953–57	Lafayette	12/8/1884	7/22/1978
35.	Mount Etna Morris (D)	1957–61	Grundy	9/1/1900	7/8/1988
36.	Milton Carpenter (D)	1961–65	St. Louis City	3/4/1905	11/19/1996
37.	Mount Etna Morris (D)	1965–69	Grundy	9/1/1900	7/8/1988
38.	William Edmond Robinson (D)	1969–73	Cole	6/1/1920	10/16/1992
39.	James I. Spainhower (D) <sup>1</sup>	1973–81	Cole	8/3/1928	
40.	Mel Carnahan (D)	1981–85	Phelps	2/11/1934	10/16/2000
41.	Wendell Bailey (R) <sup>1</sup>	1985–93	Howell	7/31/1940	
42.	Bob Holden (D) <sup>1</sup>	1993–2001	Shannon	8/24/1949	
43.	Nancy Farmer (D)	2001–05	St. Louis City	9/11/1956	
44.	Sarah Steelman (R)	2005–09	Phelps	5/3/1958	
45.	Clint Zweifel (D)	2009	St. Louis	11/3/1973	

<sup>1</sup>Some held multiple terms as state treasurer. In consideration of space, they are listed here only once.

<sup>2</sup>Bingham was appointed state treasurer by provisional Governor Gamble when Morrison refused to take a loyalty oath.

<sup>3</sup>Appointed upon the death of Robert William Winn.



Swimmers at Doling Park, Springfield, 1923  
 Danzero Photograph Collection  
 Special Collections, Missouri State University



# Chris Koster

## Attorney General

Elected November 4, 2008  
Term expires January 2013



CHRIS KOSTER (Democrat) was sworn in on January 12, 2009, as the 41st Attorney General of the state of Missouri. Koster campaigned on a platform of prosecuting corporate and mortgage fraud, cracking down on fraudulent Medicaid providers, and protecting against violations of workers' rights. Koster also pledged to work aggressively to enforce Missouri's environmental laws, and support law enforcement in fighting the spread of illegal methamphetamines.

Shortly after taking office, Attorney General Koster created a new division, called the Division of Civil, Disability & Worker's Rights, to place new emphasis on the enforcement of civil rights in discrimination cases, the protection of the rights of Missouri's disabled community, and the protection of the rights of workers in our state's workplaces.

The Attorney General also has made educating public officials about the Sunshine Law a priority of his office. Koster created the first full-time position of *Sunshine Law* educator to travel to every county in Missouri giving presentations and seminars to state, county and municipal agencies on Missouri's open meetings and records law.

Through the office's Consumer Division, Koster is working to focus efforts on the biggest threats to consumers. Early in his term, as the state and nation were deep in a housing-foreclosure crisis, the Attorney General demanded a "zero tolerance" campaign against businesses that promised foreclosure relief without delivering services, as well as against businesses that used deceptive practices to entice consumers to refinance. The Attorney General also is aggressively targeting businesses that violate Missouri's no-call list, pursuing substantial penalties against them.

Before his election as Attorney General, Koster represented the 31st district in the Missouri Senate from 2004 to 2008, which covers Cass, Johnson, Bates and Vernon counties.

During his time in the General Assembly, Koster played key roles in the debates over stem cell research, tort reform, and the elimination of Medicaid fraud. Additionally, in 2006 Koster successfully carried legislation in the Senate to dramatically overhaul Missouri's eminent domain laws.

Prior to his election to the Missouri Senate in 2004, Koster served as Prosecuting Attorney of Cass County for 10 years. He was first elected prosecutor in 1994 and was subsequently re-elected in 1998 and 2002 by wide margins. As prosecutor, Koster supervised a staff of 20 individuals dedicated to enforcing Missouri's criminal laws in Cass County. Additionally, his office served as the civil counsel for all non-criminal matters before the county government.

During his tenure, Koster supervised litigation in approximately 20,000 cases. He led investigations into many of Kansas City's most notorious criminal cases, including the investigation and prosecution of serial killer John E. Robinson. He has developed extensive trial experience and has argued and won cases before the Missouri Supreme Court. He played a leading role in the construction of the Cass County Justice Center, which opened in 2002 in Harrisonville.

Koster is active in his community. He has served on the Missouri Sentencing Advisory Commission and our state's Bioterrorism Task Force. Additionally, Koster has served as a director of the Missouri Association of Prosecuting Attorneys, a member of the U.S. Attorney's Anti-Terrorism Task Force and director of the Hope Haven Women's Shelter. In 2002, *Ingram's Magazine* named Koster "one of Kansas City's most influential citizens under 40 years old." In 2004, the *Kansas City Business Journal* named Koster to its list of Kansas City's best attorneys. On multiple occasions, he has been included among Missouri's most influential lawyers by *Missouri Lawyers Weekly*.

Prior to becoming prosecuting attorney, Koster practiced law with the Kansas City law firm of Blackwell Sanders from 1993 to 1994. He also served as a Missouri Assistant Attorney General from 1991 to 1993.

Koster was born and raised in St. Louis. After graduating from St. Louis University High School in 1982, he received a liberal arts degree from the University of Missouri-Columbia in 1987 and his law degree from University of Missouri-Columbia School of Law in 1991. Additionally, Koster earned a Masters in Business Administration from Washington University-St. Louis in 2002.



# Office of Attorney General

Office of Attorney General  
Supreme Court Building  
PO Box 899, Jefferson City 65102  
Telephone: (573) 751-3321 / FAX: (573) 751-0774  
www.ago.mo.gov  
Email: ag@ago.mo.gov

The attorney general is the attorney for the state, representing the legal interests of Missouri and its state agencies.

As the state's chief legal officer, the attorney general must prosecute or defend all appeals to which the state is a party, including every felony criminal case appealed to the Missouri Supreme Court and Courts of Appeal. The attorney general also is required to institute, in the name and on behalf of the state, all civil suits and other proceedings that are necessary to protect the state's rights, interests or claims. The attorney general may appear, interplead, answer or defend any proceedings that involve the state's interests, or appear on behalf of the state in declaratory judgment proceedings when the constitutionality of a statute is challenged.

The attorney general also renders official opinions to the executive and legislative branch and the county prosecuting attorneys on questions of law relating to their duties. The attorney general may institute quo warranto proceedings against anyone unlawfully holding office or move to oust any public official for malfeasance in office.

The attorney general's office was created in 1806 when Missouri was part of the Louisiana Territory. Missouri's first Constitution in 1820 provided for an appointed attorney general, but, since the 1865 Constitution, the attorney general has been elected.

By law, the attorney general is a member of the Board of Fund Commissioners, the Board of Public Buildings, the Governor's Committee on Interstate Cooperation, the Missouri Highway Reciprocity Commission and the Missouri Housing Development Commission.

To fulfill these and other responsibilities, the attorney general's office is organized into nine divisions.



JOE DANDURAND  
Deputy Attorney General



RONALD HOLLIGER  
General Counsel



MATT DAMERON  
Chief of Staff



JIM LAYTON  
State Solicitor



RHONDA MEYER  
Deputy Chief of Staff



NANCI GONDER  
Press Secretary

## Agriculture and Environment Division

The Agriculture and Environment Division aggressively protects Missouri's natural resources and agricultural productivity. The division's attorneys take legal action to stop pollution, to seek monetary fines and penalties, and in the most serious cases, to criminally prosecute those who violate Missouri's environmental laws.

The division works to protect and enhance agriculture and the quality of life for rural Missourians by enforcing Missouri's agricultural laws and advocating responsible public policy. The attorney general protects the state's interests in the Missouri River and other valuable resources, including state parks, and waterways such as the Meramec and White River basin.

Division attorneys represent the Department of Natural Resources and its constituent boards and commissions, as well as the Department of Agriculture, in hundreds of active enforcement cases and administrative appeals. The division also cooperates with the U.S. Environmental Protection Agency, the U.S. Department of Justice and the U.S. Attorney's office to enforce state and federal environmental laws.

## Civil, Disability and Workers' Rights Division

The Civil, Disability and Workers' Rights Division was created in 2009 to spotlight issues of importance that have been previously assigned to several other divisions within the attorney general's office.

The division represents the Missouri Commission on Human Rights in cases before the Administrative Hearing Commission and in all subsequent court actions. The division enforces the *Missouri Human Rights Act* and takes action on behalf of Missouri citizens in discrimination cases. The division enforces state interests regarding the *Americans with Disabilities Act* and the *Federal Fair Housing Act*.

Workers' rights in Missouri are protected and enforced by the division under the prevailing wage and minimum wage laws and Missouri's new unauthorized worker statute. This new law gives the attorney general's office the authority to investigate and pursue legal action against employers who knowingly employ workers not authorized by law to work in the United States. The division receives and investigates formal complaints of violations of the new law. Division attorneys pursue cases against employers who do not comply with the statute.

## Consumer Protection Division

The attorney general has the responsibility of protecting the public's interests in an open and honest marketplace. The Consumer Protection Division enforces *Missouri's Consumer Protection Act* and antitrust laws, and has the responsibility of representing the commissioner of securities of the Secretary of State's Office. Missouri's consumer protection statutes prohibit deception, fraud, unfair practices and misrepresentation or concealment of material facts in the sale or advertisement of goods or services. These laws authorize the attorney general to take action against such fraud and ensure that consumers' rights are protected.

The division also has an active consumer education program. Consumers can file complaints or obtain information by calling the Attorney General's Consumer Hotline at (800) 392-8222 or online to [www.ago.mo.gov](http://www.ago.mo.gov). The division receives more than 90,000 consumer complaints and inquiries each year.



JOAN GUMMELS  
Legislative Director



JACK McMANUS  
Chief Counsel, Agriculture and Environment Division



BARBARA CRANCER  
Chief Counsel, Civil, Disability and Workers' Rights Division



DOUG OMMEN  
Chief Counsel, Consumer Protection Division

Under the *Missouri Antitrust Law*, the attorney general has the authority to represent the state or any of its political subdivisions, public agencies, school districts or municipalities in actions to prohibit monopolies and trade restraints. The attorney general also may act under federal antitrust statutes to bring civil actions in the name of the state and on behalf of Missouri residents to recover damages for injuries caused by certain antitrust violations.

The attorney general is the state's chief prosecutor for securities fraud, and may initiate legal actions for civil injunctive relief, penalties and restitution under the Missouri Merchandising Practices Law. Attorneys in this division also protect Missourians by enforcing compliance with state laws by trusts, foundations and non-profit corporations.

The No Call program to reduce unwanted telemarketing calls also is under the Consumer Protection Division. Missourians may register for No Call by calling 1-866-BUZZOFF or online at [www.ago.mo.gov](http://www.ago.mo.gov).

## Criminal Division

The Attorney General represents the state in every felony case appealed to the Supreme Court of Missouri and Missouri Court of Appeals. Each year, attorneys in the Criminal Division brief and argue more than 700 cases in the Missouri Court of Appeals and the Supreme Court of our state.



SHAUN MACKELPRANG  
Chief Counsel  
Criminal Division



GREG PERRY  
Chief Counsel  
Financial Services Division



PATRICIA CHURCHILL  
Chief Counsel, Governmental  
Affairs Division



LEE SCHAEFFER  
Chief Counsel  
Labor Division

Division attorneys also assist local and state authorities with extraditions to and from Missouri of those charged in criminal cases.

### Financial Services Division

The Financial Services Division protects Missourians by recouping money owed to the state or state agencies, including providing collection services to several divisions of the attorney general's office and more than 40 other state agencies. Division attorneys also take legal action to establish, maintain and modify child support obligations, and work to recover money owed by inmates to reimburse the state for the cost of their care.

### Governmental Affairs Division

The Governmental Affairs Division protects the safety and well-being of Missourians by ensuring that regulated professionals adhere to state laws and disciplinary rules, by enforcing state ethics and campaign finance laws, and by enforcing penalties against nursing homes for violating standards of care and removing caregivers who abuse or neglect vulnerable citizens. They also help mentally ill and physically challenged Missourians obtain guardians to help obtain care.

The division provides legal representation to state officers, including the secretary of state, treasurer and auditor, as well as several state agencies, including the departments of Health and Senior Services, Mental Health, and Social Services; the Missouri Ethics Commission; the Health Facilities Review Committee; and more than 30 professional licensing boards. Division attorneys also defend constitutional challenges to state laws and ballot issues. Division attorneys enforce the provisions of the tobacco Master Settlement Agreement, which has brought in more than \$1.5 billion to the state as of April 2009. Attorneys in the division also address questions from the public, from government officials and from the media about Missouri's open meetings and records law, commonly known as the *Sunshine Law*.



JOEL POOLE  
Chief Counsel  
Litigation Division



PAGE BELLAMY  
Chief Counsel  
Public Safety Division

### Labor Division

The Labor Division provides general counsel and litigation services for the Missouri Department of Labor and Industrial Relations and its officers and agencies. The division also represents the state in crime victims' claims and workers' compensation cases of state employees, including claims involving the Second Injury Fund.

### Litigation Division

Litigation division attorneys handle major and complex litigation for Missouri, providing legal defense to state officials, state agencies and their employees. Cases in the division include damage claims, contract actions, class-action lawsuits, employment issues, constitutional challenges, suits seeking injunctive relief and other types of civil litigation.

The division defends the state in lawsuits brought by inmates of Missouri's correctional facilities. Division attorneys also defend constitutional challenges to state laws and ballot issues. Finally, attorneys in the litigation division defend lawsuits filed against the police boards and the police officers of the cities of St. Louis and Kansas City, Missouri.

### Public Safety Division

The Public Safety Division is involved in a

wide range of criminal prosecutions at the trial level. The division's special prosecution unit prosecutes or assists in the prosecution of cases throughout Missouri, many of them homicide cases. In 2008, division attorneys had more than 600 active special prosecutions throughout the state's 114 counties and the City of St. Louis.

The methamphetamine prosecution unit specializes in handling criminal cases involving the manufacture, sale or possession of methamphetamine. The workers' compensation fraud unit prosecutes fraud or misconduct involving workers' compensation, and the Medicaid fraud control unit prosecutes cases involving fraud of the state Medicaid program by health professionals, and prosecutes abuse or neglect of Medicaid recipients by caregivers.

The division's high technology and computer crime unit assists local law enforcement with

investigations and prosecutions of computer and Internet crime cases. The sexually violent predator unit seeks the civil commitment of sexual predators who suffer from a mental abnormality making them more likely than not to commit additional predatory acts of sexual violence.

Attorneys in the division also serve as legal counsel for the Department of Public Safety, the Missouri State Highway Patrol, the Missouri State Water Patrol and other state law enforcement agencies, and represent those agencies in all civil litigation to which they are a party. Division attorneys also defend the state in all *habeas corpus* actions filed by prison inmates in state and federal courts. Each year they litigate some 500 federal *habeas corpus* actions in the federal district courts, the Eighth Circuit Court of Appeals, and the U.S. Supreme Court.



## Historical Listing, Attorneys General

	Name and (party)	Term	County	Born	Died
1.	Edward Bates (D)	1820–21	St. Louis	9/4/1793	3/25/1869
2.	Rufus Easton (D)	1821–26	St. Louis	3/4/1774	7/5/1834
3.	Robert William Wells (D)	1826–36	St. Charles	11/29/1795	9/22/1861
4.	William Barclay Napton (D)	1836–39	Howard	1808	1/8/1883
5.	Samuel Mansfield Bay (D)	1839–45	Cole	1810	7/1849
6.	Benjamin F. Stringfellow (D)	1845–49	Chariton	9/3/1816	4/25/1891
7.	William A. Robards (D)	1849–51	Boone	5/3/1817	9/3/1851
8.	James B. Gardenhire (Whig)	1851–57	Buchanan	1821	2/20/1862
9.	Ephraim B. Ewing (D)	1857–58	Ray	5/1819	6/2/1873
10.	J. Proctor Knott (D)	1858–61	Scotland	8/29/1830	6/18/1911
11.	Aikman Welch (D) <sup>1</sup>	1861–64	Johnson	5/25/1827	7/28/1864
12.	Thomas Theodore Crittenden (D) <sup>2</sup>	1864	Johnson	1/22/1832	5/29/1909
13.	Robert Franklin Wingate (D)	1865–69	St. Louis	1/24/1822	11/12/1897
14.	Horace B. Johnson (R)	1869–71	Cole	8/14/1842	3/30/1904
15.	Andrew Jackson Baker (R) <sup>3</sup>	1871–72	Schuyler	6/6/1832	4/23/1911
16.	Henry Clay Ewing (D)	1873–75	Cole	8/15/1828	3/22/1907
17.	John A. Hockaday (D)	1875–77	Callaway	1837	11/20/1903
18.	Jackson Leonidas Smith (D)	1877–81	Cole	1/31/1837	11/13/1908
19.	Daniel Harrison McIntyre (D)	1881–85	Audrain	5/5/1833	1/1/1910
20.	Banton Gallitin Boone (D)	1885–89	Henry	10/23/1838	2/11/1900
21.	John M. Wood (D)	1889–93	Clark	1850	1/25/1926
22.	Robert Franklin Walker (D)	1893–97	Morgan	11/29/1850	11/19/1930
23.	Edward Coke Crow (D)	1897–1905	Jasper	12/19/1861	5/9/1945
24.	Herbert Spencer Hadley (R)	1905–09	Jackson	2/20/1872	12/1/1927
25.	Elliott Woolfolk Major (D)	1909–13	Pike	10/20/1864	7/9/1949
26.	John Tull Barker (D)	1913–17	Macon	8/2/1877	12/7/1958
27.	Frank Winton McAllister (D)	1917–21	Monroe	1/26/1873	6/11/1948
28.	Jesse W. Barrett (R)	1921–25	Lewis	3/17/1884	11/12/1953
29.	Robert William Otto (R) <sup>4</sup>	1925	Franklin	12/25/1892	5/5/1977
30.	North Todd Gentry (R)	1925–28	Boone	3/2/1866	9/18/1944
31.	Stratton Shartel (R)	1928–33	Newton	12/25/1895	2/2/1956
32.	Roy M. McKittrick (D) <sup>5</sup>	1933–45	Chariton	8/24/1888	1/22/1961
33.	Jonathan E. (Buck) Taylor (D) <sup>5</sup>	1945–53	Livingston	11/26/1906	12/27/1981
34.	John M. Dalton (D)	1953–61	Dunklin	11/9/1900	7/7/1972
35.	Thomas F. Eagleton (D)	1961–65	St. Louis City	9/4/1929	3/4/2007
36.	Norman H. Anderson (D)	1965–69	St. Louis	3/24/1924	6/16/1997
37.	John C. Danforth (R)	1969–77	St. Louis, Cole	9/5/1936	
38.	John D. Ashcroft (R)	1977–85	Greene	5/9/1942	
39.	William L. Webster (R) <sup>5</sup>	1985–93	Jasper	9/17/1953	
40.	Jeremiah W. (Jay) Nixon (D) <sup>5</sup>	1993–2009	Jefferson	2/13/1956	
41.	Chris Koster (D)	2009	St. Louis	8/31/1964	

<sup>1</sup>Welch was appointed attorney general by provisional Governor Gamble when Knott refused to take a loyalty oath.

<sup>2</sup>Crittenden was appointed to fill the unexpired term of Aikman Welch, who died in office. Crittenden only served until the end of that year.

<sup>3</sup>Baker ran and was elected as a Liberal Republican.

<sup>4</sup>Otto left office to become a Supreme Court Judge.

<sup>5</sup>Some held multiple terms as attorney general. In consideration of space, some are listed here only once.

Note: Like other state offices, except governor and lieutenant governor, the attorney general's office was filled by appointment from 1820-1852. The offices then became elective for four-year terms. They changed to two-year elective terms in 1868, and changed back to four-year elective terms in 1875.