Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES

Division 30—Division of Regulation and Licensure Chapter 81—Certification

PROPOSED AMENDMENT

19 CSR 30-81.030 Evaluation and Assessment Measures for Title XIX Recipients and Applicants [in Long-Term Care Facilities]. The department is amending sections (1), (2), (3), (4), and (5), adding new section (6), and changing the title of the rule and the purpose statement.

PURPOSE: This amendment changes the title of the rule and purpose statement, lowers the assessed need points requirement from twenty-four (24) to eighteen (18) points for determination to meet nursing home level of care, updates assessment forms, level of care criteria, and the assessed needs point count value. The amendment also includes the algorithm utilized by the department's Home and Community Based Services program for its level of care determination.

PURPOSE: This rule sets the requirements for the periodic evaluation and assessments of residents in long-term care facilities in relationship to evaluation and assessment processes, level-of-care needed by individuals, and appropriate placement of individuals in order to receive this care. The rule also includes the algorithm utilized for the department's Home and Community Based Services program for its level of care determination.

- (1) For purposes of this rule only, the following definitions shall apply:
- (C) Initial assessment forms—the forms utilized to collect information necessary for a *[determination of]* level-of-care *[need]* determination pursuant to 19 CSR 30-81.030 and designated Forms [DA-124 A/B (dated 6-05) and DA-124 C (dated 4-05) and Notice To Applicant Form, DA-124C ATT. (attachment) (dated 12-01), incorporated by reference in this rule and available through the Department of Health and Senior Services website: www.dhss.mo.gov or by mail at: Department of Health and Senior Services Warehouse, Attention General Services Warehouse, PO Box 570, Jefferson City, Missouri 65102-0570; telephone: (573) 526-3861; fax: (573) 751-1574, shall be considered the approved Initial Assessment Forms. This rule does not incorporate any subsequent amendments or additions] DHSS-DRL-109 (10-20), Nursing Facility Level of Care Assessment and DHSS-DRL-110 (10-20), Level One Nursing Facility Pre-Admission Screening for Mental Illness/Intellectual Disability or Related Condition, included herein.
- (F) Level-of-care [need] determination—the decision whether an individual qualifies for long-term care facility care;
- [(H) Pro re nata (PRN)—medication or treatment ordered by a physician to be administered as needed, but not regularly scheduled;]
- [///](H) Recipient—any resident in a certified long-term care facility who is receiving inpatient Title XIX assistance;
- [(J)](I) [Redetermination] Reevaluation of level-of-care—the periodic assessment of the recipients' continued eligibility and need for continuation at the previously assigned level-of-care. Periodic assessment includes but [it] is not limited to the following:
 - 1. Assessment of new admissions to a long-term care facility;
- 2. Assessment of a change in mental and/or physical status for a resident who is being readmitted to a long-term care facility after transfer to an acute care facility, and the previous [DA-124 A/B or C] DHSS-DRL-109 (10-20), Nursing Facility Level of Care Assessment or DHSS-DRL-110 (10-20), Level One Nursing Facility Pre-Admission Screening for Mental Illness/Intellectual Disability or Related Condition forms do not reflect the resident's

current care needs; and

- 3. Assessment of [DA-124] DHSS-DRL-109 (10-20), Nursing Facility Level of Care Assessment or DHSS-DRL-110 (10-20), Level One Nursing Facility Pre-Admission Screening for Mental Illness/Intellectual Disability or Related Condition forms as requested by Department of Social Services, Family Support Division;
- [(K)](J) Resident—a person seventeen (17) years or older who by reason of aging, illness, disease, or physical or mental infirmity receives or requires care and services furnished by a long-term care facility and who resides in, is cared for, treated or accommodated in such long-term care facility for a period exceeding twenty-four (24) consecutive hours; and

[(L)](K) The department—Department of Health and Senior Services.

- (2) Initial [Determination of] Level-of-Care [Needs] Determination Requirements.
- (A) [For the purpose of making a determination of level-of-care need and i]In accordance with 42 CFR sections 456.370 and 483.104, the department or its designated agents, or both, will conduct a review and assessment of the evaluations made by the attending physician for an applicant in or seeking admission to a long-term care facility. The review and assessment shall be conducted using the criteria in section (5) of this rule.
- (B) The initial level-of-care determination shall be completed for the following:
- 1. All applicants prior to or on admission to a long-term care facility.
- 2. When an applicant or recipient has been discharged from a long-term care facility for more than sixty (60) days.
- (C) A referring individual shall fill out and submit electronically using the department's online database system available at: https://health.mo.gov/seniors/nursinghomes/pasrr.php. the required documentation contained in forms DHSS-DRL-109 (10-20), Nursing Facility Level of Care Assessment and DHSS-DRL-110 (10-20), Level One Nursing Facility Pre-Admission Screening for Mental Illness/Intellectual Disability or Related Condition.
- [(B)](**D**) The department shall complete the assessment within ten (10) working days of receipt of all documentation required by section (5) of this rule unless further evaluation by the State Mental Health Authority is required by 42 CFR 483.100 to 483.138.
- (E) The department shall provide written notice to the individual or referring entity if Level II screening is referred to the Department of Mental Health. The referring entity shall notify the applicant or recipient of the results of the screening.
- (3) [Redetermination of] Level-of-Care Reevaluation Requirements.
- (A) [Redetermination of level-of-care of individual recipients who are eligible for placement in long-term care facilities shall be conducted by the department through a review and assessment of the DA-124 A/B and C forms and any documentation provided by the resident's attending physician.] The level-of-care reevaluation is applicable for recipients who are eligible for placement in a long-term care facility. The level-of-care reevaluation shall be completed for the following:
- 1. When a significant change has occurred in the resident's physical, mental, or psychosocial status for a resident diagnosed with mental illness and/or intellectual disability or related condition; or
- 2. As requested by Department of Social Services, Family Support Division or the Department of Mental Health.
- (B) [Required documentation on the DA-124 C form shall include the resident's physician's signature and his or her Physician Identification Number.] A referring individual shall fill out and submit electronically using the department's online database system available at: https://health.mo.gov/seniors/nursinghomes/pasrr.php. the required documentation contained in

- forms DHSS-DRL-109 (10-20), Nursing Facility Level of Care Assessment and DHSS-DRL-110 (10-20), Level One Nursing Facility Pre-Admission Screening for Mental Illness/Intellectual Disability or Related Condition.
- (C) The department shall provide written notice to the individual or referring entity if Level II screening is referred to the Department of Mental Health. The referring entity shall notify the applicant or recipient of the results of the screening.
- (4) Level-of-Care Criteria for Long-Term Care Facility Care—Qualified Title XIX Recipients and Applicants.
- (B) The specific areas which will be considered when determining an individual's ability or inability to function in the least restrictive environment are—[mobility, dietary, restorative services, monitoring, medication, behavioral, treatments, personal care and rehabilitative services.] behavioral, cognition, mobility, eating, toileting, bathing, dressing and grooming, rehabilitative services, treatments, meal preparation, medication management, and safety.
- (5) Assessed Needs Point Designations Requirements.
- (B) Points will be assessed for the amount of assistance required, the complexity of the care and the professional level of assistance necessary, based on the level-of-care criteria. [If the applicant's or recipient's records show that the applicant's or recipient's attending physician has ordered certain care, medication or treatments for an applicant or recipient, the department will assess points for a PRN order if the applicant or recipient has actually received or required that care, medication or treatment within the thirty (30) days prior to review and evaluation by the department.]
- (C) For individuals seeking admission to a long-term care facility on or after July 15, [2017] 2021, the applicant or recipient will be determined [to be qualified] as eligible for Title XIX-funded long-term [care facility] care services if he or she is determined to need care with an assessed point level of [twenty-four (24)] eighteen (18) points or above, using the assessment procedure as required in this rule.
- (D) For individuals seeking admission to a long-term care facility on or after July 15, [2017] 2021, an applicant with [twenty-one (21)] less than eighteen (18) points [or lower] will be [assessed] determined as ineligible for Title XIX-funded long-term care services [in a long-term care facility], unless the applicant qualifies as otherwise provided in subsection[s] (5)(E) [and/or (F)] of the rule.
- ((E) Applicants or recipients may occasionally require care or services, or both, which could qualify as long-term care facility services. In these instances, a single nursing service requirement may be used as the qualifying factor, making the individual eligible for long-term care facility care regardless of the total point count. The determining factor will be the availability of professional personnel to perform or supervise the qualifying care services. Qualifying care services may include, but are not limited to:
- Administration of levine tube or gastrostomy tube feedings;
 - 2. Nasopharyngeal and tracheotomy aspiration;
- 3. Insertion of medicated or sterile irrigation and replacement catheters;
 - 4. Administration of parenteral fluids;
 - 5. Inhalation therapy treatments;
- 6. Administration of injectable medications other than insulin, if required other than on the day shift; and
- 7. Requirement of intensive rehabilitation services by a professional therapist at least five (5) days per week.]
- [(F)](E) An applicant or recipient will be considered eligible for inpatient Title XIX assistance regardless of the total point count if the applicant or recipient is unable to meet physical/mental requirements

- for residential care facility (RCF) and assisted living facility (ALF) residency as specified by section 198.073, RSMo. In order to determine if an applicant or recipient is unable to meet RCF and ALF residency, the following criteria shall be applied:
- 1. For RCF residency an applicant or recipient shall be physically and mentally capable of negotiating a normal path to safety. In order to meet this requirement, an applicant or recipient, without staff assistance, must be able to reach and go through a required exit door to the outside building by—
- [1.]A. Responding to verbal direction or the sound of an alarm;
- [2.]B. [Moving at a reasonable speed; and] Being prepared to leave the facility within five (5) minutes of being alerted of the need to evacuate;
- [3.]C. If using a wheelchair [or other assistive device, such as a walker or cane], the resident shall [being] be able to transfer into the wheelchair and propel it or reach the assistive device, [without staff assistance] and open all doors without staff assistance; and
- D. If using another assistive device, such as a walker or cane, they shall be able to reach and utilize the assistive device without staff assistance.
- 2. For ALF residency, the applicant or recipient cannot be admitted or retained if they meet the following criteria:
- A. Exhibit behaviors that present a reasonable likelihood of serious harm to himself or herself or others;
 - B. Require physical restraints;
 - C. Require chemical restraints;
- D. Require skilled nursing services as defined in subsection 198.073.4, RSMo for which the facility is not licensed or able to provide;
- E. Require more than one (1) person to simultaneously physically assist the resident with any activity of daily living, with the exception of bathing and transferring; or
- F. Is bedbound or similarly immobilized due to a debilitating or chronic condition.
- [(G)](F) Points will be assigned to each category, as required by subsection (4)(B) of this rule, in multiples of three (3) according to the following requirements:
- [1. Mobility is defined as the individual's ability to move from place-to-place. The applicant or recipient will receive—
- A. Zero (0) points if assessed as independently mobile, in that the applicant or recipient requires no assistance for transfers or mobility. The applicant or recipient may use assistive devices (cane, walker, wheelchair) but is consistently capable of negotiating without assistance of another individual;
- B. Three (3) points if assessed as requiring minimum assistance, in that the applicant or recipient is independently mobile once the applicant or recipient receives assistance with transfers, braces or prosthesis application or other assistive devices, or a combination of these (example, independent use of wheelchair after assistance with transfer). This category includes individuals who are not consistently independent and need assistance periodically;
- C. Six (6) points if assessed as requiring moderate assistance, in that the applicant or recipient is mobile only with direct staff assistance. The applicant or recipient must be assisted even when using canes, walker or other assistive devices; and
- D. Nine (9) points if assessed as requiring maximum assistance, in that the applicant or recipient is totally dependent upon staff for mobility. The applicant or recipient is unable to ambulate or participate in the ambulation process, requires positioning, supportive device, application, prevention of contractures or pressure sores and active or passive range of motion exercises;
 - 2. Dietary is defined as the applicant's or recipient's

nutritional requirements and need for assistance or supervision with meals. The applicant or recipient will receive—

- A. Zero (0) points if assessed as independent in dietary needs, in that the applicant or recipient requires no assistance to eat. The applicant or recipient has physician's orders for a regular diet, mechanically altered diet or requires only minor modifications (example, limited desserts, no salt or sugar on tray);
- B. Three (3) points if assessed as requiring minimum assistance, in that the applicant or recipient requires meal supervision or minimal help, such as cutting food or verbal encouragement. Calculated diets for stabilized conditions shall be included;
- C. Six (6) points if assessed as requiring moderate assistance, in that the applicant or recipient requires help, including constant supervision during meals, or actual feeding. Calculated diets for unstable conditions are included; and
- D. Nine (9) points if assessed as requiring maximum assistance, in that the applicant or recipient requires extensive assistance for special dietary needs or with eating, which could include enteral feedings or parenteral fluids;
- 3. Restorative services are defined as specialized services provided by trained and supervised individuals to help applicants or recipients obtain and/or maintain their optimal highest practicable functioning potential. Each applicant or recipient must have an individual overall plan of care developed by the provider with written goals and response/progress documented. Restorative services may include, but are not limited to: applicant or recipient teaching program (self-transfer, self-administration of medications, self-care), range of motion, bowel and bladder program, remotivational therapy, validation therapy, patient/family program and individualized activity program. The applicant or recipient will receive—
- A. Zero (0) points if restorative services are not required;
- B. Three (3) points if assessed as requiring minimum services in order to maintain level of functioning;
- C. Six (6) points if assessed as requiring moderate services in order to restore the individual to a higher level of functioning; and
- D. Nine (9) points if assessed as requiring maximum services in order to restore to a higher level of functioning. These are intensive services, usually requiring professional supervision or direct services;
- 4. Monitoring is defined as observation and assessment of the applicant's or recipient's physical and/or mental condition. This monitoring could include assessment of—routine laboratory work, including but not limited to, evaluating digoxin and coumadin levels, measurement and evaluation of blood glucose levels, measurement and evaluation of intake and output of fluids the individual has received and/or excreted, weights and other routine monitoring procedures. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring only routine monitoring, such as monthly weights, temperatures, blood pressures and other routine vital signs and routine supervision;
- B. Three (3) points if assessed as requiring minimal monitoring, in that the applicant or recipient requires periodic assessment due to mental impairment, monitoring of mild confusion, or both, or periodic assessment of routine procedures when the recipient's condition is stable;
- C. Six (6) points if assessed as requiring moderate monitoring, in that the applicant or recipient requires recurring assessment of routine procedures due to the applicant's or recipient's unstable physical or mental condition; and

- D. Nine (9) points if assessed as requiring maximum monitoring, which is intensive monitoring usually by professional personnel due to applicant's or recipient's unstable physical or mental condition;
- 5. Medication is defined as the drug regimen of all physician-ordered legend medications, and any physician-ordered nonlegend medication for which the physician has ordered monitoring due to the complexity of the medication or the condition of the applicant or recipient. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring no medication, or has not required PRN medication within the thirty (30) days prior to review and evaluation by the department;
- B. Three (3) points if assessed as requiring any regularly scheduled medication and the applicant or recipient exhibits a stable condition;
- C. Six (6) points if assessed as requiring moderate supervision of regularly scheduled medications, requiring daily monitoring by licensed personnel; and
- D. Nine (9) points if assessed as requiring maximum supervision of regularly scheduled medications, a complex medication regimen, unstable physical or mental status or use of medications requiring professional observation and assessment, or a combination of these;
- 6. Behavioral is defined as an individual's social or mental activities. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring little or no behavioral assistance. Applicant or recipient is oriented and memory intact;
- B. Three (3) points if assessed as requiring minimal behavioral assistance in the form of supervision or guidance on a periodic basis. Applicant or recipient may display some memory lapses or occasional forgetfulness due to mental or developmental disabilities, or both. Applicant or recipient generally relates well with others (positive or neutral) but needs occasional emotional support;
- C. Six (6) points if assessed as requiring moderate behavioral assistance in the form of supervision due to disorientation, mental or developmental disabilities or uncooperative behavior; and
- D. Nine (9) points if assessed as requiring maximum behavioral assistance in the form of extensive supervision due to psychological, developmental disabilities or traumatic brain injuries with resultant confusion, incompetency, hyperactivity, hostility, severe depression, or other behavioral characteristics. This category includes residents who frequently exhibit bizarre behavior, are verbally or physically abusive, or both, or are incapable of self-direction. Applicants or recipients who exhibit uncontrolled behavior that is dangerous to themselves or others must be transferred immediately to an appropriate facility;
- 7. Treatments are defined as a systematized course of nursing procedures ordered by the attending physician. The applicant or recipient will receive—
- A. Zero (0) points if no treatments are ordered by the physician;
- B. Three (3) points if assessed as requiring minimal type-ordered treatments, including nonroutine and preventative treatments, such as whirlpool baths and other services;
- C. Six (6) points if assessed as requiring moderate type-ordered treatments requiring daily attention by licensed personnel. These treatments could include: daily dressings, PRN oxygen, oral suctioning, catheter maintenance care, treatment of stasis or pressure sore ulcers, wet/moist packs, maximist and other such services; and
- D. Nine (9) points if assessed as requiring maximum type-ordered treatments of an extensive nature requiring provision, direct supervision, or both, by professional personnel.

These treatments could include: intratrachial suctioning; insertion or maintenance of suprapubic catheter; continuous oxygen; new or unregulated ostomy care; dressings of deep draining lesions more than once daily; care of extensive skin disorders, such as advanced pressure sore or necrotic lesions; infrared heat and other services;

- 8. Personal care is defined as activities of daily living, including hygiene; personal grooming, such as dressing, bathing, oral and personal hygiene, hair and nail care, shaving; and bowel and bladder functions. Points will be determined based on the amount of assistance required and degree of assistance involved in the activity. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring no assistance with personal care in that the applicant or recipient is an independent, self-care individual. No assistance is required with personal grooming; the applicant or recipient has complete bowel and bladder control;
- B. Three (3) points if assessed as requiring minimal assistance with personal care, in that the applicant or recipient requires assistance with personal grooming, and/or exhibits infrequent incontinency (once a week or less);
- C. Six (6) points if assessed as requiring moderate assistance with personal care, in that the applicant or recipient requires assistance with personal grooming, requiring close supervision or exhibits frequent incontinency (incontinent of bladder daily but has some control or incontinent of bowel two (2) or three (3) times per week), or a combination of these; and
- D. Nine (9) points if assessed as requiring maximum assistance with personal care, in that the applicant or recipient requires total personal care to be performed by another individual, and/or exhibits continuous incontinency all or most of the time; and]
- 1. Behavioral is defined as the applicant or recipient's repeated behavioral challenges that affect their ability to function in the community. The applicants or recipients who exhibit uncontrolled behavior that is dangerous to themselves or others must be transferred immediately to an appropriate facility. Determine if the applicant or recipient: receives monitoring for a mental condition, exhibits one (1) of the following mood or behavior symptoms: wandering, physical abuse, socially inappropriate or disruptive behavior, inappropriate public sexual behavior or public disrobing, resists care or exhibits one (1) of the following psychiatric conditions: abnormal thoughts, delusions, hallucinations. The applicant or recipient can receive up to nine (9) points in this category. The applicant or recipient will receive—
- A. Zero (0) points if assessed with a stable mental condition and no mood or behavior symptoms observed and no reported psychiatric conditions;
- B. Three (3) points if assessed with a stable mental condition monitored by a physician or licensed mental health professional at least monthly or behavior symptoms exhibited in the past, but not currently present or psychiatric conditions exhibited in the past, but not recently present;
- C. Six (6) points if assessed with an unstable mental condition monitored by a physician or licensed mental health professional at least monthly, or behavior symptoms are currently exhibited, or psychiatric conditions are recently exhibited; or
- D. Nine (9) points if assessed with an unstable mental condition monitored by a physician or licensed mental health professional at least monthly and behavior symptoms are currently exhibited or psychiatric conditions are currently exhibited.
- 2. Cognition is defined as the applicant or recipient's performance in remembering, making decisions, organizing daily self-care activities, as well as understanding others and making self-understood. Determine if the applicant or recipient has an issue in one (1) or more of the following areas: cognitive skills for daily

- decision making, memory or recall ability (short-term, procedural, situational memory), disorganized thinking/awareness, mental function varies over the course of the day, or ability to understand others or to be understood. The applicant or recipient can receive up to eighteen (18) points in this category. The applicants or recipients with "no discernable consciousness, coma" are presumed to meet nursing facility level of care. The applicant or recipient will receive—
- A. Zero (0) points if assessed with no issues with cognition and no issues with memory, mental function, or ability to be understood or to understand others;
- B. Three (3) points if assessed as displaying difficulty making decisions in new situations or occasionally requires supervision in decision making and has issues with memory, mental function, or ability to be understood or to understand others:
- C. Six (6) points if assessed as displaying consistent unsafe or poor decision making requiring reminders, cues, or supervision at all times to plan, organize, and conduct daily routines, and has issues with memory, mental function, or ability to be understood or understand others; or
- D. Nine (9) points if assessed as rarely or never has the capability to make decisions or displaying consistent unsafe or poor decision making or requires total supervision requiring reminders, cues, or supervision at all times to plan, organize, and conduct daily routines, and rarely or never understood by or able to understand others.
- 3. Mobility is defined as the amount of assistance needed by the applicant or recipient to move from one (1) place or position to another. Determine the applicant or recipient's primary mode of locomotion and the amount of assistance the applicant or recipient needs with: locomotion—how one moves walking or wheeling, if wheeling how much assistance is needed once in the chair, or bed mobility—transition from lying to sitting, turning, etc. The applicant or recipient can receive up to eighteen (18) points in this category. The applicants or recipients who score in the "totally dependent on others to move or those that are bedbound" are presumed to meet nursing facility level of care. The applicant or recipient will receive—
- A. Zero (0) points if assessed as independently mobile, in that the applicant or recipient requires no assistance for transfers or mobility or only has set up or supervision needed;
- B. Three (3) points if assessed as requiring limited or moderate assistance, in that the applicant or recipient performs more than fifty percent (50%) of tasks independently; or
- C. Six (6) points if assessed as requiring maximum assistance, in that the applicant or recipient needs assistance from two (2) or more individuals or more than fifty percent (50%) weight-bearing assistance or totally dependent for bed mobility.
- 4. Eating is defined as the amount of assistance needed by applicant or recipient to eat and drink, including special nutritional requirements or a specialized mode of nutrition. Determine the amount of assistance the applicant or recipient needs with eating and drinking. Includes intake of nourishment by other means [e.g. tube feeding or total parenteral nutrition (TPN)]. Determine if the participant requires a physician ordered therapeutic diet. The applicant or recipient can receive up to eighteen (18) points in this category. The applicants or recipients "totally dependent on others to eat" are presumed to meet nursing facility level of care. The applicant or recipient will receive—
- A. Zero (0) points if assessed as independent in dietary needs, in that the applicant or recipient requires no assistance to eat and has no physician ordered diet;
- B. Three (3) points if assessed as requiring minimum assistance, in that the applicant or recipient requires physician ordered therapeutic diet, or set up, supervision, or limited assistance is needed with eating;

- C. Six (6) points if assessed as requiring moderate assistance with eating, in that the applicant or recipient performs more than fifty percent (50%) of tasks independently; or
- D. Nine (9) points if assessed as requiring maximum assistance with eating, in that the applicant or recipient requires an individual to perform more than fifty percent (50%) for assistance.
- 5. Toileting is defined as the amount of assistance needed by the applicant or recipient to complete all tasks related to toileting including the actual use of the toilet room (or commode, bedpan, urinal), transferring on/off the toilet, cleansing self, adjusting clothes, managing catheters/ostomies, and managing incontinence episodes. The applicant or recipient can receive up to nine (9) points in this category. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring no assistance, or requires only set up or supervision needed;
- B. Three (3) points if assessed as requiring limited or moderate assistance, in that applicant or recipient performs more than fifty percent (50%) of tasks independently;
- C. Six (6) points if assessed as requiring maximum assistance, in that applicant or recipient needs two (2) or more individuals, or more than fifty percent (50%) weight-bearing assistance; or
- D. Nine (9) points if assessed as requiring total dependence on others.
- 6. Bathing is defined as the amount of assistance needed by the applicant or recipient to complete a full body shower or bath. Determine the amount of assistance the applicant or recipient needs with bathing. Bathing includes: taking a full body bath/shower and the transferring in and out of the bath/shower. The applicant or recipient can receive up to six (6) points in this category. The applicant or recipient will receive—
- A. Zero (0) points if assessed as no assistance required, or requiring only set up or supervision needed;
- B. Three (3) points if assessed as requiring limited or moderate assistance, in that applicant or recipient performs more than fifty percent (50%) of tasks independently; or
- C. Six (6) points if assessed as requiring maximum assistance, in that the applicant or recipient requires two (2) or more individuals, more than fifty percent (50%) weight-bearing assistance, or total dependence on others.
- 7. Dressing and grooming is defined as the amount of assistance needed by the applicant or recipient to dress, undress, and complete daily grooming tasks. Dressing may also include specialized devices such as prosthetics, orthotics, etc. The applicant or recipient can receive up to six (6) points in this category. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring no assistance, or requiring only set up or supervision needed;
- B. Three (3) points if assessed as requiring limited or moderate assistance, in that applicant or recipient performs more than fifty percent (50%) of tasks independently; or
- C. Six (6) points if assessed as requiring maximum assistance, in that applicant or recipient requires two (2) or more individuals, more than fifty percent (50%) of weight-bearing assistance, or total dependence on others.
- [9.]8. [Rehabilitation] Rehabilitative services is defined as the restoration of a former or normal state of health through medically-ordered therapeutic services either directly provided by or under the supervision of a licensed qualified professional. [Rehabilitation] Rehabilitative services include[, but are not limited to:] physical therapy, occupational therapy, speech therapy, cardiac rehabilitation, and audiology. If ordered by the physician, each resident must have an individually planned and implemented program with written goals and response/progress documented. Points will be determined by intensity of required services and the applicant's or recipient's potential for rehabilitation as determined by the rehabilitation evalu-

- ation. The applicant or recipient will receive-
- A. Zero (0) points if assessed as requiring no ordered [rehabilitation] rehabilitative services;
- B. Three (3) points, if assessed as requiring minimal-ordered *[rehabilitation]* rehabilitative services of one (1) time per week;
- C. Six (6) points if assessed as requiring moderate-ordered rehabilitative services of two (2) or three (3) times per week; [and] or
- D. Nine (9) points if assessed as requiring maximum-ordered rehabilitative services of four (4) times per week or more.
- 9. Treatments are defined as a physician ordered medical care or management that requires additional hands on assistance. The scoring for treatments will be zero (0) or six (6). The applicant or recipient with the identified treatments will receive six (6) points. The applicant or recipient will receive—
- A. Zero (0) points if no treatments are ordered by the physician; or
- B. Six (6) points if assessed as requiring one (1) or more of the physician ordered treatments requiring daily attention by a licensed professional. These treatments could include: catheter/ostomy care, alternate modes of nutrition (tube feeding or TPN), suctioning, ventilator/respirator, and wound care (skin must be broken).
- 10. Meal preparation is defined as the amount of assistance needed to prepare a meal based on the applicant's or recipient's capacity to complete the task. This includes planning, assembling ingredients, cooking, and setting out the food and utensils. The applicant or recipient can receive up to six (6) points in this category. The applicant or recipient will receive—
- A. Zero (0) points if assessed as requiring no assistance, or requiring only set up or supervision needed;
- B. Three (3) points if assessed as requiring limited or moderate assistance, in that applicant or recipient performs more than fifty percent (50%) of tasks; or
- C. Six (6) points if assessed as requiring maximum assistance in that the individual performs more than fifty percent (50%) of tasks for the applicant or recipient, or requires total dependence on others.
- 11. Medication management is defined as the amount of assistance needed by the applicant or recipient to safely manage their medication regimen. Assistance may be needed due to a physical or mental disability. Determine the amount of assistance the applicant or recipient needs to safely manage their medications. The applicant or recipient can receive up to six (6) points in this category. The applicant or recipient will receive—
 - A. Zero (0) points if assessed as requiring no assistance;
- B. Three (3) points if assessed as requiring setup help needed or supervision needed, or requires limited or moderate assistance, in that applicant or recipient performs more than fifty percent (50%) of tasks; or
- C. Six (6) points if assessed as requiring maximum assistance, in that the individual performs more than fifty percent (50%) of tasks for the applicant or recipient, or requires total dependence on others.
- 12. Safety is defined as the identification of a safety risk associated with vision impairment, falling, problems with balance, past institutionalization, and age. Determine if the applicant or recipient exhibits any of the following risk factors: vision impairment, falling, or problems with balance balance is moving to standing position, turning to face the opposite direction, dizziness, or unsteady gait. The applicant or recipient can receive up to eighteen (18) points in this category. After determination of a preliminary score, institutionalization and age will be considered to determine the final score. Three (3) points can be added to the accumulated score if the applicant or recipient is aged seventy-five (75) years or older and/or has been institutionalized in the last five (5) years in a long-term care facility, mental health residence, psychiatric hospital, inpatient substance abuse, or settings for

persons with intellectual disabilities and only to the specified points category listed. The applicants or recipients who score eighteen (18) points are presumed to meet nursing facility level of care. The applicant or recipient will receive—

- A. Zero (0) points if assessed with no difficulty or some difficulty with vision, and no falls in the last ninety (90) days, and no recent problems with balance;
- B. Three (3) points if assessed with severe difficulty with vision (sees only lights and shapes), or has fallen in the last ninety (90) days, or has current problems with balance, or has a preliminary score of zero (0) and is aged seventy-five (75) years or older or has been institutionalized;
- C. Six (6) points if assessed with no vision or has fallen in the last ninety (90) days and has current problems with balance, or assessed with a preliminary score of zero (0) and is aged seventy-five (75) years or older and has been institutionalized, or assessed with a preliminary score of three (3) points and is aged seventy-five (75) years or older or has been institutionalized;
- D. Nine (9) points if assessed with a preliminary score of six (6) points and has been institutionalized; or
- E. Eighteen (18) points if assessed with a preliminary score of six (6) points and is aged seventy-five (75) years or older or assessed with a preliminary score of three (3) points and is aged seventy-five (75) years or older and has been institutionalized.
- (6) Level of Care Determination for Home and Community Based Services Program. The department uses level of care determination for Home and Community Based Services (HCBS). The department utilizes the InterRAI Home Care Assessment System (HC), © InterRAI. Questions are scored within the InterRAI assessment using an algorithm, included herein. The HCBS assessment process is outlined in 19 CSR 15-7.021, 19 CSR 15-8.200, and 13 CSR 70-91.010.



All questions on	this form must be answered- write N/A if not applicab	ole. Blank areas will result in return of	document and delay in payment.	
SECTION A.	INDIVIDUAL'S IDENTIF	YING INFORMATION		
NAME (LAST, FIRST, MI	DDLE INITIAL, SUFFIX)		DATE OF BIRTH:	
		The second secon		
DCN (MEDICAID NUMB	ER);	SSN NUMBER:		
RACE:		GENDER:		
NACE.		GENDER.		
SECTION B.	CURRENT LOCATION	PROPOSED PLACEMENT		
REASON FOR SUBMITT		INIOI OCED LEGENERY	Principal district in the control of the second sec	
INDIVIDUAUS CURREN	T PHYSICAL LOCATION:			
NAME OF PROPOSED	SKILLED NURSING FACILITY:		FACILITY ID NUMBER:	
ADMIT DATE TO NF:		DISCHARGE DATE FROM NF:		
SECTION C.	RECENT MEDICAL INCIDENTS (I.E., CV	A, SURGERY, FRACTURE, HEAD IN	JURY, ETC., AND GIVE DATES)	
INDICATE THE	DIAGNOSES RELEVANT TO APPLICANT'S FUNC	TIONAL AND/OR SKILLED NURSIN	G NEEDS	
A-A-1A11 A			☐ See Attached	
SECTION D.	ASSESSED NEEDS			
	IORAL:			
	mine if the applicant or recipient:			
	Receives monitoring for mental condition			
	 Exhibits one of the following mood or behavior syn tive behavior, inappropriate public sexual behavior 		socially inappropriate or disrup-	
	 Exhibits one of the following psychiatric conditions 		icinations	
	21. The control of the following population of the control of the			
		Behavioral Symptoms (Check one b None Min Mod Max	ox for each)	
			Withdrawn/Depressed	
			Suspicious/Paranoid	
			Wanders	
			Hallucinations/Delusions	
	consult completed by a physician or licensed mental		Abnormal Thought Process	
health profession	nal:		Aggressive (Physical/Verbal)	
			Suicidal/Homicidal Ideation	
			Restraints	
			Sexually Inappropriate	
			Controlled with Medications	
20111517			Controlled with Medications	
COMMENT:				
	0.41			
0 pts	Stable mental condition AND no mood or behavior	2 1		
O 3 pts	Stable mental condition monitored by a physician o			
	symptoms exhibited in past, but not currently prese			
O 6 pts	Unstable mental condition monitored by a physician symptoms are currently exhibited QR psychiatric conditions.		al at least monthly OR behavior	
0.0515	symptoms are currently exhibited OR psychiatric conditions are recently exhibited			
O 9 pts	Unstable mental condition monitored by a physician or licensed mental health professional at least monthly AND behave symptoms are currently exhibited OR psychiatric conditions are currently exhibited			
	- January Samurios St. pojstilatio	The same series my exhibited		
MO 580-3350 (12-2020)	D	e 1 of 4	DHSS-DRL-109 (10-20)	
	Pade	5 I UI 4	OHGG-UHL-109 (10-20)	

COGNITION: • Determine if the applicant or recipient has an issues in one or more of the following areas: • Cognitive skills for daily decision making • Memory or recall ability (short-term, procedural, situational memory) • Disorganized thinking/awareness - mental function varies over the course of the day • Ability to understand others or to be understood						
ORIENTATION:	Person ☐ Place ☐ Time ☐ Situation	MEMORY:				
LEVEL OF SUPER		ABILITY TO MAKE A PATH TO SAFETY.				
HEARING IMPAIR		SPEECH IMPAIRMENT:				
COMMENT:	□ No □ Yes	□ No □ Yes				
O pts		nory, mental function, or ability to be understood/understand others				
3 pts	issues with memory, mental function, or ability to b					
O 6 pts	Displays consistent unsafe/poor decision making r and conduct daily routines AND has issues with m	equiring reminders, cues or supervision at all times to plan, organize nemory, mental function, or ability to be understood/understand others				
9 pts	Rarely or never has the capability to make decision supervision requiring reminders, cues or supervision never understood/able to understand others	ns OR displays consistent unsafe/poor decision making or requires total on at all times to plan, organize and conduct daily routines AND rarely				
18 pt	TRIGGER: No discernible consciousness, coma					
• Do	etermine the applicant or recipient's primary mode of locetermine the amount of assistance the applicant or recipions. Locomotion - how moves walking or wheeling, if we locet Mobility - transition from lying to sitting, turning.	pient needs with: wheeling how much assistance is needed once in the chair				
COMMENT:						
0 pts	No assistance needed OR only set up or supervisi	ion needed				
3 pts		Limited or moderate assistance needed, i.e. applicant or recipient performs more than 50% of tasks independently				
○ 6 pts	Maximum assistance needed, i.e. applicant or reci bearing assistance OR total dependent for bed mo	pient needs two (2) or more individuals or more than 50% weight- bility				
18 pt		totally dependent on the others for locomotion				
• De Inc	 EATING: Determine the amount of assistance the applicant or recipient needs with eating and drinking. Includes intake of nourishment by other means (e.g. tube feeding or total parenteral nutrition (TPN). Determine if the participant requires a physician ordered therapeutic diet. 					
DIET ONDERED E	DIET ORDERED BY PHYSICIAN:					
COMMENT:						
0 pts	No assistance needed AND no physician ordered	diet				
3 pts						
O 6 pts	Moderate assistance needed with eating, i.e. appli	cant or recipient performs more than 50% of the task independently				
O 9 pts	Maximum assistance needed with eating, i.e. appli assistance	icant or recipient requires an individual to perform more than 50% for				
(18 pt						
TOILETING: • Determine the amount of assistance the applicant or recipient needs with toileting. Toileting includes: the actual use of the toilet room (or commode, bedpan, or urinal), transferring on/off the toilet, cleansing self. adjusting clothes, managing catheters/ostomies, and managing incontinence episodes.						
O pts	No assistance needed OR only set up or supervisi	ion needed				
O 3 pts	Limited or moderate assistance needed, i.e. applic	eant or recipient performs more than 50% of tasks independently				
O 6 pts		pient needs two (2) or more individuals, or more than 50% of weight-				
9 pts						

BATHING:						
	 Determine the amount of assistance the applicant or recipient needs with bathing. Bathing includes: taking a full body bath/shower and the transferring in and out of the bath/shower. 					
COMMENT:	and molecus. Laking a fair body badiranowor and the danisening in and out of the badiranower.					
0 pts	No assistance needed OR only set up or supervision needed					
3 pts Limited or moderate assistance needed, i.e. applicant or recipient performs more than 50% of tasks independently						
O 6 pts Maximum assistance, i.e. applicant or recipient requires two (2) or more individuals, more than 50% of weight-bearing						
Tale	assistance OR total dependence on others					
	SSING AND GROOMING: ermine the amount of assistance needed by the applicant or recipient to dress, undress and complete daily grooming tasks					
COMMENT:						
Onto	No assistance needed OR only set up or supervision needed					
0 pts 3 pts	Limited or moderate assistance needed, i.e. applicant or recipient performs more than 50% of tasks independently					
	Maximum assistance, i.e. applicant or recipient requires two (2) or more individuals, more than 50% of weight-bearing					
O 6 pts	assistance OR total dependence on others					
1	ABILITATIVE SERVICES:					
Phy	ermine if the applicant or recipient has the following medically <u>ordered</u> rehabilitative services: sical therapy/Occupational therapy/Speech therapy/Cardiac rehabilitation/Audiology.					
	ORDERED REHABILITATIVE SERVICES AND FREQUENCY:					
0011151						
COMMENT:						
O pts	None of the above therapies ordered					
O 3 pts	Any of the above therapies ordered 1 time per week					
O 6 pts	Any of the above therapies ordered 2-3 times per week					
9 pts	Any of the above therapies ordered 4 or more times per week					
	ATMENTS: ermine if the applicant or recipient requires any of the following treatments: • Catheter/Ostomy care					
	 Alternate modes of nutrition (tube feeding, TPN) Suctioning 					
	 Ventilator/respirator Wound care (skin must be broken) 					
TYPE OF PHYSICIA	+ORDERED TREATMENT/COMMENT:					
O 0 pts	None of the above treatments were ordered by the physician					
O 6 pts	One or more of the above treatments was ordered by the physician requiring daily attention by a license professional					
	L PREPARATION: ermine the amount of assistance the applicant or recipient needs to prepare a meal.					
This	includes planning, assembling ingredients, cooking, and setting out the food and utensils.					
COMMENT:						
O pts	No assistance needed OR only set up or supervision needed					
3 pts	Limited or moderate assistance needed, i.e. applicant or recipient performs more than 50% of tasks					
6 pts	Maximum assistance, i.e. an individual performs more than 50% of tasks for the applicant or recipient OR total dependence on others					
• Det nee	ICATION MANAGEMENT: ermine the amount of assistance the applicant or recipient needs to safely manage their medications. Assistance may be ded due to a physical or mental disability.					
COMMENT:						
O pts	No assistance needed					
3 pts	Set up help needed OR supervision needed OR limited or moderate assistance needed, i.e. applicant or recipient performs more than 50% of tasks					
6 pts	Maximum assistance needed, i.e. an individual performs more than 50% of tasks for the applicant or recipient OR total dependence on others					

		f: nine if the individual exhibits any of the following risk • Vision Impairment • Falling • Problems with balance. Balance is moving to star unsteady gait etermination of preliminary score, history of institutice. • Institutionalization in the last 5 years - long-term of substance abuse, or settings for persons with interest.	nding posi onalization care facilit	n and age v y, mental h	vill be co	onsidered to	determine fin	al score.
DATE OF LA	ST FALL:		TYPE OF INS	STITUTIONALIZA	ATION:	APPENDICULATION OF STREET STREET, STREET STREET, STREET STREET, STREET, STREET, STREET, STREET, STREET, STREET,		
TIMEFRAME	E OR DATE A	DMITTED TO INSTITUTION:	<u> </u>					
COMMENT:								
0) pts	No difficulty or some difficulty with vision AND no fa	alls in last	90 days AN	ID no re	cent problen	ns with balance	ce
O 3	3 pts	Severe difficulty with vision (sees only lights and sh balance OR preliminary score of 0 AND Age OR In			in the la	st 90 days O	R has current	problems with
O 6) pts	No vision OR has fallen in last 90 days AND has cull Institutionalization OR Preliminary score of 3 AND A				OR Prelimina	ary score of 0	AND Age AND
O 9	pts	Preliminary score of 6 AND Institutionalization						
O 1	8 pts	TRIGGER: Preliminary score of 6 AND Age OR Pre	eliminary S	Score of 3	AND Age	e AND Institu	utionalization	
SECTIO FIRST AND	N E.	REFERRING INDIVIDU	AL COMP	LETING A	PPLICA	TION		
POSITION/T	TTLE:		TYPE OF EN	TITY:			andra a vendica (ca. Albadhumilla din ya. Ma. 1807 a 1814 (1917) ya.	
NAME OF E	NTITY:		TELEPHONE NUMBER: EXT: FAX NUMBER:					
EMAIL ADD	RESS:		DATE REFER	RRAL COMPLET	ED:			
CHECK IF S	AME AS REF	ERRING INDIVIDUAL OR COMPLETE CONTACT PERSON IF LEVEL II SCF	REENING INDI	CATED:	TELEPHON	VE NUMBER:		EXT:
EMAIL:	Andrew Andrews and Andrews		FAX NUMBER	₹:				
						e Only (DRL		
				MEETS LEVEL			DRL Central	DATE



MISSOURI DEPARTMENT OF HEALTH AND SENIOR SERVICES DIVISION OF SENIOR SERVICES AND REGULATION LEVEL ONE NURSING FACILITY PRE-ADMISSION SCREENING FOR MENTAL ILLNESS/INTELLECTUAL DISABILITY OR RELATED CONDITION

SECTION A. INDIVIDUAL'S IDENTIFYING INFORMATION						
NAME (LAST, FIRST, MIDDLE, INITIAL, SUFFIX)			DATE OF BIRTH			
DCN (MEDICAID NUMBER)				SSN NUMBER		
RACE GE						
EDUCATION LEVEL				OCCUPATION		
SECTION B. INDIVIDUAL'S CONTACT INFORMATI PREVIOUS RESIDENCE TYPE	ON	Adjoint and the second				
STREET ADDRESS						
CITY	STATE	*****	And the second s	ZIP CODE		
LEGAL GUARDIAN OR DESIGNATED CONTACT PERSON INFOR	RMATION					
	ontact Person			and the state of the		
RELATIONSHIP	FIRST NAME			LAST NAME		
E-MAIL						
STREET ADDRESS						
CITY	STATE		ZIP	TELEPHONE		
SECTION C. REFERRING INDIVIDUAL COMPLETIE	NG APPLICAT	ION				
FIRST NAME		LAST NA	ME			
POSITION/TITLE	POSITION/TITLE TYPE OF ENTITY					
NAME OF ENTITY PHONE NUMBER						
EMAIL ADDRESS		FAX NUI	MBER			
SECTION D. LEVEL ONE SCREENING CRITERIA R	FOR SERIOUS	MENTAL	ILLNESS			
1. Dogg the individual show say signs as a warten of . M.	aiou Mantal Illiana					
Does the individual show any signs or symptoms of a Ma	ajor Mentar ilines	5!		∐ Yes ☐ No		
Signs/Symptoms:						
Does the individual have a current, suspected or history of a Major Mental Illness as defined by the Diagnostic & Statistical Manual of Mental Disorders (DSM) current edition? Yes No						
	Schizoaffective [Disorder		Bipolar Disorder		
Psychotic Disorder	isorder Major Depressive Disorder			Obsessive-Compulsive Disorder		
Dysthymic Disorder Panic Disorder				□ PTSD		
Conversion Disorder Personality Disorder				Mood Disorder		
Somatic Symptom Disorder	Dissociative Ider					
Anxiety Disorder Other Mental Disorder in the DSM:						
MO E90 2462 (12 2020)						

3. Does the individual have any area of impairment due		Yes	No	
(Record YES if any of the subcategories below are o	necked)			
Interpersonal Functioning:				
The individual has serious difficulty interac	ting appropriately and communicating effectively with other per nt, fear of strangers, avoidance of interpersonal relationship an		history of	
Adaptation to Change:				
agitation, exacerbated signs and symptom	oting to typical changes in circumstances associated with work, s associated with the illness or withdrawal from situations, self- nysical violence or threats, appetite disturbance, delusions. hall on by mental health or judicial system.	injurious, self-mutilati	on, suicida	al .
Concentration/Persistence/and Pace:				
in work settings or in work-like structured a	aining focused attention for a long enough period to permit the activities occurring in school or home settings, difficulties in conakes frequent errors or requires assistance in the completion of	centration, inability to	commonly :	found simple
	ES if Either/Both of the two subcategories below are checked)		Yes	☐ No
	at was more intensive than routine follow-up care (e.g. had inp ded partial care/hospitalization or has received Program of Ass			
	pisode of significant disruption to the normal living situation req	uiring supportive serv	rices to ma	aintain
•	unknown or treatment was unavailable but otherwise appropria	ite to consider individe	ual positive	e for
serious mental illness.				
Does the individual have a substance related disorder No Yes	er?			
Is the need for a skilled nursing facility placement as	sociated with substance abuse?			
When did the most recent substance abuse occur?				
	nknown			
6. Does the individual have a diagnosis of Major Neuro	cognitive Disorder (MNCD) i.e., dementia or Alzheimer's?		Yes	No
Were any of the following criteria used to establish the	ne basis for the MNCD:	□ N/A	Yes	No
Standardized Mental Status Exam (t	ype) Date Completed Score			
Neurological Exam				
☐ History and Symptoms				
Other Diagnostics: Specify				
Has the Physician documented MNCD as the primar a co-occurring mental illness diagnosis? (Provide do		∐ N/A	Yes	No
	,,			
SECTION E. LEVEL ONE SCREENING CRITERI	A FOR INTELLECTUAL DISABILITY OR RELATED C	ONDITION		
Is the individual known or suspected to have a diagn	osis of Intellectual Disability that originated prior to age 18?		Yes	No
If Yes, indicated diagnosis:				

2a. Does the individual have a suspected diagnosis or hi			∐ Yes	No
☐ Autism	Cerebral Palsy (CP)			
☐ Epilepsy/Seizure/Convulsions	Head Injury/Traumatic Brain Injury (TBI)			
☐ Down Syndrome ☐ Prader-Willi Syndrome	Spina Bifida			
Muscular Dystrophy	☐ Deaf or Blind ☐ Fetal Alcohol Syndrome			
Paraplegia	Quadriplegia			
Other Related Conditions:				
2b. Did the Other Related Condition develop before age	22?	N/A Unknown	Yes	No
Age/Date:			tennel	
(Please provide the date/age of onset for each Rela	ated Condition indicated)			

2c. Likely to continue indefinitely?		□ N/A	Yes No			
		5.0015				
2d. Results in substantial functional limitation in three o	,	of 22)?				
☐ No Functional Limitations	Self-Care					
☐ Capacity for Independent Living ☐ Learning	☐ Mobility ☐ Understanding and Use of Language					
Self-Direction	Oncerstanding and use of Language					
SECTION F. SPECIAL ADMISSION CATEGOR	FS					
1 — Terminal Illness						
	ox long					
Expected to result in death in six months Diagnosis:	or less					
Currently on Hospice: Yes (Provide ho	ospice order) No		THE RESERVE TO A STATE OF THE S			
Currently of Frospice.	spice diddi)					
2 — Serious Physical Illness						
Severe/end stage disease (or physical co	ndition\					
	namony					
5.43.000.						
3 - Respite Care						
Stays not more than thirty (30) days to pr	ovide relief for in-home caregivers					
The client is going to be short term:	_					
4 - Emergency Provisional Admission						
Must be hotlined. Stays not more than 7	days to protect person from serious physical harm to	self and others				
Hotline must be reported to the Adult Abu	ise and Neglect Hotline (1-800-392-0210 or https://ap	ps4.mo.gov/APS_Portal/)				
Reason for Hotline:	Reason for Hotline:					
5 — Direct Transfer from a Hospital						
Stays not more than thirty (30) days for the	ne condition for which the person is currently receiving	g hospital care.				
Must include the hospital history and p	physical					
The client is going to be short term:						
i			- in product control control			
What is the plan after 30 days?						
SECTION G. PHYSICIAN'S AUTHORIZATION	AND SIGNATURE					
I attest that the information on these forms is complete	and correct as known to me.					
· ·						
Applicant is not currently a danger to self and other	s Applicant is currently a danger to self and of	thers				
PHYSICIAN SIGNATURE		DATE				
DISCIPLINE		LICENSE NUMBER				
		A PRINCIPAL NEW AND A SECOND CONTRACT OF THE				