

# Rules of Department of Insurance, **Financial Institutions and Professional Registration**

Division 2030—Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects Chapter 2—Code of Professional Conduct

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#### Title 20—DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

Division 2030—Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects Chapter 2—Code of Professional Conduct

## 20 CSR 2030-2.010 Code of Professional Conduct

PURPOSE: This rule establishes a professional code of conduct for architects, professional engineers, professional land surveyors, and landscape architects.

- (1) Definitions.
- (A) Board—The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects.
- (B) Licensee—Any person licensed as an architect, professional engineer, professional land surveyor or landscape architect under the provisions of Chapter 327, RSMo.
- (2) The Missouri Rules of Professional Conduct for Architects, Professional Engineers. Professional Land Surveyors, and Landscape Architects Preamble reads as follows: Pursuant to section 327.041.2, RSMo, the board adopts the following rules, referred to as the rules of professional conduct. These rules of professional conduct are binding for every licensee. Each person licensed pursuant to Chapter 327, RSMo is required to be familiar with Chapter 327, RSMo and the rules of the board. The rules of professional conduct will be enforced under the powers vested in the board. Any act or practice found to be in violation of these rules of professional conduct will be grounds for a complaint to be filed with the Administrative Hearing Commission.
- (3) In practicing architecture, professional engineering, land surveying or landscape architecture, a licensee shall act with reasonable care and competence, and shall apply the technical knowledge and skill which are ordinarily applied by architects, professional engineers, professional land surveyors or landscape architects of good standing, practicing in Missouri. In the performance of professional services, licensees shall be cognizant that their primary responsibility is to the public welfare, and this shall not be compromised by any self-interest of the client or the licensee.

- (4) Licensees shall undertake to perform architectural, professional engineering, land surveying, and landscape architectural services only when they are qualified by education, training, and experience in the specific technical areas involved.
- (5) Licensees, in the conduct of their practice, shall not knowingly violate any state or federal criminal law. Licensees shall comply with state laws and regulations governing their practice. In the performance of architectural, professional engineering, land surveying or landscape architectural services within a municipality or political subdivision that is governed by laws, codes and ordinances relating to the protection of life, health, property and welfare of the public, a licensee shall not knowingly violate these laws, codes and ordinances.
- (6) Licensees at all times shall recognize that their primary obligation is to protect the safety, health, property or welfare of the public. If the professional judgment is overruled under circumstances where the safety, health, property or welfare of the public are endangered, they shall notify their employer or client and other authority as may be appropriate
- (7) Licensees shall not assist non-licensees in the unlawful practice of architecture, professional engineering, land surveying or landscape architecture. Licensees shall not assist in the application for licensure of a person known by the licensee to be unqualified in respect to education, training, experience or other relevant factors.
- (8) Licensees shall truthfully and accurately represent to others the extent of their education, training, experience and professional qualifications. Licensees shall not misrepresent or exaggerate the scope of their responsibility in connection with prior employment or assignments.
- (9) Licensees shall not accept compensation, financial or otherwise, from more than one (1) party, for services pertaining to the same project, unless the circumstances are fully disclosed and agreed to by all interested parties. The disclosure and agreement shall be in writing.
- (10) Licensees shall make full disclosure, suitably documented, to their employers or clients of potential conflicts of interest, or other circumstances which could influence or appear to influence their judgment on signif-

icant issues or the unbiased quality of their services.

- (11) Licensees shall not offer, give, solicit or receive, either directly or indirectly, any commission, contributions or valuable gifts, in order to secure employment, gain an unfair advantage over other licensees, or influence the judgment of others in awarding contracts for either public or private projects. This provision is not intended to restrict in any manner the rights of licensees to participate in the political process; to provide reasonable entertainment and hospitality; or to pay a commission, percentage or brokerage fee to a bona fide employee or bona fide established commercial or marketing agency retained by the licensee.
- (12) Licensees shall not solicit or accept financial or other valuable consideration, either directly or indirectly, from contractors, suppliers, agents or other parties in return for endorsing, recommending or specifying their services or products in connection with work for employers or clients.
- (13) Licensees shall not attempt to, directly or indirectly, injure the professional reputation, prospects of practice or employment of other licensees in a malicious, or false manner, or both.
- (14) Licensees shall not reveal confidential, proprietary or privileged facts or data, or any other sensitive information obtained in a professional capacity without the prior consent of the client or employer except as authorized or required by law or rules of this board.
- (15) Licensees having knowledge of any alleged violation of this Code shall cooperate with the proper authorities in furnishing information or assistance as may be required.
- AUTHORITY: section 327.041, RSMo Supp. 2008.\* This rule originally filed as 4 CSR 30-2.010. Original rule filed Dec. 10, 1975, effective Jan. 10, 1976. Rescinded: Filed May 23, 1978, effective Sept. 11, 1978. Readopted: Filed Nov. 1, 1990, effective April 29, 1991. Amended: Filed Feb. 26, 1992, effective Aug. 6, 1992. Amended: Filed Dec. 1, 2005, effective June 30, 2006. Moved to 20 CSR 2030-2.010, effective Aug. 28, 2006. Amended: Filed April 8, 2009, effective Sept. 30, 2009. Non-substantive change filed Oct. 21, 2015, published Dec. 31, 2015.

\*Original authority: 327.041, RSMo 1969, amended 1981, 1986, 1989, 1993, 1995, 1999, 2001.



### 20 CSR 2030-2.040 Evaluation Criteria for Building Design

PURPOSE: This rule provides the recipient and producer of professional architectural, engineering, and/or landscape architectural services assurances that all services are evaluated in accordance with the 2012 edition of the International Building Code.

PUBLISHER'S NOTE: The secretary of state has determined that the publication of the entire text of the material which is incorporated by reference as a portion of this rule would be unduly cumbersome or expensive. This material as incorporated by reference in this rule shall be maintained by the agency at its headquarters and shall be made available to the public for inspection and copying at no more than the actual cost of reproduction. This note applies only to the reference material. The entire text of the rule is printed here.

(1) For building design, the board shall use, in the absence of any local building code, the 2012 edition of the International Building Code, as the evaluation criteria in determining the appropriate conduct for any professional licensed or regulated by this chapter and being evaluated under section 327.441.2(5), RSMo. The International Code Council, 2012 Edition is incorporated herein by reference and may be obtained by contacting 500 New Jersey Ave NW, 6th Floor, Washington, DC 20001, by phone at (888) ICC-SAFE (422-7233), by fax at (202) 783-2348, or by their direct website at http://www.iccsafe.org. This rule does not incorporate any subsequent amendments or additions to the manual.

AUTHORITY: section 327.041, RSMo Supp. 2013.\* Original rule filed June 14, 2007, effective Dec. 30, 2007. Amended: Filed July 22, 2009, effective Jan. 30, 2010. Amended: Filed Nov. 1, 2011, effective May 30, 2012. Amended: Filed Aug. 8, 2013, effective Feb. 28, 2014. Non-substantive change filed Oct. 21, 2015, published Dec. 31, 2015.

\*Original authority: 327.041, RSMo 1969, amended 1981, 1986, 1989, 1993, 1995, 1999, 2001, 2010.

#### 20 CSR 2030-2.050 Title Block

PURPOSE: This rule clarifies the identity of the client and entity preparing and sealing all architectural, engineering, land surveying, and/or landscape architectural documents.

(1) An architectural, engineering, land surveying, or landscape architectural entity shall

incorporate a title block on all drawings, exhibits, plans, plats, maps, and surveys that are required to be signed and sealed by Chapter 327, RSMo, and these regulations.

- (2) The title block must, at a minimum, contain the following information:
- (A) The name of the licensee either as a sole proprietor, partnership, corporation, limited liability company, or other appropriate entity:
- (B) The licensee's address and phone numper:
- (C) Name or identification of project;
- (D) Address/location of project (city/county and state);
  - (E) Date prepared;
- (F) Space for the licensee's signature, date, and seal;
- (G) The printed name, discipline, and license number of the person sealing the document: and
- (H) The printed name, discipline, and certificate of authority number of the corporation as defined in section 327.011, RSMo.

AUTHORITY: sections 327.041 and 327.411, RSMo Supp. 2013.\* Original rule filed June 14, 2007, effective Dec. 30, 2007. Amended: Filed Nov. 1, 2011, effective May 30, 2012. Amended: Filed Aug. 8, 2013, effective Feb. 28, 2014. Non-substantive change filed Oct. 21, 2015, published Dec. 31, 2015.

\*Original authority: 327.041, RSMo 1969, amended 1981, 1986, 1989, 1993, 1995, 1999, 2001, 2010 and 327.411, RSMo 1969, amended 1999, 2003, 2010.