

Volume 41, Number 1
Pages 1-66
January 4, 2016

SALUS POPULI SUPREMA LEX ESTO

"The welfare of the people shall be the supreme law."



JASON KANDER
SECRETARY OF STATE

MISSOURI
REGISTER

The *Missouri Register* is an official publication of the state of Missouri, under the authority granted to the secretary of state by sections 536.015, RSMo Supp. 2014 and 536.033, RSMo 2000. Reproduction of rules is allowed; however, no reproduction shall bear the name *Missouri Register* or "official" without the express permission of the secretary of state.

The *Missouri Register* is published semi-monthly by

SECRETARY OF STATE

JASON KANDER

Administrative Rules Division

James C. Kirkpatrick State Information Center
600 W. Main
Jefferson City, MO 65101
(573) 751-4015

DIRECTOR

WAYLENE W. HILES

•

MANAGING EDITOR

CURTIS W. TREAT

•

EDITOR

AMANDA MCKAY

•

ASSOCIATE EDITOR

VONNE KILBOURN

•

ASSISTANT EDITOR

MARTY SPANN

•

PUBLICATION TECHNICIAN

JACQUELINE D. WHITE

•

ADMINISTRATIVE ASSISTANT

ALISHA DUDENHOEFFER

ISSN 0149-2942, USPS 320-630; periodical postage paid at Jefferson City, MO
Subscription fee: \$56.00 per year

POSTMASTER: Send change of address notices and undelivered copies to:

MISSOURI REGISTER
Office of the Secretary of State
Administrative Rules Division
PO Box 1767
Jefferson City, MO 65102

The *Missouri Register* and *Code of State Regulations* (CSR) are now available on the Internet. The Register address is <http://www.sos.mo.gov/adrules/moreg/moreg.asp> and the CSR is <http://www.sos.mo.gov/adrules/csr/csr.asp>. These websites contain rulemakings and regulations as they appear in the Registers and CSR. These websites do not contain the official copies of the Registers and CSR. The official copies remain the paper copies published by the Office of the Secretary of State pursuant to sections 536.015 and 536.031, RSMo Supp. 2014. While every attempt has been made to ensure accuracy and reliability, the Registers and CSR are presented, to the greatest extent practicable as they appear in the official publications. The Administrative Rules Division may be contacted by email at rules@sos.mo.gov.

The secretary of state's office makes every effort to provide program accessibility to all citizens without regard to disability. If you desire this publication in alternate form because of a disability, please contact the Division of Administrative Rules, PO Box 1767, Jefferson City, MO 65102, (573) 751-4015. Hearing impaired citizens should contact the director through Missouri relay, (800) 735-2966.



IN THIS ISSUE:

EMERGENCY RULES

Elected Officials
 State Auditor 5

Department of Insurance, Financial Institutions and Professional Registration
 Insurance Solvency and Company Regulation 11

PROPOSED RULES

Elected Officials
 Attorney General 22

Department of Insurance, Financial Institutions and Professional Registration
 Insurance Solvency and Company Regulation 22
 Interior Design Council 33

ORDERS OF RULEMAKING

Department of Labor and Industrial Relations
 Division of Workers' Compensation 36

Department of Natural Resources
 Air Conservation Commission 37
 Land Reclamation Commission 37

Department of Social Services
 Office of the Director 38
 Children's Division 38
 Family Support Division 38
 MO HealthNet Division 39

IN ADDITIONS

Department of Transportation
 Missouri Highways and Transportation Commission 40

Department of Insurance, Financial Institutions and Professional Registration
 Construction Claims Binding Arbitration Cap 42
 Sovereign Immunity Limits 43
 State Legal Expense Fund Cap 43

DISSOLUTIONS

DISSOLUTIONS 44

SOURCE GUIDES

RULE CHANGES SINCE UPDATE 49
EMERGENCY RULES IN EFFECT 55
EXECUTIVE ORDERS 58
REGISTER INDEX 59

Register Filing Deadlines	Register Publication Date	Code Publication Date	Code Effective Date
September 1, 2015 September 15, 2015	October 1, 2015 October 15, 2015	October 31, 2015 October 31, 2015	November 30, 2015 November 30, 2015
October 1, 2015 October 15, 2015	November 2, 2015 November 16, 2015	November 30, 2015 November 30, 2015	December 30, 2015 December 30, 2015
November 2, 2015 November 16, 2015	December 1, 2015 December 15, 2015	December 31, 2015 December 31, 2015	January 30, 2016 January 30, 2016
December 1, 2015 December 15, 2015	January 4, 2016 January 15, 2016	January 30, 2016 January 30, 2016	February 29, 2016 February 29, 2016
January 4, 2016 January 15, 2016	February 1, 2016 February 16, 2016	February 29, 2016 February 29, 2016	March 30, 2016 March 30, 2016
February 1, 2016 February 16, 2016	March 1, 2016 March 15, 2016	March 31, 2016 March 31, 2016	April 30, 2016 April 30, 2016
March 1, 2016 March 15, 2016	April 1, 2016 April 15, 2016	April 30, 2016 April 30, 2016	May 30, 2016 May 30, 2016
April 1, 2016 April 15, 2016	May 2, 2016 May 16, 2016	May 31, 2016 May 31, 2016	June 30, 2016 June 30, 2016
May 2, 2016 May 16, 2016	June 1, 2016 June 15, 2016	June 30, 2016 June 30, 2016	July 30, 2016 July 30, 2016
June 1, 2016 June 15, 2016	July 1, 2016 July 15, 2016	July 31, 2016 July 31, 2016	August 30, 2016 August 30, 2016

Documents will be accepted for filing on all regular workdays from 8:00 a.m. until 5:00 p.m. We encourage early filings to facilitate the timely publication of the *Missouri Register*. Orders of Rulemaking appearing in the *Missouri Register* will be published in the *Code of State Regulations* and become effective as listed in the chart above. Advance notice of large volume filings will facilitate their timely publication. We reserve the right to change the schedule due to special circumstances. Please check the latest publication to verify that no changes have been made in this schedule. To review the entire year's schedule, please check out the website at <http://www.sos.mo.gov/adrules/pubsched.asp>

Missouri Participating Libraries

The *Missouri Register* and the *Code of State Regulations*, as required by the Missouri Documents Law (section 181.100, RSMo Supp. 2014), are available in the listed participating libraries, as selected by the Missouri State Library:

Jefferson County Library PO Box 1486, 3021 High Ridge High Ridge, MO 63049-1486 (314) 677-8689	Learning Resources Center Mineral Area College PO Box 1000 Park Hills, MO 63601-1000 (573) 431-4593	Hearnes Learning Resources Ctr. Missouri Western State University 4525 Downs Drive St. Joseph, MO 64507-2294 (816) 271-5802	Library Missouri University of Science and Technology 1870 Miner Circle Rolla, MO 65409-0060 (573) 341-4007
Jefferson College Library 1000 Viking Drive Hillsboro, MO 63050-2441 (314) 789-3951 ext. 160	Cape Girardeau Public Library 711 N. Clark Cape Girardeau, MO 63701-4400 (573) 334-5279	Library North Central Missouri College PO Box 111, 1301 Main Street Trenton, MO 64683-0107 (660) 359-3948 ext. 325	Lebanon-Laclede County Library 915 S. Jefferson Ave. Lebanon, MO 65536-3017 (417) 532-2148
St. Louis Public Library 1301 Olive St. St. Louis, MO 63103-2389 (314) 539-0376	Kent Library Southeast Missouri State University One University Plaza Cape Girardeau, MO 63701-4799 (573) 651-2757	Spiva Library Missouri Southern State University 3950 East Newman Road Joplin, MO 64801-1595 (417) 625-9342	University Library Southwest Baptist University 1600 University Ave. Bolivar, MO 65613-2597 (417) 328-1631
St. Louis University Law Library 3700 Lindell Blvd. St. Louis, MO 63108-3478 (314) 977-2742	Riverside Regional Library PO Box 389, 1997 E. Jackson Blvd. Jackson, MO 63755-0389 (573) 243-8141	Missouri State Library 600 West Main, PO Box 387 Jefferson City, MO 65102-0387 (573) 751-3615	Barry-Lawrence Regional Library 213 6th St. Monett, MO 65708-2147 (417) 235-6646
Eden/Webster Library Eden Theological Seminary/ Webster University 475 East Lockwood Ave. St. Louis, MO 63119-3192 (314) 961-2660 ext. 7812	Rutland Library Three Rivers Community College 2080 Three Rivers Blvd. Poplar Bluff, MO 63901-2393 (573) 840-9656	Missouri State Archives 600 West Main, PO Box 778 Jefferson City, MO 65102-0778 (573) 526-6711	Lyons Memorial Library College of the Ozarks General Delivery Point Lookout, MO 65726-9999 (417) 334-6411 ext. 3551
Thomas Jefferson Library University of Missouri-St. Louis 8001 Natural Bridge Road St. Louis, MO 63121-4499 (314) 516-5084	James C. Kirkpatrick Library University of Central Missouri 142 Edwards Library Warrensburg, MO 64093-5020 (660) 543-4149	Elmer Ellis Library University of Missouri-Columbia 106 B Ellis Library Columbia, MO 65211-5149 (573) 882-0748	Garnett Library Missouri State University—West Plains 304 Cleveland West Plains, MO 65775-3414 (417) 255-7945
Washington University Law Library Washington University Campus Box 1171, Mudd Bldg., One Brookings Dr. St. Louis, MO 63130-4899 (314) 935-6443	Kansas City Public Library 14 West 10th Street Kansas City, MO 64105 (816) 701-3546	Library State Historical Society of Missouri 1020 Lowry St. Columbia, MO 65211-7298 (573) 882-9369	Springfield-Greene County Library 4653 S. Campbell Springfield, MO 65801-0760 (417) 874-8110
St. Louis County Library 1640 S. Lindbergh Blvd. St. Louis, MO 63131-3598 (314) 994-3300 ext. 247	Law Library University of Missouri-Kansas City 5100 Rockhill Road Kansas City, MO 64110-2499 (816) 235-2438	Daniel Boone Regional Library PO Box 1267, 100 West Broadway Columbia, MO 65205-1267 (573) 443-3161 ext. 359	Meyer Library Missouri State University PO Box 175, 901 S. National Springfield, MO 65804-0095 (417) 836-4533
Library Maryville University 13550 Conway Road St. Louis, MO 63141-7232 (314) 529-9494	Miller Nichols Library University of Missouri-Kansas City 5100 Rockhill Road Kansas City, MO 64110-2499 (816) 235-2438	School of Law University of Missouri-Columbia 224 Hulston Hall Columbia, MO 65211-0001 (573) 882-1125	
Pickler Memorial Library Truman State University 100 E. Normal Kirksville, MO 63501-4221 (660) 785-7416	B.D. Owens Library Northwest Missouri State University 800 University Drive Maryville, MO 64468-6001 (660) 562-1841	Smiley Memorial Library Central Methodist University 411 Central Methodist Square Fayette, MO 65248-1198 (660) 248-6279	
	St. Joseph Public Library 927 Felix Street St. Joseph, MO 64501-2799 (816) 232-8151		

HOW TO CITE RULES AND RSMo

RULES—Cite material in the *Missouri Register* by volume and page number, for example, Vol. 28, *Missouri Register*, page 27. The approved short form of citation is 28 MoReg 27.

The rules are codified in the *Code of State Regulations* in this system—

Title	Code of State Regulations	Division	Chapter	Rule
1	CSR	10-	1.	010
Department		Agency, Division	General area regulated	Specific area regulated

They are properly cited by using the full citation, i.e., 1 CSR 10-1.010.

Each department of state government is assigned a title. Each agency or division within the department is assigned a division number. The agency then groups its rules into general subject matter areas called chapters and specific areas called rules. Within a rule, the first breakdown is called a section and is designated as (1). Subsection is (A) with further breakdown into paragraph 1., subparagraph A., part (I), subpart (a), item I. and subitem a.

RSMo—The most recent version of the statute containing the section number and the date.

Rules appearing under this heading are filed under the authority granted by section 536.025, RSMo 2000. An emergency rule may be adopted by an agency if the agency finds that an immediate danger to the public health, safety, or welfare, or a compelling governmental interest requires emergency action; follows procedures best calculated to assure fairness to all interested persons and parties under the circumstances; follows procedures which comply with the protections extended by the *Missouri* and the *United States Constitutions*; limits the scope of such rule to the circumstances creating an emergency and requiring emergency procedure, and at the time of or prior to the adoption of such rule files with the secretary of state the text of the rule together with the specific facts, reasons, and findings which support its conclusion that there is an immediate danger to the public health, safety, or welfare which can be met only through the adoption of such rule and its reasons for concluding that the procedure employed is fair to all interested persons and parties under the circumstances.

Rules filed as emergency rules may be effective not less than ten (10) days after filing or at such later date as may be specified in the rule and may be terminated at any time by the state agency by filing an order with the secretary of state fixing the date of such termination, which order shall be published by the secretary of state in the *Missouri Register* as soon as practicable.

All emergency rules must state the period during which they are in effect, and in no case can they be in effect more than one hundred eighty (180) calendar days or thirty (30) legislative days, whichever period is longer. Emergency rules are not renewable, although an agency may at any time adopt an identical rule under the normal rulemaking procedures.

**Title 15—ELECTED OFFICIALS
Division 40—State Auditor
Chapter 3—Rules Applying to Political Subdivisions**

EMERGENCY RULE

15 CSR 40-3.170 Addendum Filed with the Auditor's Office

PURPOSE: This rule sets forth a procedure for a county, city, town, or village to provide the information required by section 479.359, RSMo Supp. 2015.

EMERGENCY STATEMENT: Conference Committee Substitute for House Committee Substitute for Senate Substitute for Senate Committee Substitute for Senate Bill 5, First Regular Session, Ninety-eighth General Assembly, went into effect August 28, 2015. Section 479.359.4 in Senate Bill 5 specified, "On or before December 31, 2015, the state auditor shall set forth by rule a procedure for including the addendum information required by this section." The State Auditor's office filed a proposed rule on September 1, 2015, which was published in the October 1, 2015, Missouri Register and has now completed the notice and comment period for the final rule. This emergency rule has taken the comments received for the proposed rule into consideration and will allow a rule to be in place by December 31, 2015. The State Auditor's office believes this emergency rule is fair to all interested persons and parties under these circumstances. The scope of the rule is limited to the circumstances creating the emergency and complies with the protections extended in the

Missouri and United States Constitutions. This emergency rule was filed November 30, 2015, becomes effective December 10, 2015, and expires May 31, 2016.

(1) Every county, city, town, or village shall annually file with the State Auditor's Office a completed form, which is included herein, and available on the State Auditor's website, and contains at a minimum, the following:

(A) The total annual revenue of the county, city, town, or village;

(B) The annual general operating revenue of the county, city, town, or village based on the definition in section 479.350, RSMo;

(C) The total revenue from fines, bond forfeitures, and court costs for minor traffic violations occurring within the county, city, town, or village based on the definitions in section 479.350, RSMo, including amended charges for any minor traffic violations; and

(D) The percent of annual general operating revenue from fines, bond forfeitures, and court costs for minor traffic violations as defined by section 479.350, RSMo, including amended charges for any minor traffic violation.

(2) The annual form shall be mailed to the State Auditor's Office at PO Box 869, Jefferson City, MO 65102, or emailed to PolySubFS@auditor.mo.gov.

(3) The addendum form shall be submitted within six (6) months after the end of the county, city, town, or village's fiscal year and shall be filed with any required annual financial report filed under the procedures in 15 CSR 40-3.030.

(4) To facilitate auditing, the county, city, town, or village shall retain documentation supporting the information provided on the form in accordance with prescribed record retention procedures.

(5) The form shall be signed by a representative of the county, city, town, or village with knowledge of the subject matter before a notary public.

(6) If the county, city, town, or village's fiscal year ended before August 28, 2015, the county, city, town, or village may report the information required by section (1) of this regulation in a form that substantially comports with the requirements of this regulation and may report its total revenue for municipal court violations or provide an accounting of the percent of annual general operating revenue from fines and court costs from traffic violations, including amended charges from any charged traffic violation based on section 302.341, RSMo Supp. 2014.

(7) Examples.

(A) A city with a municipal court has several ordinances related to equipment failure, vehicle registration, and seatbelt use for which no points are placed on the driver license upon conviction. The funds received from these violations should be included in "minor traffic violations" when reporting the information under section (1) of this regulation because the statutory definition of "minor traffic violation" includes municipal or county ordinance traffic violations, except those that involve authorization for the Department of Revenue to assess five (5) or more points to a person's driving record upon conviction, assuming that the specific violation does not fall within one (1) of the other statutorily enumerated exceptions in the definition of "minor traffic violation."

(B) The municipal court amends a violation that does not meet the definition of "minor traffic violation" to a violation that does meet this definition. The funds received from these violations should be included in "minor traffic violations" when reporting the information under section (1) of this regulation because the calculation includes charges for all minor traffic violations.

(C) The municipal court amends a violation that meets the definition of "minor traffic violation" to a violation that does not meet this definition. The funds received from these violations should be included in "minor traffic violations" when reporting the information under section (1) of this regulation because the original charge is a minor traffic violation.



OFFICE OF THE STATE AUDITOR
ADDENDUM
(Form A 15-1)

INSTRUCTIONS

Fill out via computer, print to apply signature.

Email completed addendum to: **PolysubFS@auditor.mo.gov** or mail to:

Missouri State Auditor's Office

P.O. Box 869

Jefferson City, MO 65102

If your county, city, town, or village has its own municipal court, submit your municipal court certification with this form.

* If you check "No" on line **A** do not fill out lines **B** and **C**.

Political Subdivision Number _____ Name of County, City, Town, or Village _____ Fiscal Year End (MM/DD/Year) _____

Mailing Address _____ City _____ State _____ Zip _____

Phone _____ Email Address _____

Does your county, city, town, or village have its own municipal court? Yes No

A Does your county, city, town, or village receive any revenue from fines, bond forfeitures, and court costs for minor traffic violations? Yes No

List any courts that hear municipal violations for your county, city, town, or village.

Total Annual Revenue \$ _____ Annual General Operating Revenue \$ _____

B Total revenue from fines, bond forfeitures, and court costs for minor traffic violations, including amended charges for minor traffic violations \$ _____

C Percentage of annual general operating revenue from fines, bond forfeitures, and court costs for minor traffic violations % _____

REPRESENTATIVE'S CERTIFICATION

The undersigned representative of the county, city, town, or village hereby certifies, under penalties of perjury, that all of the information submitted in this addendum is true and complete.

Signature _____ Typed or Printed Name _____ Title _____

Mailing Address _____ City _____ State _____ Zip _____

NOTARY

State _____ Subscribed and Sworn Before Me, This _____ Day of _____ Year _____

Notary Public Signature _____

My Commission Expires _____

Notary Public Name (Typed or Printed) _____

County (or City of St. Louis) _____

NOTICE - Every county, city, town, or village is required to submit an addendum to the State Auditor's Office pursuant to sections 479.359 and 479.362 and 15 CSR 40-3.170.



OFFICE OF THE STATE AUDITOR
ADDENDUM
(Form A 15-1)

Please use this space to provide additional explanations if the space provided for any item was not sufficient.

A large, empty rectangular box intended for providing additional explanations.

AUTHORITY: section 29.100, RSMo 2000, and sections 479.359 and 479.362, RSMo Supp. 2015. Original rule filed Sept. 1, 2015. Emergency rule filed Nov. 30, 2015, effective Dec. 10, 2015, expires May 31, 2016.

Title 15—ELECTED OFFICIALS
Division 40—State Auditor
Chapter 3—Rules Applying to Political Subdivisions

EMERGENCY RULE

15 CSR 40-3.180 Municipal Court Certifications Filed with the Auditor's Office

PURPOSE: This rule sets forth a procedure for a county, city, town, or village with a municipal court to certify its substantial compliance with the municipal court procedures specified in section 479.360, RSMo Supp. 2015.

EMERGENCY STATEMENT: Conference Committee Substitute for House Committee Substitute for Senate Substitute for Senate Committee Substitute for Senate Bill 5, First Regular Session, Ninety-eighth General Assembly, went into effect August 28, 2015. Section 479.360.2 in Senate Bill 5 specified, "On or before December 31, 2015, the state auditor shall set forth by rule a procedure for including the addendum information required by this section." The State Auditor's office filed a proposed rule on September 1, 2015, which was published in the October 1, 2015, Missouri Register and has now completed the notice and comment period for the final rule. This emergency rule has taken the comments received for the proposed rule into consideration and will allow a rule to be in place by December 31, 2015. The State Auditor's office believes this emergency rule is fair to all interested persons and parties under these circumstances. The scope of the rule is limited to the circumstances creating the emergency and complies with the protections extended in the Missouri and United States Constitutions. This emergency rule was filed November 30, 2015, becomes effective December 10, 2015, and expires May 31, 2016.

(1) Any city, town, or village with a municipal court shall annually file with the State Auditor's Office a completed certification, which is included herein, and available on the State Auditor's website, or any certification which substantially comports with the specified certification and certifies that the municipal court adopted and substantially complied with the municipal court procedures specified by section 1 of section 479.360, RSMo during the preceding fiscal year.

(2) Any county with a county municipal court shall annually file with the State Auditor's Office a completed certification, which is included herein, and available on the State Auditor's website, or any certification which substantially comports with the specified certification and certifies that the municipal court adopted and substantially complied with the municipal court procedures specified by section 1 of section 479.360, RSMo during the preceding fiscal year.

(3) If the county, city, town, or village's fiscal year ended before August 28, 2015, but the county, city, town, or village files its financial report with the State Auditor's Office after August 28, 2015, the certification may specify which, if any, procedures required by section 1 of section 479.360, RSMo the municipal court has adopted, and certify during which portion of the fiscal year, if any, the municipal court complied with each procedure.

(4) If the county, city, town, or village's fiscal year ended after August 28, 2015, but any period of time covered by the certification includes a portion of a fiscal year that was prior to August 28, 2015, the certification may certify and specify substantial compliance for

only that portion of the fiscal year after August 28, 2015 in which the municipal court complied with each procedure.

(5) The certification shall be signed by the municipal judge of the county, city, town, or village. If the municipal court has more than one (1) municipal judge, any municipal judge may sign the form or certification.

(6) The certification shall be submitted, together with the addendum required by 15 CSR 40-3.170, within six (6) months after the end of the county, city, town, or village's fiscal year. The certification shall be mailed to the State Auditor's Office at PO Box 869, Jefferson City, MO 65102, or emailed to PolySubFS@auditor.mo.gov.

(7) Any city, town, or village that does not have its own municipal judge because it has all municipal violations adjudicated by a county municipal judge, or by a circuit or associate circuit judge, is not required to file a certification.

(8) To facilitate auditing, the county, city, town, or village shall retain documentation supporting the information provided by the certification in accordance with prescribed record retention procedures.



OFFICE OF THE STATE AUDITOR
MUNICIPAL COURT CERTIFICATION
(Form MCC 15-1)

Instructions

Fill out via computer, print to apply signature.

Email completed certification along with required addendum to: PolysubFS@auditor.mo.gov or mail to:

Missouri State Auditor's Office

P.O. Box 869

Jefferson City, MO 65102

Name of County, City, Town, or Village

Mailing Address

City

State

Zip

Phone

Email Address

Name of Municipal Court

Mailing Address

City

State

Zip

Phone

Email Address

Fiscal Year Certified

I certify that the municipal court over which I preside has adopted the procedures required by section 479.360 RSMo and substantially complied with the procedures during the fiscal year ended _____.

Signature

Name of Municipal Judge (Printed or Typed)

Date (MM/DD/Year)

NOTICE - Each city, town, or village with a municipal court and each county with a municipal court is required to file a municipal court certification pursuant to sections 479.360 and 479.362 and 15 CSR 40-3.180.

AUTHORITY: section 29.100, RSMo 2000, and sections 479.360 and 479.362, RSMo Supp. 2015. Original rule filed Sept. 1, 2015. Emergency rule filed Nov. 30, 2015, effective Dec. 10, 2015, expires May 31, 2016.

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION
Division 200—Insurance Solvency and Company
Regulation
Chapter 11—Control and Management of Insurance
Companies**

EMERGENCY AMENDMENT

20 CSR 200-11.101 Insurance Holding Company System Regulation With Reporting Forms and Instructions. The director is amending the existing sections (2)–(18), adding new sections (13) and (20), renumbering as necessary, amending Forms A–E, and adding Form F.

PURPOSE: This amendment effects changes made to sections 382.010 to 382.300, RSMo, by House Bill 50 (2015), and incorporates revisions to the Insurance Holding Company System Model Regulation with Reporting Forms and Instructions adopted by the National Association of Insurance Commissioners.

EMERGENCY STATEMENT: This emergency amendment incorporates changes to the law effected by House Bill 50 and changes to the NAIC Insurance Holding Company System Model Regulation with Reporting Forms and Instructions, NAIC Model 450. Adoption of the changes to NAIC Model 450 is set to become an accreditation standard on January 1, 2016. This emergency amendment is necessary to preserve the compelling governmental interest of maintaining department accreditation under the NAIC Financial Regulation Standards and Accreditation Program. Failure to maintain such accreditation would result in other states no longer accepting statutorily-required financial examinations performed by the department, which would significantly increase regulatory compliance costs for Missouri-domiciled insurance companies. A proposed amendment, which covers the same material, is published in this issue of the Missouri Register. The scope of this emergency amendment is limited to the circumstances creating the emergency and complies with the protections extended in the Missouri and United States Constitutions. The Department of Insurance, Financial Institutions and Professional Registration believes this emergency amendment is fair to all interested persons and parties under the circumstances. This emergency amendment was filed December 1, 2015, becomes effective January 1, 2016, and expires June 29, 2016.

(2) Forms—General Requirements.

(A) Forms A, B, C, [and] D, E, and F are intended to be guides in the preparation of the statements required by sections 382.040–382.230, RSMo. They are not intended to be blank forms which are to be filled in. These statements filed shall contain the numbers and captions of all items, but the text of the items may be omitted provided the answers are prepared in such a manner as to indicate clearly the scope and coverage of the items. All instructions, whether appearing under the items of the form or elsewhere, are to be omitted. Unless expressly provided otherwise, if any item is inapplicable or the answer is in the negative, an appropriate statement to that effect shall be made.

(B) Three (3) complete copies of each Form A statement and one (1) copy of each other statement, including exhibits and all other papers and documents filed as a part of it, shall be filed with the director by personal delivery or mail addressed to: Director of Insurance of the State of Missouri, Attention: Chief Financial Examiner, P.L.O.I.] Box 690, Jefferson City, MO 65102-0690. [A copy of Form C shall be filed in each state in which an insur-

er is authorized to do business, if the director of that state has notified the insurer of its request in writing, in which case the insurer has fifteen (15) days from receipt of the notice to file that form.] At least one (1) of the copies shall be [manually] signed in the manner prescribed on the form. Unsigned copies shall be conf[i]ormed. If the signature of any person is affixed pursuant to a power of attorney or other authority, the power or other authority also shall be filed with the statement.

(C) If an applicant requests a hearing on a consolidated basis under section 382.060.4, in addition to filing the Form A with the director, the applicant shall file a copy of Form A with the National Association of Insurance Commissioners (NAIC) in electronic form.

[(C)](D) Statements should be prepared [on paper eight and one-half inches by eleven inches (8 1/2" × 11") in size and preferably bound at the top or the top left-hand corner. Exhibits and financial statements, unless specifically prepared for the filing, may be submitted in their original size. All copies of any statement, financial statements or exhibits shall be clear.] electronically. Statements and exhibits shall be easily readable and suitable for [photocopying] printing, review, and reproduction. Debits in credit categories and credits in debit categories shall be designed so as to be clearly distinguishable as such on photocopies. Statements shall be in the English language and monetary values shall be stated in United States currency. If any exhibit or other paper or document filed with the statement is in a foreign language, it shall be accompanied by a translation into the English language and any monetary value shown in a foreign currency normally shall be converted into United States currency.

(3) Forms—Incorporation by Reference, Summaries, and Omissions.

(A) Information required by any item of Forms A, B, [or] D, E, or F may be incorporated by reference in answer or partial answer to any other item. Information contained in any financial statement, annual report, proxy statement, statement filed with a governmental authority, or any other document may be incorporated by reference in answer or partial answer to any item of Forms A, B, [or] D, E, or F provided [that] the document [or paper] is filed as an exhibit to the statement. Excerpts of documents may be filed as exhibits if the documents are extensive. Documents currently on file with the director which were filed within three (3) years need not be attached as exhibits. References to information contained in exhibits or in documents already on file shall clearly identify the material and shall specifically indicate that this material is to be incorporated by reference in answer to the item. Matter shall not be incorporated by reference in any case where the incorporation would render the statement incomplete, unclear, or confusing.

(B) Where an item requires a summary or outline of the provisions of any document, only a brief statement shall be made as to the pertinent provisions of the document. In addition to this statement, the summary or outline may incorporate by reference particular parts of any exhibit or document currently on file with the director which was filed within three (3) years and may be qualified in its entirety by that reference. In any case where two (2) or more documents required to be filed as exhibits are substantially identical in all material respects except as to the parties thereto, the dates of execution or other details, a copy of only one (1) of these documents need be filed with a schedule identifying the omitted documents and setting forth the material details in which these documents differ from the filed document[s a copy of which is filed].

(4) Forms—Information Unknown or Unavailable and Extension of Time to Furnish.

[(A) Information required need to be given only insofar as it is known or reasonably available to the person filing the statement. If any required information is unknown and not reasonably available to the person filing, either because the obtaining would involve unreasonable effort or expense, or because it rests peculiarly within the knowledge of another

person not affiliated with the person filing, the information may be omitted, subject to the following conditions:

1. The person filing shall give information on the subject as s/he possesses or can acquire without unreasonable effort or expense, together with his/her sources; and

2. The person filing shall include a statement either showing that unreasonable effort or expense would be involved or indicating the absence of any affiliation with the person within whose knowledge the information rests and stating the result of a request made to that person for the information.

(B) If it is impractical to furnish any required information, document, or report at the time it is required to be filed, [it may] there shall be filed with the director [as] a separate document [by]—

[1.](A) Identifying the information, document, or report in question;

[2.](B) Stating why the filing at the time required is impractical; and

[3.](C) Requesting an extension of time for filing the information, document, or report to a specified date. The request for extension shall be deemed granted unless the director, within sixty (60) days after receipt, enters an order denying the request.

(5) Forms—Additional Information and Exhibits. In addition to the information expressly required to be included in Forms A, B, C, [and] D, E, and F, there shall be added by exhibits further material information, if any, as may be necessary to make the information contained not misleading. The person filing also may file these exhibits as [s/he may] desired in addition to those expressly required by the statement. These exhibits shall be so marked as to indicate clearly the subject matters to which they refer. Changes to Forms A, B, C, [or] D, E or F shall include on the top of the cover page the phrase: “Change No. (insert number) to” and shall indicate the date of the change and not the date of the original filing.

(6) Definitions.

(A) “The Act” means sections 382.010—382.300, RSMo.

(B) “Executive officer” means chief executive officer, chief operating officer, chief financial officer, treasurer, secretary, controller, and any other individual performing functions corresponding to those performed by the foregoing officers under whatever title.

(C) “Foreign insurer” shall include an alien insurer except where clearly noted otherwise.

(D) “Ultimate controlling person” means that person which is not controlled by any other person.

(E) Unless the context otherwise requires, other terms found in these rules and in section 382.010 of the Act are used herein as defined in section 382.010, RSMo. Other nomenclature or terminology is [according to the Insurance Code] used in accordance with Chapters 354 and 374 through 385, RSMo, or industry usage if not defined [by the Code] therein.

(7) Subsidiaries of Domestic Insurers. The authority to invest in subsidiaries under section 382.020 of the Act is in addition to any authority to invest in subsidiaries which may be contained in any other provision of [the Insurance Code] Chapters 354 and 374 through 385, RSMo.

(8) Acquisition of Control—Statement Filing. A person required to file a statement pursuant to sections 382.040, 382.050, and 382.060 of the Act shall furnish the required information on Form A[, hereby made a part of this regulation]. Such person shall also furnish the required information on Form E, [hereby made a part of this regulation and] described in section [(18)] (19) of this regulation.

(9) Amendments to Form A. The applicant shall promptly advise the director of any changes in the information [so] furnished on Form A arising subsequent to the date upon which that information was fur-

nished but prior to the director’s disposition of the application.

(10) Acquisition of Section 382.040.4 Insurers.

(A) If the person being acquired is deemed to be a domestic insurer solely because of the provisions of section 382.040.4 of the Act, the name of the domestic insurer on the cover page should be indicated as follows: ABC Insurance Company, a subsidiary of XYZ Holding Company.

(B) Where a section 382.040.4 insurer is being acquired, references to the insurer contained in Form A shall refer to both the domestic subsidiary insurer and the person being acquired.

(11) Annual Registration of Insurers—Statement Filing. An insurer required to file an annual registration statement pursuant to sections 382.100–382.[160]/180 of the Act shall furnish the required information on Form B[, which follows this rule].

(12) Summary of Registration—Statement Filing. An insurer required to file an annual registration statement pursuant to sections 382.100–382.[160]/180 of the Act is also required to furnish the information required on Form C[, which follows this rule. An insurer shall file a copy of Form C in each state in which the insurer is authorized to do business, if requested by the director of that state].

(13) Amendments to Form B.

(A) Pursuant to section 382.120 of the Act, an amendment to Form B shall be filed within fifteen (15) days after the end of any month in which there is a material change to the information provided in the annual registration statement.

(B) Amendments shall be filed in the Form B format with only those items which are being amended reported. Each amendment shall include at the top of the cover page “Amendment No. [insert number] to Form B for [insert year]” and shall indicate the date of the change and not the date of the original filing.

[(13)](14) Alternative and Consolidated Registrations.

(A) Any authorized insurer may file an annual registration statement on behalf of any affiliated insurer(s) which is required under sections 382.100–382.[160]/180 of the Act. An annual registration statement may include information not required by the Act regarding any insurer in the insurance holding company system even if the insurer is not authorized to do business in this state. In lieu of an annual registration statement on Form B, the authorized insurer may file a copy of the annual registration statement or similar report which it is required to file in its state of domicile, provided—

1. The statement or report contains substantially similar information required to be furnished on Form B; and

2. The filing insurer is the principal insurance company in the insurance holding company system.

(B) The question of whether the filing insurer is the principal insurance company in the insurance holding company system is a question of fact, and an insurer filing an annual registration statement or report in lieu of Form B on behalf of an affiliated insurer[,] shall set forth a brief statement of facts which will substantiate the filing insurer’s claim that it, in fact, is the principal insurer in the insurance holding company system.

(C) With the prior approval of the director, an unauthorized insurer may follow any of the procedures which could be done by an authorized insurer under subsection [(13)](A)] (14)(A).

(D) Any insurer may take advantage of the provisions of section 382.140 or 382.150 of the Act without obtaining the prior approval of the director. The director, however, reserves the right to require individual filings if s/he deems the filings necessary in the interest of clarity, ease of administration, or the public good.

[(14)](15) Disclaimers and Termination of Registration.

(A) A disclaimer of affiliation or a request for termination of registration claiming that a person does not, or will **not** upon the taking of some proposed action, control another person (referred to as the subject) shall contain the following information:

1. The number of authorized, issued, and outstanding voting securities of the subject;

2. With respect to the person whose control is denied and all affiliates of that person, the number and percentage of shares of the subject's voting securities which are held of record or known to be beneficially owned, and the number of the shares concerning which there is a right to acquire, directly or indirectly;

3. All material relationships and bases for affiliation between the subject and the person whose control is denied and all affiliates of that person; **and**

4. A statement explaining why the person should not be *[be]* considered to control the subject.

(B) A request for termination of registration shall be deemed to have been granted unless the director, within thirty (30) days after s/he receives the request, notifies the registrant otherwise.

[(15)](16) Transactions Subject to Prior Notice—Notice Filing.

(A) An insurer required to give notice of a proposed transaction pursuant to section 382.195 of the Act shall furnish the required information on Form *DI*, *which follows this rule*.

(B) **Agreements for cost sharing services and management services shall, at a minimum and as applicable—**

1. **Identify the person providing services and the nature of such services;**

2. **Set forth the methods to allocate costs;**

3. **Require timely settlement, not less frequently than on a quarterly basis, and compliance with the requirements in the *Accounting Practices and Procedures Manual*;**

4. **Prohibit advancement of funds by the insurer to the affiliate except to pay for services defined in the agreement;**

5. **State that the insurer will maintain oversight for functions provided to the insurer by the affiliate and that the insurer will monitor services annually for quality assurance;**

6. **Define books and records of the insurer to include all books and records developed or maintained under or related to the agreement;**

7. **Specify that all books and records of the insurer are and remain the property of the insurer and are subject to control of the insurer;**

8. **State that all funds and invested assets of the insurer are the exclusive property of the insurer, held for the benefit of the insurer, and are subject to the control of the insurer;**

9. **Include standards for termination of the agreement with and without cause;**

10. **Include provisions for indemnification of the insurer in the event of gross negligence or willful misconduct on the part of the affiliate providing the services;**

11. **Specify that, if the insurer is in receivership or seized by the director under sections 375.1150 to 375.1246—**

A. **All of the rights of the insurer under the agreement extend to the receiver or director; and**

B. **All books and records will immediately be made available to the receiver or director and shall be turned over to the receiver or director immediately upon the receiver or director's request;**

12. **Specify that the affiliate has no automatic right to terminate the agreement if the insurer is placed in receivership pursuant to sections 375.1150 to 375.1246; and**

13. **Specify that the affiliate will continue to maintain any systems, programs, or other infrastructure notwithstanding a seizure by the director under sections 375.1150 to 375.1246, and will make them available to the receiver, for so long as the affiliate continues to receive timely payment for services rendered.**

(C) Amendments required to be filed under section 382.195 shall be filed in the Form D format. Each amendment shall include at the top of the cover page "Amendment No. [insert number] to [insert name of agreement]" and shall indicate the effective date of the change.

[(16)](17) Extraordinary Dividends and Other Distributions.

(A) Requests for approval of extraordinary dividends or any other extraordinary distribution to shareholders shall include the following:

1. The amount of the proposed dividend;

2. The date established for payment of the dividend;

3. A statement as to whether the dividend is to be in cash or other property and, if in property, a description of the property, its cost, and its fair market value, together with an explanation of the basis for valuation;

4. A copy of the calculations determining that the proposed dividend is extraordinary. The work paper shall include the following information:

A. The amounts, dates, and form of payment of all dividends or distributions (including regular dividends but excluding distributions of the insurer's own securities) paid within the period of twelve (12) consecutive months ending on the date fixed for payment of the proposed dividend for which approval is sought and commencing on the day after the same day of the same month in the last preceding year;

B. Surplus as regards policyholders (total capital and surplus) as of December 31 next preceding;

C. If the insurer is a life insurer, the net gain from operations for the twelve- (12-)/-/ month period ending December 31 next preceding; *[and]*

D. If the insurer is a property and casualty insurer, the net income for the twelve- (12-) month period ending December 31 next preceding;

[D.]E. If the insurer is not a life insurer or a property and casualty insurer, the net investment income for the twelve- (12-)/-/ month period ending December 31 next preceding and the two (2) preceding twelve- (12-)/-/ month periods; **and**

F. If the insurer is not a life insurer, the dividends paid to stockholders in the two (2) preceding twelve- (12-) month periods, excluding distributions of the insurer's own securities;

5. A balance sheet and statement of income for the period intervening from the last annual statement filed with the director and the end of the month preceding the month in which the request for dividend approval is submitted; and

6. A brief statement as to the effect of the proposed dividend upon the insurer's surplus and the reasonableness of surplus in relation to the insurer's outstanding liabilities and the adequacy of surplus relative to the insurer's financial needs.

(B) Subject to section 382.210 of the Act, each registered insurer shall report to the director all dividends and other distributions to shareholders within fifteen (15) business days following the declaration, including the same information required by *[subparagraphs (16)](A)4.A.–D]* paragraph (17)(A)4.

[(17)](18) Adequacy of Surplus. The factors set forth in section 382.200 of the Act are not intended to be an exhaustive list. In determining the adequacy and reasonableness of an insurer's surplus, no single factor is necessarily controlling. The director~~,/~~ instead~~,/~~ will consider the net effect of all of these factors plus other factors bearing on the financial condition of the insurer. In comparing the surplus maintained by other insurers, the director will consider the extent to which each of these factors varies from company-to-company and in determining the quality and liquidity of investments in subsidiaries, the director will consider the individual subsidiary and may discount or disallow its valuation to the extent that the individual investments so warrant.

~~/(18)/(19)~~ Pre-Acquisition Notification. If a domestic insurer, including any person controlling a domestic insurer, is proposing a merger or acquisition pursuant to the provisions of section 382.040.1 of the Act and is required by such section to file a pre-acquisition notification, that person shall file a pre-acquisition notification form, Form E, which was developed pursuant to section 382.095.3 of the Act. Additionally, if a non-domiciliary insurer licensed to do business in this state is proposing a merger or acquisition pursuant to section 382.095 of the Act, that person shall file a pre-acquisition notification form, Form E. No pre-acquisition notification form need be filed if the acquisition is beyond the scope of section 382.095 as set forth in section 382.095.2(1)-~~/(7)/(6)~~. In addition to the information required by Form E, the director may wish to require an expert opinion as to the competitive impact of the proposed acquisition.

(20) Enterprise Risk Report. The ultimate controlling person of an insurer required to file an enterprise risk report pursuant to section 382.175 of the Act shall furnish the required information on Form F.

FORM A

Statement Regarding the Acquisition
of Control of or Merger
with a Domestic Insurer

(Name of Domestic Insurer)

by

(Name of Acquiring Person (Applicant))

Filed with the Insurance Department of

(State of domicile of insurer being acquired)

Dated: _____, _____

Name, title, **mailing** address, **e-mail** address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Insurer and Method of Acquisition.

State the name and address of the domestic insurer to which this application relates and a brief description of how control is to be acquired.

Item 2. Identity and Background of the Applicant.

(a) State the name and address of the applicant seeking to acquire control over the insurer.

(b) If the applicant is not an individual, state the nature of its business operations for the past five (5) years, or for **such** lesser period as the applicant person and any of its predecessors *[thereof]* shall have been in existence. Briefly describe the business intended to be done by the applicant and the applicant's subsidiaries.

(c) Furnish a chart or list clearly presenting the identities of **and** the interrelationships among the applicant and all affiliates of the applicant. *[No affiliate need be identified if its total assets are equal to less than one-half (1/2) of one percent (1%) of the total assets of the ultimate controlling person affiliated with the applicant.]* Indicate in the chart or listing the percentage of voting securities of each such person which is owned or controlled by the applicant or by any other such person. If control of any person is

maintained other than by the ownership or control of voting securities, indicate the basis of such control. As to each person specified in such chart or listing, indicate the type of organization (for example, corporation, trust, partnership) and the state or other jurisdiction of domicile. If court proceedings involving a reorganization or liquidation are pending with respect to any such person, *[and]* set forth the title of the court, nature of proceedings, and the date when commenced.

Item 3. Identity and Background of Individuals Associated With the Applicant.

On the biographical affidavit, include a third party background check, and /S/state, or with respect to (e) have provided to the department, the following with respect to—1) the applicant if s/he is an individual or 2) all persons who are directors, executive officers, or owners of ten percent (10%) or more of the voting securities of the applicant if the applicant is not an individual:

(a) Name and business address;

(b) Present principal business activity, occupation, or employment, including position and office held and the name, principal business, and address of any corporation or other organization in which the employment is carried on;

(c) Material occupations, positions, offices, or employment during the last five (5) years, giving the starting and ending dates of each and the name, principal business, and address of any business operation or other corporation in which each such occupation, position, office, or employment was carried on; if any such occupation, position, office, or employment required licensing by or registration with any federal, state, or municipal governmental agency, indicate such fact, the current status of the licensing or registration, and an explanation of any surrender, revocation, suspension, or disciplinary proceedings in connection with the licensing or registration;

(d) Whether or not such person has ever been convicted in a criminal proceeding (excluding minor traffic violations) during the last ten (10) years and, if so, give the date, nature of conviction, name and location of court, and penalty imposed or other disposition of the case;

(e) *A [business character report from Owens Online, Inc., or such other] character report from [such other] an independent third party [as the director approves in writing with respect to the specific Form A] listed in the Independent Third Parties for Furnishing Background Investigation Reports in All States document, or its successor, maintained by the National Association of Insurance Commissioners.*

Item 4. Nature, Source, and Amount of Consideration.

(a) Describe the nature, source, and amount of funds or other considerations used or to be used in effecting the merger or other acquisition of control. If any part of the same is represented or is to be represented by funds or other consideration borrowed or otherwise obtained for the purpose of acquiring, holding, or trading securities, furnish a description of the transaction, the names of the parties to the transaction, the relationship, if any, between the borrower and the lender, the amounts borrowed or to be borrowed, and copies of all agreements, promissory notes, and security arrangements relating thereto.

(b) Explain the criteria used in determining the nature and amount of such consideration.

(c) If the source of the consideration is a loan made in the lender's ordinary course of business and if the applicant wishes the identity of the lender to remain confidential, s/he must specifically request that the identity be kept confidential.

Item 5. Future Plans of Insurer.

Describe any plans or proposals which the applicant may have to declare an extraordinary dividend, to liquidate such insurer, to sell its assets to or merge it with any person or persons, or to make any

other material change in its business operations or corporate structure or management.

Item 6. Voting Securities to be Acquired.

State the number of shares of the insurer's voting securities which the applicant, its affiliates, and any person listed in Item 3, plan to acquire, and the terms of the offer, request, invitation, agreement, or acquisition, and a statement as to the method by which the fairness of the proposal was arrived at.

Item 7. Ownership of Voting Securities.

State the amount of each class of any voting security of the insurer which is beneficially owned or concerning which there is a right to acquire beneficial ownership by the applicant, its affiliates, or any person listed in Item 3.

Item 8. Contracts, Arrangements, or Understandings With Respect to Voting Securities of the Insurer.

Give the full description of any contracts, arrangements, or understandings with respect to any voting security of the insurer in which the applicant, its affiliates, or any person listed in Item 3[,] is involved, including, but not limited to, transfer of any of the securities, joint ventures, loan or option arrangements, puts or calls, guarantees of loans, guarantees against loss or guarantees of profits, division of losses or profits, or the giving or withholding of proxies. This description shall identify the persons with whom the contracts, arrangements or understandings have been entered into.

Item 9. Recent Purchases of Voting Securities.

Describe any purchases of any voting securities of the insurer by the applicant, its affiliates, or any person listed in Item 3[,] during the twelve (12) calendar months preceding the filing of this statement. Include in such description the dates of purchase, the names of the purchasers, and the consideration paid or agreed to be paid. State whether any shares so purchased are hypothecated.

Item 10. Recent Recommendations to Purchase.

Describe any recommendations to purchase any voting security of the insurer made by the applicant, its affiliates or any person listed in Item 3[,] or by anyone based upon interviews or at the suggestion of the applicant, its affiliates or any person listed in Item 3[,] during the twelve (12) calendar months preceding the filing of this statement.

Item 11. Agreements With Broker-Dealers.

Describe the terms of any agreement, contract, or understanding made with any broker-dealer as to solicitation of voting securities of the insurer for tender and the amount of any fees, commission, or other compensation to be paid to broker-dealers with regard thereto.

Item 12. Financial Statements and Exhibits.

(a) Attach financial statements, [and] exhibits, and three- (3-) year financial projections of the insurer(s) to this statement as an appendix, but list under this item the financial statements and exhibits so attached.

(b) The financial statements shall include the annual financial statements of the [person] persons identified in Item 2(c) for the preceding five (5) fiscal years (or for [a] such lesser period as the applicant, [and] its affiliates, and any predecessors of the applicant shall have been in existence), and similar information covering the period from the end of the person's last fiscal year, if this information is available. These statements may be prepared on either an individual basis or, unless the director otherwise requires, on a consolidated basis if consolidated statements are prepared in the usual course of business.

(c) The annual financial statements of the applicant shall be accompanied by the certificate of an independent public accountant

to the effect that the statements present fairly the financial position of the applicant and the results of its operations for the year then ended, in conformity with generally accepted accounting principles or with requirements of insurance or other accounting principles prescribed or permitted under law. If the applicant is an insurer which is actively engaged in the business of insurance, the financial statements need not be certified, provided they are based on the Annual Statement of such person filed with the insurance department of the person's domiciliary state and are in accordance with the requirements of insurance or other accounting principles prescribed or permitted under the law and regulations of such state.

(d) File as exhibits copies of all tender offers for, requests or invitations for, tenders of, exchange offers for, and agreements to acquire or exchange any voting securities of the insurer and (if distributed) of additional soliciting material relating thereto, any proposed employment, consultation, advisory, or management contracts concerning the insurer, annual reports to the stockholders of the insurer and the applicant for the last two (2) fiscal years, and any additional documents or papers required by Form A or 20 CSR 200-11.101(2) and (4).

Item 13. Agreement Requirements for Enterprise Risk Management.

Applicant agrees to provide, to the best of its knowledge and belief, the information required by Form F within fifteen (15) days after the end of the month in which the acquisition of control occurs. Applicant further agrees to annually provide Form F as described in section 382.175 of the Act for so long as control exists. Applicant further acknowledges that the applicant and all subsidiaries within its control in the insurance holding company system shall provide information to the director upon request as necessary to evaluate enterprise risk to the insurer.

Item [13.]14. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.040-382.060 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____, on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached application dated _____, _____, for and on behalf of _____;

(Name of Applicant)

that s/he is the _____
(Title of Officer)
of _____
(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM B

Insurance Holding Company System
Annual Registration Statement

Filed with the Insurance Department of the state of _____

by _____

(Name of Registrant)

On behalf of following insurance companies:
Name

Address

Date _____, _____.

Name, title, mailing address, e-mail address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Identity and Control of Registrant.

Furnish the exact name of each insurer registering or being registered (after this called the registrant), the home office address and principal executive offices of each; the date on which each registrant became part of the insurance holding company system; and the method(s) by which control of each registrant was acquired and is maintained.

Item 2. Organizational Chart.

Furnish a chart or listing clearly presenting the identities of and interrelationships among all affiliated persons with the insurance holding company system. [No affiliate need be shown if its total assets are equal to less than one-half (1/2) of one percent (1%) of the total assets of the ultimate controlling person within the insurance holding company system unless it has assets valued at or exceeding (insert amount).] The chart or listing should show the percentage of each class of voting securities of each affiliate which is owned, directly or indirectly, by another affiliate. If control of any person within the system is maintained other than by the ownership or control of voting securities, indicate the basis of the control. As to each person specified in the chart or listing indicate the type of organization (for example, corporation,

trust, partnership) and the state or other jurisdiction of domicile.

Item 3. The Ultimate Controlling Person.

As to the ultimate controlling person in the insurance holding company system, furnish the following information:

- (a) Name;
- (b) Home office address;
- (c) Principal executive office address;
- (d) The organizational structure of the person, that is, corporation, partnership, individual, trust, etc;
- (e) The principal business of the person;
- (f) The name and address of any person who holds or owns ten percent (10%) or more of any class of voting security, the class of such security, the number of shares held of record or known to be beneficially owned, and the percentage of class so held or owned; and
- (g) If court proceedings involving a reorganization or liquidation are pending, indicate the title and location of the court, the nature of proceedings, and the date when commenced.

Item 4. Biographical Information.

If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, [F/furnish the following information for the directors and executive officers of the ultimate controlling person: [the] each individual's name and address, his/her principal occupation and all offices and positions held during the past five (5) years, and any conviction of crimes other than minor traffic violations [during the past ten (10) years]. If the ultimate controlling person is an individual, furnish the individual's name and address, his or her principal occupation and all offices and positions held during the past five (5) years, and any conviction of crimes other than minor traffic citations.

Item 5. Transactions and Agreements.

Briefly describe the following agreements in force[,] and transactions currently outstanding or which have occurred during the last calendar year between the registrant and its affiliates:

- (a) Loans, other investments, or purchases, sales, or exchanges of securities of the affiliates by the registrant or of the registrant by its affiliates;
- (b) Purchases, sales, or exchanges of assets;
- (c) Transactions not in the ordinary course of business;
- (d) Guarantees or undertakings for the benefit of an affiliate which result in an actual contingent exposure of the registrant's assets to liability, other than insurance contracts entered into in the ordinary course of the registrant's business;
- (e) All management agreements, service contracts, [tax allocation arrangements,] and cost-sharing arrangements;
- (f) Reinsurance agreements;
- (g) Dividends and other distributions to shareholders;
- (h) [Consolidation] Consolidated tax allocation agreements; and
- (i) Any pledge of the registrant's stock, the stock of any subsidiary or controlling affiliate, or both, for a loan made to any member of the insurance holding company system.

No information need be disclosed if such information is not material for purposes of sections 382.100-382.1760/180 of the Act.

Sales, purchases, exchanges, loans or extension of credit, investments or guarantees involving one-half (1/2) of one percent (1%) or less of the registrant's admitted assets as of the 31st day of December next preceding shall not be deemed material. [(Note: the director by rule or order may provide otherwise.)]

The description shall be in a manner as to permit the proper evaluation by the director, and shall include at least the following: the nature and purpose of the transaction, the nature and amounts of any payments or transfers of assets between the parties, the identity of all parties to such transaction, and relationships of the affiliated parties to the registrant.

Item 6. Litigation or Administrative Proceedings.

A brief description of any litigation or administrative proceedings of the following types, either then pending or concluded within the preceding fiscal year, to which the ultimate controlling person or any of its directors or executive officers was a party, or of which the property of any such person is or was the subject; give the names of the parties and the court or agency in which the litigation or proceeding is or was pending:

- (a) Criminal prosecutions or administrative proceedings by any government agency or authority which may be relevant to the trustworthiness of any party to the prosecutions or proceedings; and
- (b) Proceedings which may have a material effect upon the solvency or capital structure of the *[ultimate]* insurance holding company system including, but not necessarily limited to, bankruptcy, receivership, or other corporate reorganizations.

Item 7. Statement Regarding Plan or Series of Transactions.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions, the purpose of which is to avoid statutory threshold amounts and the review that might otherwise occur.

Item 8. Financial Statements and Exhibits.

(a) Financial statements and exhibits should be attached to this statement as an appendix, but list under this item the financial statements and exhibits so attached.

(b) **If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, [T]the financial statements shall include the annual financial statements of the ultimate controlling person in the holding company system as of the end of the person's latest fiscal year.**

(c) If at the time of the initial registration, the annual financial statements for the latest fiscal year are not available, annual statements for the previous fiscal year may be filed and similar financial information shall be filed for any subsequent period to the extent such information is available. These financial statements may be prepared on either an individual basis, or unless the director otherwise requires, on a consolidated basis, if such consolidated statements are prepared in the usual course of business.

(d) Other than with respect to the foregoing, such financial statement shall be filed in a standard form and format adopted by the National Association of Insurance Commissioners, unless an alternative form is accepted by the director. Documentation and financial statements filed with the Securities and Exchange Commission or audited GAAP financial statements shall be deemed to be an appropriate form and format.

[(d)](e) Unless the director otherwise permits, the annual financial statements shall be accompanied by the certificate of an independent public accountant to the effect that the *[se]* statements present fairly the financial position of the ultimate controlling person and the results of its operations for the year then ended, in conformity with generally accepted accounting principles or with requirements of insurance or other accounting principles prescribed or permitted under law. If the ultimate controlling person is an insurer which is actively engaged in the business of insurance, the annual financial statements need not be certified, provided they are based on the annual statement *[of]* such insurer filed with the insurance department of the insurer's domiciliary state and are in accordance with requirements of insurance or other accounting principles prescribed or permitted under the law and regulations of such state.

(f) Any ultimate controlling person who is an individual may file personal financial statements that are reviewed rather than audited by an independent public accountant. The review shall be conducted in accordance with standards for review of personal financial statements published in the *Personal Financial Statements Guide* by the American Institute of Certified Public Accountants. Personal financial statements shall be accompanied by the independent public accountant's Standard Review Report

stating that the accountant is not aware of any material modifications that should be made to the financial statements in order for the statements to be in conformity with generally accepted accounting principles.

[(e)](g) Exhibits shall include copies of the latest annual reports to shareholders of the ultimate controlling person and proxy materials used by the ultimate controlling person; and any additional documents or papers required by Form B or 20 CSR 200-11.101(2) and (4).

Item 9. Statement Regarding Corporate Governance and Internal Controls

Furnish statements that the insurer's board of directors oversees corporate governance and internal controls and that the insurer's officers or senior management have approved, implemented, and continue to maintain and monitor corporate governance and internal control procedures.

Item *[9.]10.* Form C Required.

A Form C, Summary of **Changes** to Registration Statement, must be prepared and filed with this Form B.

Item *[10.]11.* Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.100–382.*[160/180]* of the Act, the Registrant has caused this annual registration statement to be duly signed on its behalf in the City of _____ and the State of _____ on the _____ day of _____, _____.
(SEAL)

(Name of Registrant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached annual registration statement dated _____, _____, for and on behalf of _____;
(Name of Officer)

that s/he is the _____
(Title of Officer)

of _____
(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and

the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM C

Summary of Changes to Registration

STATEMENT

Filed with the Insurance Department of the State of

by

(Name of Registrant)

On behalf of following insurance companies:

Name	Address
_____	_____
_____	_____
_____	_____

Date _____, _____

Name, title, **mailing** address, **e-mail** address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Furnish a brief description of all items in the current annual registration statement which represent changes from the prior year's annual registration statement. The description shall be in a manner as to permit proper evaluation by the director, and shall include specific references to Item numbers in the annual registration statement and to the terms contained in the statement.

Changes occurring under Item 2[.] of Form B insofar as changes in the percentage of each class of voting securities held by each affiliate is concerned, need only be included where such changes are ones which result in ownership or holdings of ten percent (10%) or more of voting securities, loss or transfer of control, or acquisition or loss of partnership interest.

Changes occurring under Item 4[.] of Form B need only be included where [—] an individual is, for the [next] first time, made a director or executive officer of the ultimate controlling person; a director or executive officer terminates his/her responsibilities with the ultimate controlling person; or in the event an individual is named president of the ultimate controlling person.

If a transaction disclosed on the prior year's annual registration statement has been changed, the nature of this change shall be included. If a transaction disclosed on the prior year's annual registration statement has been effectuated, furnish the mode of completion and any flow of funds between affiliates resulting from the transaction.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions whose purpose it is to avoid statutory threshold amounts and the review that might otherwise occur.

SIGNATURE AND CERTIFICATION

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.100–382.1160/180 of the Act, the Registrant has caused this summary of registration statement to be duly signed on its behalf in the City of _____ and the State of _____ on the _____ day of _____, _____ (SEAL)

(Name of Registrant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached summary of registration statement dated _____, _____, for and on behalf of _____ that s/he is the

(Name of Officer)

(Title of Officer)

(Name of Company)

that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name beneath)

FORM D

Prior Notice of a Transaction

Filed with the Insurance Department of the State of

by

(Name of Registrant)

On behalf of the following insurance companies:

Name	Address
_____	_____
_____	_____
_____	_____

Date: _____, _____

Name, title, **mailing** address, **e-mail** address, and telephone number of individual to whom notices and correspondence concerning this

statement should be addressed:

Item 1. Identity of Parties to Transaction.

Furnish the following information for each of the parties to the transaction:

- (a) Name;
- (b) Home office address;
- (c) Principal executive office address;
- (d) The organizational structure, that is, corporation, partnership, individual, trust, etc;
- (e) A description of the nature of the parties' business operations;
- (f) Relationship, if any, of other parties to the transaction to the insurer filing the notice, including any ownership or debtor/creditor interest by any other parties to the transaction in the insurer seeking approval, or by the insurer filing the notice in the affiliated parties; and
- (g) Where the transaction is with a nonaffiliate, the name(s) of the affiliate(s) which will receive, in whole or in substantial part, the proceeds of the transaction.

Item 2. Description of the Transaction.

Furnish the following information for *[each]* the transaction for which notice is being given:

- (a) A statement as to whether notice is being given under section 382.195.1(1), (2), (3), (4), (5), (6), or *[(5)] (7)* of the Act;
- (b) A statement of the nature of the transaction; *[and]*
- (c) **A statement of how the transaction meets the fair and reasonable standard of section 382.190(1) of the Act; and**
- [(c)](d)* The proposed effective date of the transaction.

Item 3. Sales, Purchases, Exchanges, Loans, Extensions of Credit, Guarantees, or Investments.

Furnish a brief description of the amount and source of funds, securities, property or other consideration for the sale, purchase, exchange, loan, extension of credit, guarantee, or investment, whether any provision exists for purchase by the insurer filing notice, by any party to the transaction, or by any affiliate of the insurer filing notice, a description of the terms of any securities being received, if any, and a description of any other agreements relating to the transaction such as contracts or agreements for services, consulting agreements and the like. If the transaction involves **consideration** other than cash, furnish a description of the consideration, its cost, and its fair market value, together with an explanation of the basis for *[e]*valuation.

If the transaction involves a loan, extension of credit, or a guarantee, furnish a description of the maximum amount which the insurer will be obligated to make available under such loan, extension of credit, or guarantee, the date on which the credit or guarantee will terminate, and any provisions for the accrual of or deferral of interest.

If the transaction involves *[an]* investments, guarantees, or other arrangements, state the time period during which the investments, guarantees, or other arrangements will remain in effect, together with any provisions for extensions or renewals of these investments, guarantees, or **other** arrangements. Furnish a brief statement as to the effect of the transaction upon the insurer's surplus.

No notice need be given if the maximum amount which at any time can be outstanding or for which the insurer can be legally obligated under the loan, extension of credit, or guarantee is less than—

- (a) In the case of nonlife insurers, the lesser of three percent (3%) of the insurer's admitted assets or twenty-five percent (25%) of surplus as regards policyholders; or
- (b) In the case of life insurers, three percent (3%) of the insurer's admitted assets, each as of the 31st day of December next preceding.

Item 4. Loans or Extensions of Credit to a Nonaffiliate.

If the transaction involves a loan or extension of credit to any person who is not an affiliate, furnish a brief description of the agree-

ment or understanding where the proceeds of the proposed transaction, in whole or in substantial part, are to be used to make loans or extensions of credit to, to purchase the assets of, or to make investments in, any affiliate of the insurer making these loans or extensions of credit, and specify in what manner the proceeds are to be used to loan to, extend credit to, purchase assets of, or make investments in any affiliate. Describe the amount and source of funds, securities, property, or other consideration for the loan or extension of credit and, if the transaction is one involving consideration other than cash, a description of its cost and its fair market value, together with an explanation of the basis for *[e]*valuation. Furnish a brief statement as to the effect of the transaction upon the insurer's surplus.

No notice need be given if the loan or extension of credit is one which equals less than, in the case of *[nonlife]* **non-life** insurer^{[']s}, the lesser of three percent (3%) of the insurer's admitted assets or twenty-five percent (25%) of surplus as regards policyholders or, with respect to life insurers, three percent (3%) of the insurer's admitted assets, each as of the 31st day of December next preceding.

Item 5. Reinsurance.

If the transaction is a reinsurance agreement or modification *[to it]* **thereto**, as described by section 382.195.1(3)(b) of the Act, **or a reinsurance pooling agreement or modification thereto as described by section 382.195.1(3)(a) of the Act**, furnish a description of the known^[,] **and/or** estimated amount of liability *[or else]* to be ceded^[,] **and/or** *[both]* assumed in each calendar year, the period of time during which the agreement will be in effect, and a statement whether an agreement or understanding exists between the insurer and nonaffiliate to the effect that any portion of the assets constituting the consideration for the agreement will be transferred to one (1) or more of the insurer's affiliates. Furnish a brief description of the consideration involved in the transaction^[,] and brief statement as to the effect of the transaction^[,] upon the insurer's surplus.

No notice need be given for reinsurance agreements or modifications *[to them]* **thereto** if the reinsurance premium or a change in the insurer's liabilities, **or the projected reinsurance premium or change in the insurer's liabilities in any of the next three years**, in connection with the reinsurance agreement or modification *[to it]* **thereto** is less than five percent (5%) of the insurer's surplus as regards policyholders, as of the 31st day of December next preceding. **Notice shall be given for all reinsurance pooling agreements including modifications thereto.**

Item 6. Management Agreements, Service Agreements, Tax Allocation *[Arrangements]* Agreements, and Cost-Sharing Arrangements.

For management and service agreements, furnish—

- (a) A brief description of the managerial responsibilities^[,] or services to be performed; and
- (b) A brief description of the agreement, including a statement of its duration, together with brief descriptions of the basis for compensation and the terms under which payment or compensation is to be made;

For tax allocation *[arrangements]* **agreements** and cost-sharing arrangements, furnish—

- (a) A brief description of the purpose of the agreement **or arrangement**;
- (b) A description of the period of time during which the agreement **or arrangement** is to be in effect;
- (c) A brief description of each party's expenses or costs covered by the agreement **or arrangement**; and
- (d) A brief description of the accounting basis to be used in calculating each party's costs under the agreement^[,] **or arrangement**;
- (e) **A brief statement as to the effect of the transaction upon the insurer's policyholder surplus;**
- (f) **A statement regarding the cost allocation methods that specifies whether proposed charges are based on cost or market. If market based, furnish rationale for using market instead of cost, including justification for the company's determination that amounts are fair and reasonable; and**

(g) A statement regarding compliance with the NAIC Accounting Practices and Procedures Manual regarding expense allocation.

Item 7. Signature and Certification.
Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of section 382.195 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____ on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)
by

(Name) _____ (Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached notice dated _____, _____, for and on behalf of _____

(Name of Officer)

that s/he is the _____ of _____

(Title of Officer)

(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM E

**Pre-Acquisition Notification Form
Regarding [T]he Potential Competitive Impact
of a Proposed Merger or Acquisition by a
Non-Domiciliary Insurer Doing Business in this
State or by a Domestic Insurer**

(Name of Applicant)

(Name of Other Person Involved in Merger or Acquisition)

Filed with the Insurance Department of the State of _____ [by _____ (Name of Registrant)]

Name, title, mailing address, e-mail address, and telephone number of person completing this statement:

Item 1. Name and Address.

State the names and addresses of the persons who hereby provide notice of their involvement in a pending acquisition or change in corporate control.

Item 2. Name and Addresses of Affiliated Companies.

State the names and addresses of the persons affiliated with those listed in Item 1. Describe their affiliations.

Item 3. Nature and Purpose of The Proposed Merger or Acquisition.

State the nature and purpose of the proposed merger or acquisition.

Item 4. Nature of Business.

State the nature of the business performed by each of the persons identified in response to Item 1 and Item 2.

Item 5. Market and Market Share.

State specifically what market and market share in each relevant insurance market the persons identified in Item 1 and Item 2 currently enjoy in this state. Provide historical market and market share data for each person identified in Item 1 and Item 2 for the past five (5) years and identify the source of such data. **Provide a determination as to whether the proposed acquisition or merger, if consummated, would violate the competitive standards of the state as stated in section 382.095.4 of the Act. If the proposed acquisition or merger would violate competitive standards, provide justification of why the acquisition or merger would not substantially lessen competition or create a monopoly in the state.**

For purposes of this question, market means direct written insurance premium in this state for a line of business as contained in the annual statement required to be filed by insurers licensed to do business in this state.

Item 6. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.040.3 and 382.095 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____ on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)
by

(Name) _____ (Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached notice dated _____, _____, for and on behalf of _____ that

(Name of Officer)

s/he is the _____ of _____

(Title of Officer)

(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument

and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM F

Enterprise Risk Report

Filed with the Insurance Department of the State of _____ by _____
(Name of Registrant/Applicant)

On behalf of/related to the following insurance companies:
Name _____ Address _____

Date _____, _____

Name, title, mailing address, e-mail address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Enterprise Risk.

(1) The registrant/applicant, to the best of its knowledge and belief, shall provide information regarding the following areas that could produce enterprise risk as defined in section 382.010(4) of the Act, provided such information is not disclosed in the Insurance Holding Company System Annual Registration Statement filed on behalf of itself or another insurer for which it is the ultimate controlling person:

(A) Any material developments regarding strategy, internal audit findings, compliance, or risk management affecting the insurance holding company system;

(B) Acquisition or disposal of insurance entities and reallocation of existing financial or insurance entities within the insurance holding company system;

(C) Any changes of shareholders of the insurance holding company system exceeding ten percent (10%) or more of voting securities;

(D) Developments in various investigations, regulatory activities, or litigation that may have a significant bearing or impact on the insurance holding company system;

(E) Business plan of the insurance holding company system and summarized strategies for the next twelve (12) months;

(F) Identification of material concerns of the insurance holding company system raised by the supervisory college, if any, in last year;

(G) Identification of insurance holding company system capital resources and material distribution patterns;

(H) Identification of any negative movement, or discussions with rating agencies which may have caused, or may cause, potential negative movement in the credit ratings and individual insurer financial strength ratings assessment of the insurance holding company system, including both the rating score and outlook;

(I) Information on corporate or parental guarantees throughout the holding company and expected sources of liquidity should such guarantees be called upon; and

(J) Identification of any material activity or development of the insurance holding company system that, in the opinion of

senior management, could adversely affect the insurance holding company system.

(2) The registrant/applicant may attach the appropriate form most recently filed with the U.S. Securities and Exchange Commission, provided the registrant/applicant includes specific references to those areas listed in Item 1 for which the form provides responsive information. If the registrant/applicant is not domiciled in the U.S., it may attach its most recent public audited financial statement filed in its country of domicile, provided the registrant/applicant includes specific references to those areas listed in Item 1 for which the financial statement provides responsive information.

Item 2. Obligation to Report. If the registrant/applicant has not disclosed any information pursuant to Item 1, the registrant/applicant shall include a statement affirming that, to the best of its knowledge and belief, it has not identified enterprise risk subject to disclosure pursuant to Item 1.

Item 3. Signature and Certification.
Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of section 382.175 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____ on the _____ day of _____, _____.
(SEAL)

(Name of Applicant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

AUTHORITY: section[s] 374.045, RSMo Supp. 2013, and section 382.240, RSMo 2000. Original rule filed April 29, 1992, effective Dec. 3, 1992. Amended: Filed Nov. 23, 1998, effective July 30, 1999. Amended: Filed June 14, 2001, effective Dec. 30, 2001. Amended: Filed April 17, 2006, effective Oct. 30, 2006. Emergency amendment filed Dec. 1, 2015, effective Jan. 1, 2016, expires June 29, 2016. A proposed amendment covering this same material is published in this issue of the Missouri Register.

Under this heading will appear the text of proposed rules and changes. The notice of proposed rulemaking is required to contain an explanation of any new rule or any change in an existing rule and the reasons therefor. This is set out in the Purpose section with each rule. Also required is a citation to the legal authority to make rules. This appears following the text of the rule, after the word "Authority."

Entirely new rules are printed without any special symbolology under the heading of proposed rule. If an existing rule is to be amended or rescinded, it will have a heading of proposed amendment or proposed rescission. Rules which are proposed to be amended will have new matter printed in boldface type and matter to be deleted placed in brackets.

An important function of the *Missouri Register* is to solicit and encourage public participation in the rulemaking process. The law provides that for every proposed rule, amendment, or rescission there must be a notice that anyone may comment on the proposed action. This comment may take different forms.

If an agency is required by statute to hold a public hearing before making any new rules, then a Notice of Public Hearing will appear following the text of the rule. Hearing dates must be at least thirty (30) days after publication of the notice in the *Missouri Register*. If no hearing is planned or required, the agency must give a Notice to Submit Comments. This allows anyone to file statements in support of or in opposition to the proposed action with the agency within a specified time, no less than thirty (30) days after publication of the notice in the *Missouri Register*.

An agency may hold a public hearing on a rule even though not required by law to hold one. If an agency allows comments to be received following the hearing date, the close of comments date will be used as the beginning day in the ninety- (90-) day-count necessary for the filing of the order of rulemaking.

If an agency decides to hold a public hearing after planning not to, it must withdraw the earlier notice and file a new notice of proposed rulemaking and schedule a hearing for a date not less than thirty (30) days from the date of publication of the new notice.

Proposed Amendment Text Reminder:

Boldface text indicates new matter.

[Bracketed text indicates matter being deleted.]

**Title 15—ELECTED OFFICIALS
Division 60—Attorney General
Chapter 8—Unfair Practices**

PROPOSED RULE

15 CSR 60-8.100 Threatening to File or Filing Suit on Certain Consumer Debt

PURPOSE: The attorney general administers and enforces the provisions of the Merchandising Practices Act, Chapter 407, RSMo. The attorney general may make rules necessary to the administration and enforcement of the provisions of Chapter 407, RSMo and, in order to provide notice to the public, may specify meanings of terms used in the Act.

This rule specifies the settled meanings of certain terms used in the enforcement of the Act and provides notice to the public of their application. Practices specified are not intended to be an all inclusive list

of practices which are unfair, but this rule enumerates specific practices which are unfair and are violative of section 407.020, RSMo.

(1) It is an unfair practice for any person to threaten to file a civil action, or to file a civil action, for a debt that is primarily for personal, family, or household purposes, if such debt has been—

(A) In default for a period of time such that the statute of limitation to file a civil action for collection of the debt has expired;

(B) Discharged by a bankruptcy court;

(C) Declared void by a court of competent jurisdiction; or

(D) Deemed fully satisfied pursuant to an agreement with the consumer and the creditor or its assigns.

AUTHORITY: section 407.020, RSMo Supp. 2013, and section 407.145, RSMo 2000. Original rule filed Nov. 30, 2015.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may submit a written statement in support of or in opposition to this proposed rule. Written statements shall be sent to the attention of General Counsel, Office of the Attorney General, PO Box 899, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION
Division 200—Insurance Solvency and Company
Regulation
Chapter 11—Control and Management of Insurance
Companies**

PROPOSED AMENDMENT

20 CSR 200-11.101 Insurance Holding Company System Regulation With Reporting Forms and Instructions. The director is amending the existing sections (2)–(18), adding new sections (13) and (20), renumbering as necessary, amending Forms A–E, and adding Form F.

PURPOSE: This amendment effects changes made to sections 382.010 to 382.300, RSMo, by House Bill 50 (2015), and incorporates revisions to the Insurance Holding Company System Model Regulation with Reporting Forms and Instructions adopted by the National Association of Insurance Commissioners.

(2) Forms—General Requirements.

(A) Forms A, B, C, *[and]* D, E, and F are intended to be guides in the preparation of the statements required by sections 382.040–382.230, RSMo. They are not intended to be blank forms which are to be filled in. These statements filed shall contain the numbers and captions of all items, but the text of the items may be omitted provided the answers are prepared in such a manner as to indicate clearly the scope and coverage of the items. All instructions, whether appearing under the items of the form or elsewhere, are to be omitted. Unless expressly provided otherwise, if any item is inapplicable or the answer is in the negative, an appropriate statement to that effect shall be made.

(B) Three (3) complete copies of each Form A statement and one

(1) copy of each other statement, including exhibits and all other papers and documents filed as a part of it, shall be filed with the director by personal delivery or mail addressed to: Director of Insurance of the State of Missouri, Attention: Chief Financial Examiner, P./O./ Box 690, Jefferson City, MO 65102-0690. [A copy of Form C shall be filed in each state in which an insurer is authorized to do business, if the director of that state has notified the insurer of its request in writing, in which case the insurer has fifteen (15) days from receipt of the notice to file that form.] At least one (1) of the copies shall be [manually] signed in the manner prescribed on the form. Unsigned copies shall be conf/[i]ormed. If the signature of any person is affixed pursuant to a power of attorney or other authority, the power or other authority also shall be filed with the statement.

(C) If an applicant requests a hearing on a consolidated basis under section 382.060.4, in addition to filing the Form A with the director, the applicant shall file a copy of Form A with the National Association of Insurance Commissioners (NAIC) in electronic form.

[(C)](D) Statements should be prepared [on paper eight and one-half inches by eleven inches (8 1/2" × 11") in size and preferably bound at the top or the top left-hand corner. Exhibits and financial statements, unless specifically prepared for the filing, may be submitted in their original size. All copies of any statement, financial statements or exhibits shall be clear,] electronically. Statements and exhibits shall be easily readable and suitable for [photocopying] printing, review, and reproduction. Debits in credit categories and credits in debit categories shall be designed so as to be clearly distinguishable as such on photocopies. Statements shall be in the English language and monetary values shall be stated in United States currency. If any exhibit or other paper or document filed with the statement is in a foreign language, it shall be accompanied by a translation into the English language and any monetary value shown in a foreign currency normally shall be converted into United States currency.

(3) Forms—Incorporation by Reference, Summaries, and Omissions.

(A) Information required by any item of Forms A, B, [or] D, E, or F may be incorporated by reference in answer or partial answer to any other item. Information contained in any financial statement, annual report, proxy statement, statement filed with a governmental authority, or any other document may be incorporated by reference in answer or partial answer to any item of Forms A, B, [or] D, E, or F provided [that] the document [or paper] is filed as an exhibit to the statement. Excerpts of documents may be filed as exhibits if the documents are extensive. Documents currently on file with the director which were filed within three (3) years need not be attached as exhibits. References to information contained in exhibits or in documents already on file shall clearly identify the material and shall specifically indicate that this material is to be incorporated by reference in answer to the item. Matter shall not be incorporated by reference in any case where the incorporation would render the statement incomplete, unclear, or confusing.

(B) Where an item requires a summary or outline of the provisions of any document, only a brief statement shall be made as to the pertinent provisions of the document. In addition to this statement, the summary or outline may incorporate by reference particular parts of any exhibit or document currently on file with the director which was filed within three (3) years and may be qualified in its entirety by that reference. In any case where two (2) or more documents required to be filed as exhibits are substantially identical in all material respects except as to the parties thereto, the dates of execution or other details, a copy of only one (1) of these documents need be filed with a schedule identifying the omitted documents and setting forth the material details in which these documents differ from the filed document[s a copy of which is filed].

(4) Forms—Information Unknown or Unavailable and Extension of

Time to Furnish.

[(A) Information required need to be given only insofar as it is known or reasonably available to the person filing the statement. If any required information is unknown and not reasonably available to the person filing, either because the obtaining would involve unreasonable effort or expense, or because it rests peculiarly within the knowledge of another person not affiliated with the person filing, the information may be omitted, subject to the following conditions:

1. The person filing shall give information on the subject as s/he possesses or can acquire without unreasonable effort or expense, together with his/her sources; and

2. The person filing shall include a statement either showing that unreasonable effort or expense would be involved or indicating the absence of any affiliation with the person within whose knowledge the information rests and stating the result of a request made to that person for the information.

[(B)] If it is impractical to furnish any required information, document, or report at the time it is required to be filed, [it may] there shall be filed with the director [as] a separate document [by]—

[1.](A) Identifying the information, document, or report in question;

[2.](B) Stating why the filing at the time required is impractical; and

[3.](C) Requesting an extension of time for filing the information, document, or report to a specified date. The request for extension shall be deemed granted unless the director, within sixty (60) days after receipt, enters an order denying the request.

(5) Forms—Additional Information and Exhibits. In addition to the information expressly required to be included in Forms A, B, C, [and] D, E, and F, there shall be added by exhibits further material information, if any, as may be necessary to make the information contained not misleading. The person filing also may file these exhibits as [s/he may] desired in addition to those expressly required by the statement. These exhibits shall be so marked as to indicate clearly the subject matters to which they refer. Changes to Forms A, B, C, [or] D, E or F shall include on the top of the cover page the phrase: "Change No. (insert number) to" and shall indicate the date of the change and not the date of the original filing.

(6) Definitions.

(A) "The Act" means sections 382.010—382.300, RSMo.

(B) "Executive officer" means chief executive officer, chief operating officer, chief financial officer, treasurer, secretary, controller, and any other individual performing functions corresponding to those performed by the foregoing officers under whatever title.

(C) "Foreign insurer" shall include an alien insurer except where clearly noted otherwise.

(D) "Ultimate controlling person" means that person which is not controlled by any other person.

(E) Unless the context otherwise requires, other terms found in these rules and in section 382.010 of the Act are used herein as defined in section 382.010, RSMo. Other nomenclature or terminology is [according to the Insurance Code] used in accordance with Chapters 354 and 374 through 385, RSMo, or industry usage if not defined [by the Code] therein.

(7) Subsidiaries of Domestic Insurers. The authority to invest in subsidiaries under section 382.020 of the Act is in addition to any authority to invest in subsidiaries which may be contained in any other provision of [the Insurance Code] Chapters 354 and 374 through 385, RSMo.

(8) Acquisition of Control—Statement Filing. A person required to file a statement pursuant to sections 382.040, 382.050, and 382.060 of the Act shall furnish the required information on Form A, hereby made

a part of this regulation]. Such person shall also furnish the required information on Form E, [hereby made a part of this regulation and] described in section [(18)] (19) of this regulation.

(9) Amendments to Form A. The applicant shall promptly advise the director of any changes in the information [so] furnished on Form A arising subsequent to the date upon which that information was furnished but prior to the director's disposition of the application.

(10) Acquisition of Section 382.040.4 Insurers.

(A) If the person being acquired is deemed to be a domestic insurer solely because of the provisions of section 382.040.4 of the Act, the name of the domestic insurer on the cover page should be indicated as follows: ABC Insurance Company, a subsidiary of XYZ Holding Company.

(B) Where a section 382.040.4 insurer is being acquired, references to the insurer contained in Form A shall refer to both the domestic subsidiary insurer and the person being acquired.

(11) Annual Registration of Insurers—Statement Filing. An insurer required to file an annual registration statement pursuant to sections 382.100–382.160/180 of the Act shall furnish the required information on Form B/, which follows this rule].

(12) Summary of Registration—Statement Filing. An insurer required to file an annual registration statement pursuant to sections 382.100–382.160/180 of the Act is also required to furnish the information required on Form C/, which follows this rule. An insurer shall file a copy of Form C in each state in which the insurer is authorized to do business, if requested by the director of that state].

(13) Amendments to Form B.

(A) Pursuant to section 382.120 of the Act, an amendment to Form B shall be filed within fifteen (15) days after the end of any month in which there is a material change to the information provided in the annual registration statement.

(B) Amendments shall be filed in the Form B format with only those items which are being amended reported. Each amendment shall include at the top of the cover page "Amendment No. [insert number] to Form B for [insert year]" and shall indicate the date of the change and not the date of the original filing.

[(13)](14) Alternative and Consolidated Registrations.

(A) Any authorized insurer may file an annual registration statement on behalf of any affiliated insurer(s) which is required under sections 382.100–382.160/180 of the Act. An annual registration statement may include information not required by the Act regarding any insurer in the insurance holding company system even if the insurer is not authorized to do business in this state. In lieu of an annual registration statement on Form B, the authorized insurer may file a copy of the annual registration statement or similar report which it is required to file in its state of domicile, provided—

1. The statement or report contains substantially similar information required to be furnished on Form B; and

2. The filing insurer is the principal insurance company in the insurance holding company system.

(B) The question of whether the filing insurer is the principal insurance company in the insurance holding company system is a question of fact, and an insurer filing an annual registration statement or report in lieu of Form B on behalf of an affiliated insurer[,] shall set forth a brief statement of facts which will substantiate the filing insurer's claim that it, in fact, is the principal insurer in the insurance holding company system.

(C) With the prior approval of the director, an unauthorized insurer may follow any of the procedures which could be done by an authorized insurer under subsection [(13)](A)] (14)(A).

(D) Any insurer may take advantage of the provisions of section

382.140 or 382.150 of the Act without obtaining the prior approval of the director. The director, however, reserves the right to require individual filings if s/he deems the filings necessary in the interest of clarity, ease of administration, or the public good.

[(14)](15) Disclaimers and Termination of Registration.

(A) A disclaimer of affiliation or a request for termination of registration claiming that a person does not, or will not upon the taking of some proposed action, control another person (referred to as the subject) shall contain the following information:

1. The number of authorized, issued, and outstanding voting securities of the subject;

2. With respect to the person whose control is denied and all affiliates of that person, the number and percentage of shares of the subject's voting securities which are held of record or known to be beneficially owned, and the number of the shares concerning which there is a right to acquire, directly or indirectly;

3. All material relationships and bases for affiliation between the subject and the person whose control is denied and all affiliates of that person; and

4. A statement explaining why the person should not be [be] considered to control the subject.

(B) A request for termination of registration shall be deemed to have been granted unless the director, within thirty (30) days after s/he receives the request, notifies the registrant otherwise.

[(15)](16) Transactions Subject to Prior Notice—Notice Filing.

(A) An insurer required to give notice of a proposed transaction pursuant to section 382.195 of the Act shall furnish the required information on Form D/, which follows this rule].

(B) Agreements for cost sharing services and management services shall, at a minimum and as applicable—

1. Identify the person providing services and the nature of such services;

2. Set forth the methods to allocate costs;

3. Require timely settlement, not less frequently than on a quarterly basis, and compliance with the requirements in the *Accounting Practices and Procedures Manual*;

4. Prohibit advancement of funds by the insurer to the affiliate except to pay for services defined in the agreement;

5. State that the insurer will maintain oversight for functions provided to the insurer by the affiliate and that the insurer will monitor services annually for quality assurance;

6. Define books and records of the insurer to include all books and records developed or maintained under or related to the agreement;

7. Specify that all books and records of the insurer are and remain the property of the insurer and are subject to control of the insurer;

8. State that all funds and invested assets of the insurer are the exclusive property of the insurer, held for the benefit of the insurer, and are subject to the control of the insurer;

9. Include standards for termination of the agreement with and without cause;

10. Include provisions for indemnification of the insurer in the event of gross negligence or willful misconduct on the part of the affiliate providing the services;

11. Specify that, if the insurer is in receivership or seized by the director under sections 375.1150 to 375.1246—

A. All of the rights of the insurer under the agreement extend to the receiver or director; and

B. All books and records will immediately be made available to the receiver or director and shall be turned over to the receiver or director immediately upon the receiver or director's request;

12. Specify that the affiliate has no automatic right to terminate the agreement if the insurer is placed in receivership pursuant to sections 375.1150 to 375.1246; and

13. Specify that the affiliate will continue to maintain any systems, programs, or other infrastructure notwithstanding a seizure by the director under sections 375.1150 to 375.1246, and will make them available to the receiver, for so long as the affiliate continues to receive timely payment for services rendered.

(C) Amendments required to be filed under section 382.195 shall be filed in the Form D format. Each amendment shall include at the top of the cover page "Amendment No. [insert number] to [insert name of agreement]" and shall indicate the effective date of the change.

[(16)](17) Extraordinary Dividends and Other Distributions.

(A) Requests for approval of extraordinary dividends or any other extraordinary distribution to shareholders shall include the following:

1. The amount of the proposed dividend;
2. The date established for payment of the dividend;
3. A statement as to whether the dividend is to be in cash or other property and, if in property, a description of the property, its cost, and its fair market value, together with an explanation of the basis for valuation;
4. A copy of the calculations determining that the proposed dividend is extraordinary. The work paper shall include the following information:

A. The amounts, dates, and form of payment of all dividends or distributions (including regular dividends but excluding distributions of the insurer's own securities) paid within the period of twelve (12) consecutive months ending on the date fixed for payment of the proposed dividend for which approval is sought and commencing on the day after the same day of the same month in the last preceding year;

B. Surplus as regards policyholders (total capital and surplus) as of December 31 next preceding;

C. If the insurer is a life insurer, the net gain from operations for the twelve- (12-)/- month period ending December 31 next preceding; *[and]*

D. If the insurer is a property and casualty insurer, the net income for the twelve- (12-) month period ending December 31 next preceding;

[D./E.] If the insurer is not a life insurer or a property and casualty insurer, the net investment income for the twelve- (12-)/- month period ending December 31 next preceding and the two (2) preceding twelve- (12-)/- month periods; **and**

F. If the insurer is not a life insurer, the dividends paid to stockholders in the two (2) preceding twelve- (12-) month periods, excluding distributions of the insurer's own securities;

5. A balance sheet and statement of income for the period intervening from the last annual statement filed with the director and the end of the month preceding the month in which the request for dividend approval is submitted; and

6. A brief statement as to the effect of the proposed dividend upon the insurer's surplus and the reasonableness of surplus in relation to the insurer's outstanding liabilities and the adequacy of surplus relative to the insurer's financial needs.

(B) Subject to section 382.210 of the Act, each registered insurer shall report to the director all dividends and other distributions to shareholders within fifteen (15) business days following the declaration, including the same information required by *[subparagraphs (16)(A)4.A.-D]* paragraph (17)(A)4.

[(17)](18) Adequacy of Surplus. The factors set forth in section 382.200 of the Act are not intended to be an exhaustive list. In determining the adequacy and reasonableness of an insurer's surplus, no single factor is necessarily controlling. The director, *[/]* instead, *[/]* will consider the net effect of all of these factors plus other factors bearing on the financial condition of the insurer. In comparing the surplus maintained by other insurers, the director will consider the extent to which each of these factors varies from company-to-company and in determining the quality and liquidity of investments in subsidiaries,

the director will consider the individual subsidiary and may discount or disallow its valuation to the extent that the individual investments so warrant.

[(18)](19) Pre-Acquisition Notification. If a domestic insurer, including any person controlling a domestic insurer, is proposing a merger or acquisition pursuant to the provisions of section 382.040.1 of the Act and is required by such section to file a pre-acquisition notification, that person shall file a pre-acquisition notification form, Form E, which was developed pursuant to section 382.095.3 of the Act. Additionally, if a non-domiciliary insurer licensed to do business in this state is proposing a merger or acquisition pursuant to section 382.095 of the Act, that person shall file a pre-acquisition notification form, Form E. No pre-acquisition notification form need be filed if the acquisition is beyond the scope of section 382.095 as set forth in section 382.095.2(1)-[(7)](6). In addition to the information required by Form E, the director may wish to require an expert opinion as to the competitive impact of the proposed acquisition.

(20) Enterprise Risk Report. The ultimate controlling person of an insurer required to file an enterprise risk report pursuant to section 382.175 of the Act shall furnish the required information on Form F.

FORM A

Statement Regarding the Acquisition of Control of or Merger with a Domestic Insurer

(Name of Domestic Insurer)

by

(Name of Acquiring Person (Applicant))

Filed with the Insurance Department of

(State of domicile of insurer being acquired)

Dated: _____, _____

Name, title, mailing address, e-mail address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Insurer and Method of Acquisition.

State the name and address of the domestic insurer to which this application relates and a brief description of how control is to be acquired.

Item 2. Identity and Background of the Applicant.

(a) State the name and address of the applicant seeking to acquire control over the insurer.

(b) If the applicant is not an individual, state the nature of its business operations for the past five (5) years, or for such lesser period as the applicant person and any of its predecessors *[thereof]* shall have been in existence. Briefly describe the business intended to be done by the applicant and the applicant's subsidiaries.

(c) Furnish a chart or list clearly presenting the identities of and the interrelationships among the applicant and all affiliates of the applicant. *[No affiliate need be identified if its total assets are equal to less than one-half (1/2) of one percent (1%) of the total assets of the ultimate controlling person affiliated with*

the applicant.) Indicate in the chart or listing the percentage of voting securities of each such person which is owned or controlled by the applicant or by any other such person. If control of any person is maintained other than by the ownership or control of voting securities, indicate the basis of such control. As to each person specified in such chart or listing, indicate the type of organization (for example, corporation, trust, partnership) and the state or other jurisdiction of domicile. If court proceedings involving a reorganization or liquidation are pending with respect to any such person, *[and]* set forth the title of the court, nature of proceedings, and the date when commenced.

Item 3. Identity and Background of Individuals Associated With the Applicant.

On the biographical affidavit, include a third party background check, and */S*/state, or with respect to (e) have provided to the department, the following with respect to—1) the applicant if s/he is an individual or 2) all persons who are directors, executive officers, or owners of ten percent (10%) or more of the voting securities of the applicant if the applicant is not an individual:

(a) Name and business address;

(b) Present principal business activity, occupation, or employment, including position and office held and the name, principal business, and address of any corporation or other organization in which the employment is carried on;

(c) Material occupations, positions, offices, or employment during the last five (5) years, giving the starting and ending dates of each and the name, principal business, and address of any business operation or other corporation in which each such occupation, position, office, or employment was carried on; if any such occupation, position, office, or employment required licensing by or registration with any federal, state, or municipal governmental agency, indicate such fact, the current status of the licensing or registration, and an explanation of any surrender, revocation, suspension, or disciplinary proceedings in connection with the licensing or registration;

(d) Whether or not such person has ever been convicted in a criminal proceeding (excluding minor traffic violations) during the last ten (10) years and, if so, give the date, nature of conviction, name and location of court, and penalty imposed or other disposition of the case;

(e) A *[business character report from Owens Online, Inc., or such other]* character report from *[such other]* an independent third party *[as the director approves in writing with respect to the specific Form A]* listed in the **Independent Third Parties for Furnishing Background Investigation Reports in All States document, or its successor, maintained by the National Association of Insurance Commissioners.**

Item 4. Nature, Source, and Amount of Consideration.

(a) Describe the nature, source, and amount of funds or other considerations used or to be used in effecting the merger or other acquisition of control. If any part of the same is represented or is to be represented by funds or other consideration borrowed or otherwise obtained for the purpose of acquiring, holding, or trading securities, furnish a description of the transaction, the names of the parties to the transaction, the relationship, if any, between the borrower and the lender, the amounts borrowed or to be borrowed, and copies of all agreements, promissory notes, and security arrangements relating thereto.

(b) Explain the criteria used in determining the nature and amount of such consideration.

(c) If the source of the consideration is a loan made in the lender's ordinary course of business and if the applicant wishes the identity of the lender to remain confidential, s/he must specifically request that the identity be kept confidential.

Item 5. Future Plans of Insurer.

Describe any plans or proposals which the applicant may have to

declare an extraordinary dividend, to liquidate such insurer, to sell its assets to or merge it with any person or persons, or to make any other material change in its business operations or corporate structure or management.

Item 6. Voting Securities to be Acquired.

State the number of shares of the insurer's voting securities which the applicant, its affiliates, and any person listed in Item 3, plan to acquire, and the terms of the offer, request, invitation, agreement, or acquisition, and a statement as to the method by which the fairness of the proposal was arrived at.

Item 7. Ownership of Voting Securities.

State the amount of each class of any voting security of the insurer which is beneficially owned or concerning which there is a right to acquire beneficial ownership by the applicant, its affiliates, or any person listed in Item 3.

Item 8. Contracts, Arrangements, or Understandings With Respect to Voting Securities of the Insurer.

Give the full description of any contracts, arrangements, or understandings with respect to any voting security of the insurer in which the applicant, its affiliates, or any person listed in Item 3*./* is involved, including, but not limited to, transfer of any of the securities, joint ventures, loan or option arrangements, puts or calls, guarantees of loans, guarantees against loss or guarantees of profits, division of losses or profits, or the giving or withholding of proxies. This description shall identify the persons with whom the contracts, arrangements or understandings have been entered into.

Item 9. Recent Purchases of Voting Securities.

Describe any purchases of any voting securities of the insurer by the applicant, its affiliates, or any person listed in Item 3*./* during the twelve (12) calendar months preceding the filing of this statement. Include in such description the dates of purchase, the names of the purchasers, and the consideration paid or agreed to be paid. State whether any shares so purchased are hypothecated.

Item 10. Recent Recommendations to Purchase.

Describe any recommendations to purchase any voting security of the insurer made by the applicant, its affiliates or any person listed in Item 3*./*, or by anyone based upon interviews or at the suggestion of the applicant, its affiliates or any person listed in Item 3*./* during the twelve (12) calendar months preceding the filing of this statement.

Item 11. Agreements With Broker-Dealers.

Describe the terms of any agreement, contract, or understanding made with any broker-dealer as to solicitation of voting securities of the insurer for tender and the amount of any fees, commission, or other compensation to be paid to broker-dealers with regard thereto.

Item 12. Financial Statements and Exhibits.

(a) Attach financial statements, *[and]* exhibits, and **three- (3-) year financial projections of the insurer(s)** to this statement as an appendix, but list under this item the financial statements and exhibits so attached.

(b) The financial statements shall include the annual financial statements of the *[person]* persons identified in Item 2(c) for the preceding five (5) fiscal years (or for *[a]* such lesser period as the applicant, *[and]* its affiliates, and any predecessors of the applicant shall have been in existence), and similar information covering the period from the end of the person's last fiscal year, if this information is available. These statements may be prepared on either an individual basis or, unless the director otherwise requires, on a consolidated basis if consolidated statements are prepared in the usual course of business.

(c) The annual financial statements of the applicant shall be

accompanied by the certificate of an independent public accountant to the effect that the statements present fairly the financial position of the applicant and the results of its operations for the year then ended, in conformity with generally accepted accounting principles or with requirements of insurance or other accounting principles prescribed or permitted under law. If the applicant is an insurer which is actively engaged in the business of insurance, the financial statements need not be certified, provided they are based on the Annual Statement of such person filed with the insurance department of the person's domiciliary state and are in accordance with the requirements of insurance or other accounting principles prescribed or permitted under the law and regulations of such state.

(d) File as exhibits copies of all tender offers for, requests or invitations for, tenders of, exchange offers for, and agreements to acquire or exchange any voting securities of the insurer and (if distributed) of additional soliciting material relating thereto, any proposed employment, consultation, advisory, or management contracts concerning the insurer, annual reports to the stockholders of the insurer and the applicant for the last two (2) fiscal years, and any additional documents or papers required by Form A or 20 CSR 200-11.101(2) and (4).

Item 13. Agreement Requirements for Enterprise Risk Management.

Applicant agrees to provide, to the best of its knowledge and belief, the information required by Form F within fifteen (15) days after the end of the month in which the acquisition of control occurs. Applicant further agrees to annually provide Form F as described in section 382.175 of the Act for so long as control exists. Applicant further acknowledges that the applicant and all subsidiaries within its control in the insurance holding company system shall provide information to the director upon request as necessary to evaluate enterprise risk to the insurer.

Item 13./14. Signature and Certification.
Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.040-382.060 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____, on the _____ day of _____, _____.
(SEAL)

(Name of Applicant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached application dated _____, _____, for and on behalf of _____;

(Name of Applicant)

that s/he is the _____
(Title of Officer)

of _____
(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM B

Insurance Holding Company System
Annual Registration Statement

Filed with the Insurance Department of the state of

by

(Name of Registrant)

On behalf of following insurance companies:
Name _____ Address _____

Date _____, _____.

Name, title, mailing address, e-mail address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Identity and Control of Registrant.

Furnish the exact name of each insurer registering or being registered (after this called the registrant), the home office address and principal executive offices of each; the date on which each registrant became part of the insurance holding company system; and the method(s) by which control of each registrant was acquired and is maintained.

Item 2. Organizational Chart.

Furnish a chart or listing clearly presenting the identities of and interrelationships among all affiliated persons with the insurance holding company system. [No affiliate need be shown if its total assets are equal to less than one-half (1/2) of one percent (1%) of the total assets of the ultimate controlling person within the insurance holding company system unless it has assets valued at or exceeding (insert amount).] The chart or listing should show the percentage of each class of voting securities of each affiliate which is owned, directly or indirectly, by another affiliate. If control of any person within the system is maintained other than by the ownership or control of voting securities, indicate the basis of the control. As to each person specified in the chart or listing indicate the type of organization (for example, corporation, trust, partnership) and the state or other jurisdiction of domicile.

Item 3. The Ultimate Controlling Person.

As to the ultimate controlling person in the insurance holding company system, furnish the following information:

(a) Name;

- (b) Home office address;
- (c) Principal executive office address;
- (d) The organizational structure of the person, that is, corporation, partnership, individual, trust, etc.;
- (e) The principal business of the person;
- (f) The name and address of any person who holds or owns ten percent (10%) or more of any class of voting security, the class of such security, the number of shares held of record or known to be beneficially owned, and the percentage of class so held or owned; and
- (g) If court proceedings involving a reorganization or liquidation are pending, indicate the title and location of the court, the nature of proceedings, and the date when commenced.

Item 4. Biographical Information.

If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, /F/ furnish the following information for the directors and executive officers of the ultimate controlling person: *[the]* each individual's name and address, his/her principal occupation and all offices and positions held during the past five (5) years, and any conviction of crimes other than minor traffic violations *[during the past ten (10) years]*. **If the ultimate controlling person is an individual, furnish the individual's name and address, his or her principal occupation and all offices and positions held during the past five (5) years, and any conviction of crimes other than minor traffic citations.**

Item 5. Transactions and Agreements.

Briefly describe the following agreements in force~~/,~~ and transactions currently outstanding or which have occurred during the last calendar year between the registrant and its affiliates:

- (a) Loans, other investments, or purchases, sales, or exchanges of securities of the affiliates by the registrant or of the registrant by its affiliates;
- (b) Purchases, sales, or exchanges of assets;
- (c) Transactions not in the ordinary course of business;
- (d) Guarantees or undertakings for the benefit of an affiliate which result in an actual contingent exposure of the registrant's assets to liability, other than insurance contracts entered into in the ordinary course of the registrant's business;
- (e) All management agreements, service contracts, *[tax allocation arrangements,]* and cost-sharing arrangements;
- (f) Reinsurance agreements;
- (g) Dividends and other distributions to shareholders;
- (h) *[Consolidation]* **Consolidated** tax allocation agreements; and
- (i) Any pledge of the registrant's stock, the stock of any subsidiary or controlling affiliate, or both, for a loan made to any member of the insurance holding company system.

No information need be disclosed if such information is not material for purposes of sections 382.100–382.*[160/180]* of the Act.

Sales, purchases, exchanges, loans or extension of credit, investments or guarantees involving one-half (1/2) of one percent (1%) or less of the registrant's admitted assets as of the 31st day of December next preceding shall not be deemed material. *[(Note: the director by rule or order may provide otherwise.)]*

The description shall be in a manner as to permit the proper evaluation by the director, and shall include at least the following: the nature and purpose of the transaction, the nature and amounts of any payments or transfers of assets between the parties, the identity of all parties to such transaction, and relationships of the affiliated parties to the registrant.

Item 6. Litigation or Administrative Proceedings.

A brief description of any litigation or administrative proceedings of the following types, either then pending or concluded within the preceding fiscal year, to which the ultimate controlling person or any of its directors or executive officers was a party, or of which the

property of any such person is or was the subject; give the names of the parties and the court or agency in which the litigation or proceeding is or was pending:

- (a) Criminal prosecutions or administrative proceedings by any government agency or authority which may be relevant to the trustworthiness of any party to the prosecutions or proceedings; and
- (b) Proceedings which may have a material effect upon the solvency or capital structure of the *[ultimate]* insurance holding company system including, but not necessarily limited to, bankruptcy, receivership, or other corporate reorganizations.

Item 7. Statement Regarding Plan or Series of Transactions.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions, the purpose of which is to avoid statutory threshold amounts and the review that might otherwise occur.

Item 8. Financial Statements and Exhibits.

(a) Financial statements and exhibits should be attached to this statement as an appendix, but list under this item the financial statements and exhibits so attached.

(b) If the ultimate controlling person is a corporation, an organization, a limited liability company, or other legal entity, /T/ the financial statements shall include the annual financial statements of the ultimate controlling person in the holding company system as of the end of the person's latest fiscal year.

(c) If at the time of the initial registration, the annual financial statements for the latest fiscal year are not available, annual statements for the previous fiscal year may be filed and similar financial information shall be filed for any subsequent period to the extent such information is available. These financial statements may be prepared on either an individual basis, or unless the director otherwise requires, on a consolidated basis, if such consolidated statements are prepared in the usual course of business.

(d) Other than with respect to the foregoing, such financial statement shall be filed in a standard form and format adopted by the National Association of Insurance Commissioners, unless an alternative form is accepted by the director. Documentation and financial statements filed with the Securities and Exchange Commission or audited GAAP financial statements shall be deemed to be an appropriate form and format.

[(d)](e) Unless the director otherwise permits, the annual financial statements shall be accompanied by the certificate of an independent public accountant to the effect that the~~se/~~ statements present fairly the financial position of the ultimate controlling person and the results of its operations for the year then ended, in conformity with generally accepted accounting principles or with requirements of insurance or other accounting principles prescribed or permitted under law. If the ultimate controlling person is an insurer which is actively engaged in the business of insurance, the annual financial statements need not be certified, provided they are based on the annual statement *[of]* such insurer filed with the insurance department of the insurer's domiciliary state and are in accordance with requirements of insurance or other accounting principles prescribed or permitted under the law and regulations of such state.

(f) Any ultimate controlling person who is an individual may file personal financial statements that are reviewed rather than audited by an independent public accountant. The review shall be conducted in accordance with standards for review of personal financial statements published in the *Personal Financial Statements Guide* by the American Institute of Certified Public Accountants. Personal financial statements shall be accompanied by the independent public accountant's Standard Review Report stating that the accountant is not aware of any material modifications that should be made to the financial statements in order for the statements to be in conformity with generally accepted accounting principles.

[(e)](g) Exhibits shall include copies of the latest annual reports to

shareholders of the ultimate controlling person and proxy materials used by the ultimate controlling person; and any additional documents or papers required by Form B or 20 CSR 200-11.101(2) and (4).

Item 9. Statement Regarding Corporate Governance and Internal Controls

Furnish statements that the insurer's board of directors oversees corporate governance and internal controls and that the insurer's officers or senior management have approved, implemented, and continue to maintain and monitor corporate governance and internal control procedures.

Item [9./10. Form C Required.

A Form C, Summary of **Changes to Registration Statement**, must be prepared and filed with this Form B.

Item [10./11. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.100-382./160/180 of the Act, the Registrant has caused this annual registration statement to be duly signed on its behalf in the City of _____ and the State of _____ on the _____ day of _____, _____.
(SEAL)

(Name of Registrant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached annual registration statement dated _____, _____, for _____ and on behalf of _____;
(Name of Officer)

that s/he is the _____
(Title of Officer)

of _____
(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM C

Summary of *Changes to Registration*

STATEMENT

Filed with the Insurance Department of the State of

_____ by _____

(Name of Registrant)

On behalf of following insurance companies:

Name _____ Address _____

Date _____, _____

Name, title, **mailing address**, **e-mail address**, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Furnish a brief description of all items in the current annual registration statement which represent changes from the prior year's annual registration statement. The description shall be in a manner as to permit proper evaluation by the director, and shall include specific references to Item numbers in the annual registration statement and to the terms contained in the statement.

Changes occurring under Item 2./ of Form B insofar as changes in the percentage of each class of voting securities held by each affiliate is concerned, need only be included where such changes are ones which result in ownership or holdings of ten percent (10%) or more of voting securities, loss or transfer of control, or acquisition or loss of partnership interest.

Changes occurring under Item 4./ of Form B need only be included where[-] an individual is, for the [next] first time, made a director or executive officer of the ultimate controlling person; a director or executive officer terminates his/her responsibilities with the ultimate controlling person; or in the event an individual is named president of the ultimate controlling person.

If a transaction disclosed on the prior year's annual registration statement has been changed, the nature of this change shall be included. If a transaction disclosed on the prior year's annual registration statement has been effectuated, furnish the mode of completion and any flow of funds between affiliates resulting from the transaction.

The insurer shall furnish a statement that transactions entered into since the filing of the prior year's annual registration statement are not part of a plan or series of like transactions whose purpose it is to avoid statutory threshold amounts and the review that might otherwise occur.

SIGNATURE AND CERTIFICATION

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.100-382./160/180 of the Act, the Registrant has caused this summary of registration statement to be duly signed on its behalf in the City of _____ and _____

the State of _____
on the _____ day of _____,
(SEAL)

(Name of Registrant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached summary of registration statement dated _____, _____, for and on behalf of _____ that s/he is the

(Name of Officer)

_____ of
(Title of Officer)

(Name of Company)

that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name beneath)

FORM D

Prior Notice of a Transaction

Filed with the Insurance Department of the State of

by

(Name of Registrant)

On behalf of the following insurance companies:

Name Address

Date: _____, _____

Name, title, **mailing** address, **e-mail address**, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Identity of Parties to Transaction.

Furnish the following information for each of the parties to the transaction:

- (a) Name;
- (b) Home office address;
- (c) Principal executive **office address**;
- (d) The organizational structure, that is, corporation, partnership, individual, trust, etc;
- (e) A description of the nature of the parties' business operations;
- (f) Relationship, if any, of other parties to the transaction to the insurer filing the notice, including any ownership or debtor/creditor interest by any other parties to the transaction in the insurer seeking approval, or by the insurer filing the notice in the affiliated parties; and
- (g) Where the transaction is with a nonaffiliate, the name(s) of the affiliate(s) which will receive, in whole or in substantial part, the proceeds of the transaction.

Item 2. Description of the Transaction.

Furnish the following information for *[each]* the transaction for which notice is being given:

- (a) A statement as to whether notice is being given under section 382.195.1(1), (2), (3), (4), **(5)**, **(6)**, or *[(5)] (7)* of the Act;
- (b) A statement of the nature of the transaction; *[and]*
- (c) **A statement of how the transaction meets the fair and reasonable standard of section 382.190(1) of the Act; and** *[(c)]*(d) The proposed effective date of the transaction.

Item 3. Sales, Purchases, Exchanges, Loans, Extensions of Credit, Guarantees, or Investments.

Furnish a brief description of the amount and source of funds, securities, property or other consideration for the sale, purchase, exchange, loan, extension of credit, guarantee, or investment, whether any provision exists for purchase by the insurer filing notice, by any party to the transaction, or by any affiliate of the insurer filing notice, a description of the terms of any securities being received, if any, and a description of any other agreements relating to the transaction such as contracts or agreements for services, consulting agreements and the like. If the transaction involves **consideration** other than cash, furnish a description of the consideration, its cost, and its fair market value, together with an explanation of the basis for *[e]*/valuation.

If the transaction involves a loan, extension of credit, or a guarantee, furnish a description of the maximum amount which the insurer will be obligated to make available under such loan, extension of credit, or guarantee, the date on which the credit or guarantee will terminate, and any provisions for the accrual of or deferral of interest.

If the transaction involves *[an]* investments, guarantees, or other arrangements, state the time period during which the investments, guarantees, or other arrangements will remain in effect, together with any provisions for extensions or renewals of these investments, guarantees, or **other** arrangements. Furnish a brief statement as to the effect of the transaction upon the insurer's surplus.

No notice need be given if the maximum amount which at any time can be outstanding or for which the insurer can be legally obligated under the loan, extension of credit, or guarantee is less than—

- (a) In the case of nonlife insurers, the lesser of three percent (3%) of the insurer's admitted assets or twenty-five percent (25%) of surplus as regards policyholders; or
- (b) In the case of life insurers, three percent (3%) of the insurer's

admitted assets, each as of the 31st day of December next preceding.

Item 4. Loans or Extensions of Credit to a Nonaffiliate.

If the transaction involves a loan or extension of credit to any person who is not an affiliate, furnish a brief description of the agreement or understanding where the proceeds of the proposed transaction, in whole or in substantial part, are to be used to make loans or extensions of credit to, to purchase the assets of, or to make investments in, any affiliate of the insurer making these loans or extensions of credit, and specify in what manner the proceeds are to be used to loan to, extend credit to, purchase assets of, or make investments in any affiliate. Describe the amount and source of funds, securities, property, or other consideration for the loan or extension of credit and, if the transaction is one involving consideration other than cash, a description of its cost and its fair market value, together with an explanation of the basis for /e/valuation. Furnish a brief statement as to the effect of the transaction upon the insurer's surplus.

No notice need be given if the loan or extension of credit is one which equals less than, in the case of [nonlife] non-life insurer/'s, the lesser of three percent (3%) of the insurer's admitted assets or twenty-five percent (25%) of surplus as regards policyholders or, with respect to life insurers, three percent (3%) of the insurer's admitted assets, each as of the 31st day of December next preceding.

Item 5. Reinsurance.

If the transaction is a reinsurance agreement or modification [to it] thereto, as described by section 382.195.1(3)(b) of the Act, or a reinsurance pooling agreement or modification thereto as described by section 382.195.1(3)(a) of the Act, furnish a description of the known[,] and/or estimated amount of liability [or else] to be ceded[,] and/or [both] assumed in each calendar year, the period of time during which the agreement will be in effect, and a statement whether an agreement or understanding exists between the insurer and nonaffiliate to the effect that any portion of the assets constituting the consideration for the agreement will be transferred to one (1) or more of the insurer's affiliates. Furnish a brief description of the consideration involved in the transaction[,] and brief statement as to the effect of the transaction[,] upon the insurer's surplus.

No notice need be given for reinsurance agreements or modifications [to them] thereto if the reinsurance premium or a change in the insurer's liabilities, or the projected reinsurance premium or change in the insurer's liabilities in any of the next three years, in connection with the reinsurance agreement or modification [to it] thereto is less than five percent (5%) of the insurer's surplus as regards policyholders, as of the 31st day of December next preceding. Notice shall be given for all reinsurance pooling agreements including modifications thereto.

Item 6. Management Agreements, Service Agreements, Tax Allocation [Arrangements] Agreements, and Cost-Sharing Arrangements.

For management and service agreements, furnish—

(a) A brief description of the managerial responsibilities[,] and services to be performed; and

(b) A brief description of the agreement, including a statement of its duration, together with brief descriptions of the basis for compensation and the terms under which payment or compensation is to be made;

For tax allocation [arrangements] agreements and cost-sharing arrangements, furnish—

(a) A brief description of the purpose of the agreement or arrangement;

(b) A description of the period of time during which the agreement or arrangement is to be in effect;

(c) A brief description of each party's expenses or costs covered by the agreement or arrangement; and

(d) A brief description of the accounting basis to be used in calculating each party's costs under the agreement[,] or arrangement;

(e) A brief statement as to the effect of the transaction upon the insurer's policyholder surplus;

(f) A statement regarding the cost allocation methods that specifies whether proposed charges are based on cost or market. If market based, furnish rationale for using market instead of cost, including justification for the company's determination that amounts are fair and reasonable; and

(g) A statement regarding compliance with the NAIC Accounting Practices and Procedures Manual regarding expense allocation.

Item 7. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of section 382.195 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____ on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)

by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached notice dated _____, _____, for and on behalf of _____

(Name of Officer)

that s/he is the _____ of _____

(Title of Officer)

(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM E

**Pre-Acquisition Notification Form
Regarding /T/the Potential Competitive Impact
of a Proposed Merger or Acquisition by a
Non-Domiciliary Insurer Doing Business in this
State or by a Domestic Insurer**

(Name of Applicant)

(Name of Other Person Involved in Merger or Acquisition)

Filed with the Insurance Department of the State of _____ /by _____
(Name of Registrant)]

Name, title, mailing address, e-mail address, and telephone number of person completing this statement:

Item 1. Name and Address.

State the names and addresses of the persons who hereby provide notice of their involvement in a pending acquisition or change in corporate control.

Item 2. Name and Addresses of Affiliated Companies.

State the names and addresses of the persons affiliated with those listed in Item 1. Describe their affiliations.

Item 3. Nature and Purpose of The Proposed Merger or Acquisition.

State the nature and purpose of the proposed merger or acquisition.

Item 4. Nature of Business.

State the nature of the business performed by each of the persons identified in response to Item 1 and Item 2.

Item 5. Market and Market Share.

State specifically what market and market share in each relevant insurance market the persons identified in Item 1 and Item 2 currently enjoy in this state. Provide historical market and market share data for each person identified in Item 1 and Item 2 for the past five (5) years and identify the source of such data. **Provide a determination as to whether the proposed acquisition or merger, if consummated, would violate the competitive standards of the state as stated in section 382.095.4 of the Act. If the proposed acquisition or merger would violate competitive standards, provide justification of why the acquisition or merger would not substantially lessen competition or create a monopoly in the state.**

For purposes of this question, market means direct written insurance premium in this state for a line of business as contained in the annual statement required to be filed by insurers licensed to do business in this state.

Item 6. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of sections 382.040.3 and 382.095 of the Act _____
has caused this application to be duly signed on its behalf in the City of _____ and State of _____
on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)
by

(Name) (Title)

Attest:

(Signature of Officer)

(Title)

CERTIFICATION

The undersigned deposes and says that s/he has duly executed the attached notice dated _____, _____, for and on behalf of _____ that
(Name of Officer)

s/he is the _____ of
(Title of Officer)

(Name of Company)

and that s/he is authorized to execute and file such instrument. Deponent further says that s/he is familiar with such instrument and the contents thereof, and that the facts therein set forth are true to the best of his/her knowledge, information, and belief.

(Signature)

(Type or print name)

FORM F

Enterprise Risk Report

Filed with the Insurance Department of the State of _____ by _____
(Name of Registrant/Applicant)

On behalf of/related to the following insurance companies:

Name	Address
_____	_____
_____	_____
_____	_____

Date _____, _____

Name, title, mailing address, e-mail address, and telephone number of individual to whom notices and correspondence concerning this statement should be addressed:

Item 1. Enterprise Risk.

(1) The registrant/applicant, to the best of its knowledge and belief, shall provide information regarding the following areas that could produce enterprise risk as defined in section 382.010(4) of the Act, provided such information is not disclosed in the Insurance Holding Company System Annual Registration Statement filed on behalf of itself or another insurer for which it is the ultimate controlling person:

(A) Any material developments regarding strategy, internal audit findings, compliance, or risk management affecting the insurance holding company system;

(B) Acquisition or disposal of insurance entities and reallocation of existing financial or insurance entities within the insurance holding company system;

(C) Any changes of shareholders of the insurance holding company system exceeding ten percent (10%) or more of voting securities;

(D) Developments in various investigations, regulatory activities, or litigation that may have a significant bearing or impact on the insurance holding company system;

(E) Business plan of the insurance holding company system and summarized strategies for the next twelve (12) months;

(F) Identification of material concerns of the insurance holding company system raised by the supervisory college, if any, in

last year;

(G) Identification of insurance holding company system capital resources and material distribution patterns;

(H) Identification of any negative movement, or discussions with rating agencies which may have caused, or may cause, potential negative movement in the credit ratings and individual insurer financial strength ratings assessment of the insurance holding company system, including both the rating score and outlook;

(I) Information on corporate or parental guarantees throughout the holding company and expected sources of liquidity should such guarantees be called upon; and

(J) Identification of any material activity or development of the insurance holding company system that, in the opinion of senior management, could adversely affect the insurance holding company system.

(2) The registrant/applicant may attach the appropriate form most recently filed with the U.S. Securities and Exchange Commission, provided the registrant/applicant includes specific references to those areas listed in Item 1 for which the form provides responsive information. If the registrant/applicant is not domiciled in the U.S., it may attach its most recent public audited financial statement filed in its country of domicile, provided the registrant/applicant includes specific references to those areas listed in Item 1 for which the financial statement provides responsive information.

Item 2. Obligation to Report. If the registrant/applicant has not disclosed any information pursuant to Item 1, the registrant/applicant shall include a statement affirming that, to the best of its knowledge and belief, it has not identified enterprise risk subject to disclosure pursuant to Item 1.

Item 3. Signature and Certification.

Signature and certification required as follows:

SIGNATURE

Pursuant to the requirements of section 382.175 of the Act _____ has caused this application to be duly signed on its behalf in the City of _____ and State of _____ on the _____ day of _____, _____.

(SEAL)

(Name of Applicant)
by

(Name)

(Title)

Attest:

(Signature of Officer)

(Title)

AUTHORITY: section[s] 374.045, RSMo Supp. 2013, and section 382.240, RSMo 2000. Original rule filed April 29, 1992, effective Dec. 3, 1992. Amended: Filed Nov. 23, 1998, effective July 30, 1999. Amended: Filed June 14, 2001, effective Dec. 30, 2001. Amended: Filed April 17, 2006, effective Oct. 30, 2006. Emergency amendment filed Dec. 1, 2015, effective Jan. 1, 2016, expires June 29, 2016. Amended: Filed Dec. 1, 2015.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Department of Insurance, Financial Institutions and Professional Registration, Attention: Mark J. Rachel, PO Box 690, Jefferson City, MO 65102. A public hearing is scheduled for 1:30 p.m. on February 8, 2016, at the Harry S Truman State Office Building, Room 530, 301 West High Street, Jefferson City, Missouri. To be considered, comments must be received no later than the date of the public hearing, which is thirty-five (35) days after publication of this notice in the Missouri Register.

SPECIAL NEEDS: If you have any special needs addressed by the Americans with Disabilities Act, please notify us at (573) 751-2619 at least five (5) working days prior to the hearing.

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION
Division 2193—Interior Design Council
Chapter 4—Fees**

PROPOSED AMENDMENT

20 CSR 2193-4.010 Fees. The council is amending section (3).

PURPOSE: This amendment reduces various fees for the Interior Design Council.

(3) The fees are established as follows:

- | | |
|--------------------------|----------------------------|
| (A) Registration Fee | [\$ 100.00] \$50.00 |
| (B) Reciprocity Fee | [\$ 100.00] \$50.00 |
| (C) Biennial Renewal Fee | [\$ 100.00] \$50.00 |

AUTHORITY: sections 324.409, 324.415, 324.418, and 324.421, RSMo Supp. [2012] 2013, and sections 324.412 and 324.424, RSMo 2000. This rule originally filed as 4 CSR 193-4.010. Original rule filed Feb. 25, 2000, effective Aug. 30, 2000. Moved to 20 CSR 2193-4.010, effective Aug. 28, 2006. Amended: Filed May 22, 2013, effective Nov. 30, 2013. Amended: Filed Dec. 1, 2015.

PUBLIC COST: This proposed amendment will cost state agencies approximately three hundred fifty dollars (\$350) annually and three thousand five hundred dollars (\$3,500) biennially for the life of the rule. It is anticipated that the costs will recur for the life of the rule, may vary with inflation, and are expected to increase at the rate projected by the Legislative Oversight Committee.

PRIVATE COST: This proposed amendment will save private entities approximately three hundred fifty dollars (\$350) annually and three thousand five hundred dollars (\$3,500) biennially for the life of the rule. It is anticipated that the costs will recur for the life of the rule, may vary with inflation, and are expected to increase at the rate projected by the Legislative Oversight Committee.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Interior Design Council, PO Box 1335, Jefferson City, MO 65102, via facsimile at (573) 526-3489, or via email at intdesn@pr.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

PUBLIC FISCAL NOTE

I. RULE NUMBER

Title 20 - Department of Insurance, Financial Institutions and Professional Registration
 Division 2193 - Interior Design Council
 Chapter 4 - Fees
 Proposed Amendment - 20 CSR 2193-4.010 Fees

II. SUMMARY OF FISCAL IMPACT

Affected Agency or Political Subdivision	Estimated Loss of Revenue	
Interior Design Council	\$350	
	Total Loss of Revenue Annually for the Life of the Rule	\$350

Affected Agency or Political Subdivision	Estimated Loss of Revenue	
Interior Design Council	\$3,500	
	Total Loss of Revenue Biennially for the Life of the Rule	\$3,500

III. WORKSHEET

See Private Entity Fiscal Note

IV. ASSUMPTIONS

1. The total loss of revenue is based on the cost savings to private entities reflected in the Private Fiscal Note filed with this amendment.
2. The council utilizes a rolling five (5)-year financial analysis process to evaluate its fund balance, establish fee structure, and assess budgetary needs. The five (5)-year analysis is based on the projected revenue, expenses, and number of licensees. Based on the council's recent five (5)-year analysis, the council voted on a reduction in individual renewal fees for interior designers.

Note: The public fiscal note for this rule only reflects the cost for this particular process. However, private entity fees are set at an amount to cover the total actual cost incurred by the office, which includes personal service, expense and equipment, and transfers.

PRIVATE FISCAL NOTE

I. RULE NUMBER

Title 20 - Department of Insurance, Financial Institutions and Professional Registration
Division 2193 - Interior Design Council
Chapter 4 - Fees
Proposed Amendment - 20 CSR 2193-4.010 Fees

II. SUMMARY OF FISCAL IMPACT

Estimate the number of entities by class which would likely be affected by the adoption of the proposed amendment:	Classification by type of the business entities which would likely be affected:	Estimated savings for compliance with the amendment by affected entities:
6	Registration Fee (Fee - \$50 decrease)	\$300
1	Reciprocity Fee (Fee - \$50 decrease)	\$50
	Estimated Cost Savings Annually for the Life of the Rule	\$350

Estimate the number of entities by class which would likely be affected by the adoption of the proposed amendment:	Classification by type of the business entities which would likely be affected:	Estimated savings for compliance with the amendment by affected entities:
70	Biennial Renewal Fee (Fee - \$50 decrease)	\$3,500
	Estimated Cost Savings Biennially for the Life of the Rule	\$3,500

III. WORKSHEET

See table above.

IV. ASSUMPTION

1. The annual figures reported above are based on FY 2014 actuals. The biennial figures are based upon FY 2014 and FY 2015 actuals.
2. It is anticipated that the total cost savings will recur for the life of the rule, may vary with inflation and are expected to increase at the rate projected by the Legislative Oversight Committee.

Note: The council is statutorily obligated to enforce and administer the provisions of sections 324.400 to 324.439, RSMo. Pursuant to section 324.424, RSMo, the council shall by rule and regulation set the amount of fees authorized by section 324.424, RSMo, at a level to produce revenue which shall not substantially exceed the cost and expense of administering sections 324.400 to 324.439, RSMo.

This section will contain the final text of the rules proposed by agencies. The order of rulemaking is required to contain a citation to the legal authority upon which the order or rulemaking is based; reference to the date and page or pages where the notice of proposed rulemaking was published in the *Missouri Register*; an explanation of any change between the text of the rule as contained in the notice of proposed rulemaking and the text of the rule as finally adopted, together with the reason for any such change; and the full text of any section or subsection of the rule as adopted which has been changed from that contained in the notice of proposed rulemaking. The effective date of the rule shall be not less than thirty (30) days after the date of publication of the revision to the *Code of State Regulations*.

The agency is also required to make a brief summary of the general nature and extent of comments submitted in support of or opposition to the proposed rule and a concise summary of the testimony presented at the hearing, if any, held in connection with the rulemaking, together with a concise summary of the agency's findings with respect to the merits of any such testimony or comments which are opposed in whole or in part to the proposed rule. The ninety-(90-) day period during which an agency shall file its order of rulemaking for publication in the *Missouri Register* begins either: 1) after the hearing on the proposed rulemaking is held; or 2) at the end of the time for submission of comments to the agency. During this period, the agency shall file with the secretary of state the order of rulemaking, either putting the proposed rule into effect, with or without further changes, or withdrawing the proposed rule.

**Title 8—DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
Division 50—Division of Workers' Compensation
Chapter 2—Procedure**

ORDER OF RULEMAKING

By the authority vested in the Division of Workers' Compensation under sections 287.220.13 and 287.650, RSMo Supp. 2013, the division adopts a rule as follows:

8 CSR 50-2.025 Reasonable Standard Means Test is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on August 3, 2015 (40 MoReg 930). No changes have been made in the text of the proposed rule, so it is not reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The Division of Workers' Compensation received four (4) comments in opposition to the proposed rule.

COMMENTS #1 and #2: A comment was received from Associated Industries of Missouri (AIM). The Missouri Insurance Coalition provided separate comments similar to those submitted by AIM. The comments state that the wage threshold set at one hundred five percent (105%) of the average weekly wage would allow the recipients to return to regular employment while continuing to collect Second Injury Fund (SIF) benefits. This is contrary to the legislative purpose in enacting changes to section 287.220, RSMo. The proposed rule would allow an employee to return to work at a job earning twenty-

two dollars and seventeen cents (\$22.17) per hour in a forty- (40-) hour week or earn a yearly salary of forty-six thousand one hundred nineteen dollars and eighty-four cents (\$46,119.84). This would enable an employee to take a job that pays less than the established wage rate in order to continue receiving permanent total disability (PTD) benefits from the SIF. The comment also points out that the standard set forth in the proposed rule varies from the suspension of lifetime PTD benefits contained in section 287.200.3, RSMo which allows for the suspension of benefits where the employee is restored to his regular work or its equivalent. As the statute does not provide for a specific wage the standard to be used for suspending receipt of benefits from the SIF should be similar to the standard set forth in section 287.200.3, RSMo.

RESPONSE: Section 287.220.13, RSMo is just one (1) of the avenues available for the SIF to seek a change in PTD benefits. Chapter 287, RSMo contains two (2) other provisions permitting the SIF to seek a modification or suspension of PTD benefits. Section 287.200.3, RSMo permits the SIF to seek a suspension of PTD benefits where an injured worker is restored to his regular work or its equivalent by the "use of glasses, prosthetic appliances, or physical rehabilitation." Section 287.470, RSMo permits the SIF to seek a decrease of the benefits awarded to an injured worker if the worker experiences a change in condition which lessens the worker's permanent disability. By new section 287.220.13, RSMo, the legislature added a third avenue whereby the SIF may disrupt PTD benefits. The SIF may now also suspend benefits if an injured worker is able to obtain suitable gainful employment or be self-employed in view of the nature and severity of the injury. The division assumes the legislature did not intend for the relief available under section 287.220.13, RSMo to duplicate the relief available under sections 287.200.3 and 287.470, RSMo. It is presumed that the legislature did not intend to enact a meaningless act. *Anderson ex rel. Anderson v. Ken Kauffman & Sons Excavating, L.L.C.*, 248 S.W.3d 101, 109 (Mo. Ct. App. 2008). Therefore, the division assumes it was the legislature's intention that the SIF continue to use section 287.470 to seek relief in circumstances where a previously permanently and totally disabled worker's condition improves such that the worker is no longer permanently and totally disabled. The division assumes it is the legislature's intention that the SIF continue to use section 287.200.3, RSMo to seek relief where the use of glasses, prosthetics, or physical rehabilitation permit a still-permanently and totally disabled worker to return to the worker's regular work or its equivalent. Finally, the division assumes it is the legislature's intention that the SIF use new section 287.220.13, RSMo in circumstances where neither sections 287.470 nor 287.200.3, RSMo apply. That is, the division assumes that section 287.220.13, RSMo and the division's reasonable standard means test will only be applied to disabled workers who are still permanently and totally disabled as adjudicated and who are able to obtain suitable gainful employment or be self-employed through means other than by the use of glasses, prosthetic appliances, and physical rehabilitation.

As to the division's determination that one hundred five percent (105%) of the state average weekly wage is a "reasonable standard means," the division simply borrowed a benchmark previously adopted by the legislature as being an income benchmark that is not too high. Inasmuch as any worker whose benefit is being considered for suspension under section 287.220.13, RSMo still suffers from the conditions rendering the worker permanently and totally disabled, the division believes a weekly wage exceeding the average Missouri weekly wage by a mere five percent (5%) is reasonable and well within the range of a standard wage. No changes have been made as a result of these comments.

COMMENT #3: A comment was received from the National Association of Mutual Insurance Companies stating that the proposed rule violates the intent of the SIF Reforms in that the one hundred five percent (105%) of the state average weekly wage amounts to a

job that pays more than twenty-two dollars (\$22) per hour. This is a favorable outcome to the SIF PTD recipient but places the SIF in jeopardy. The comment also indicates that the fiscal notes are inadequate and do not satisfy the requirements of section 536.205, RSMo in that the division has not figured the cost of the rule to the SIF and its sources of revenue.

RESPONSE: The response to comments #1 and #2 explain the rationale supporting the one hundred five percent (105%) of the state average weekly wage as the basis of suspension of SIF benefits, and are incorporated herein. The proposed rule in and of itself does not impact the sources of revenue to the SIF. The SIF is funded by a surcharge and supplemental surcharge according to the requirements set forth in sections 287.690, 287.710, 287.715, RSMo *et seq.* and collections and disbursements occur in accordance with the legislative mandate. An annual actuarial study to determine the solvency of the SIF was authorized per the changes made to section 287.220.8, RSMo. The cost of the rule to SIF was considered and the division believes that the proposed rule in and of itself does not create a fiscal impact to the SIF. Any public or private costs associated with efforts to suspend benefits under section 287.220.13 arise from the language of the statute. The employer's determination to hire a person with an existing disability involves the interplay of federal and state laws and no burden has been placed upon the employer or its insurer by this proposed rule. Further, section 287.190.6(1), RSMo creates a conclusive presumption of disability that continues undiminished whenever a subsequent injury to the same member or body part results in permanent partial disability. The rule simply sets forth a reasonable standard test to be applied by the reviewing courts in accordance with the law. The collective responsibility of the employers or policyholders in paying the SIF surcharge to maintain the solvency of the SIF Fund to continue payment of PTD and other benefits outweighs the responsibility that would otherwise be placed on a single employer who employs a PTD recipient to make him/her a wage earning member of society. The division makes no change to the rule based upon this comment.

COMMENT #4: Comments were received from the Missouri Chamber of Commerce stating that the proposed rule a) sets a high threshold to suspend the PTD benefits paid by the SIF; b) should mirror and track the statutory requirements contained in section 287.200.3 RSMo for the suspension of PTD benefits by the employer and insurer; and c) "encourages employees to resume employment at less than an employee's potential while depleting the Fund of resources." It invites employees to manipulate the system, thereby jeopardizing the solvency of the SIF. The business community had agreed to an increase in the surcharge rate for a finite time period in order to infuse monies into the SIF. The rule undermines the business community's efforts to find a solution to the SIF solvency problem.

RESPONSE: The comments relating to a) and b) have been addressed in response to comments #1 and #2. Comment c) assumes that a judicially determined and conclusively presumed recipient of PTD benefits would actively engage in manipulating the system to continue receiving SIF benefits based upon this proposed rule. The division disagrees and makes no change to the rule based upon this particular comment. The division has complied with the statutory mandate and disagrees that the rule undermines the business community's efforts to find a solution to the SIF solvency problem. The division agrees that the increase in the surcharge rate for a finite time period has infused monies in the SIF to pay the obligations that are owed. No changes have been made as a result of this comment.

**Title 10—DEPARTMENT OF NATURAL RESOURCES
Division 10—Air Conservation Commission
Chapter 6—Air Quality Standards, Definitions, Sampling
and Reference Methods and Air Pollution Control
Regulations for the Entire State of Missouri**

ORDER OF RULEMAKING

By the authority vested in the Missouri Air Conservation Commission under section 643.050, RSMo Supp. 2013, the commission amends a rule as follows:

10 CSR 10-6.241 Asbestos Projects—Registration, Abatement, Notification, Inspection, Demolition, and Performance Requirements is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on August 17, 2015 (40 MoReg 1013–1022). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The Missouri Department of Natural Resources' Air Pollution Control Program received no comments on the proposed amendment.

**Title 10—DEPARTMENT OF NATURAL RESOURCES
Division 10—Air Conservation Commission
Chapter 6—Air Quality Standards, Definitions, Sampling
and Reference Methods and Air Pollution Control
Regulations for the Entire State of Missouri**

ORDER OF RULEMAKING

By the authority vested in the Missouri Air Conservation Commission under section 643.050, RSMo Supp. 2013, the commission amends a rule as follows:

10 CSR 10-6.250 Asbestos Projects—Certification, Accreditation and Business Exemption Requirements is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on August 17, 2015 (40 MoReg 1023–1031). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The Missouri Department of Natural Resources' Air Pollution Control Program received no comments on the proposed amendment.

**Title 10—DEPARTMENT OF NATURAL RESOURCES
Division 40—Land Reclamation Commission
Chapter 10—Permit and Performance Requirements for
Industrial Mineral Open Pit and In-Stream Sand and
Gravel Operations**

ORDER OF RULEMAKING

By the authority vested in the Missouri Mining Commission under 444.530.1(1), RSMo 2000, the commission amends a rule as follows.

10 CSR 40-10.020 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on September 15, 2015 (40 MoReg 1173–1176). Those sections with changes have been reprinted here. This proposed amendment becomes effective thirty (30) days after publication of the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing was held October 19, 2015 at the Missouri Mining Commission meeting. The public

comment period ended October 26, 2015. At the public hearing the Department of Natural Resources testified that the proposed amendment would adjust the industrial mineral fee, the notification procedures of landowners adjacent to a mine plan, and permitting decision will be made by the director. One (1) comment was made at the public hearing. The department did receive three (3) written comments during the comment period.

COMMENT #1: Mr. Steve Rudloff, Executive Manager, Missouri Limestone Producers Association, testified at the public hearing in support of the proposed amendment.

RESPONSE: No changes were made as a result of this comment.

COMMENT #2: Randy Scherr, Mining Industry Council of Missouri; Steve Rudloff, Missouri Limestone Producers Association, requests a clarification of subparagraph (2)(I)1.B. to separate the paragraph into their own enumerations to mirror the current statute.

RESPONSE AND EXPLANATION OF CHANGE: Subparagraph (2)(I)1.B. will be changed.

COMMENT #3: Randy Scherr, Mining Council of Missouri, requests the signs for feet be changed from inches (") to feet (') in subparagraph (2)(I)1.B.

RESPONSE AND EXPLANATION OF CHANGE: Subparagraph (2)(I)1.B will be corrected.

COMMENT #4: LRP Staff commented regarding wording relating to the timeframe the new fee would begin. Department staff noticed the wording for the industrial mineral fee collection did not explicitly state the increased rate that is established in the proposed amendment would be collected beginning calendar year 2017 and also for all future years.

RESPONSE AND EXPLANATION OF CHANGE: Subsection (2)(F) will be changed.

10 CSR 40-10.020 Permit Application Requirements

(2) As required by section 444.772, RSMo, an applicant shall provide a complete application package submitted which includes the following:

(F) For any permit or renewal that becomes effective on or after January 1, 2017, all required fees based upon the type of operation and amount of production as follows:

1. An annual permit fee of eight hundred dollars (\$800);
2. An annual site fee for each site listed on a permit consisting of a hundredth (.01) to ten (10) acres a two hundred dollars (\$200) site fee, ten and a hundredth (10.01) to seventy five (75) acres a five hundred dollars (\$500) site fee, seventy five and a hundredth (75.01) to two hundred (200) acres an eight hundred dollars (\$800) site fee and a site consisting of more than two hundred (200) acres a site fee of one thousand dollars (\$1,000);
3. An annual acreage fee for each acre bonded by the operator of thirteen dollars (\$13) per acre for each acre permitted;
4. For any operator of a gravel mining operation where the annual tonnage of gravel mined by such operator is less than five thousand (5,000) tons, the total cost of submitting an application shall be three hundred dollars (\$300); and
5. In no case shall the total fee for any permit be more than six thousand dollars (\$6,000); except after January 1, 2019 the total fee shall not be more than seven thousand five hundred dollars (\$7,500) and after January 1, 2021 the total fee shall not be more than nine thousand dollars (\$9,000);

(I) At the time the application is deemed complete by the director, the applicant shall also mail letters containing a notice of intent to operate a surface mine.

1. The applicant shall send the letters containing a notice of intent to operate a surface mine by certified mail to—

A. The governing body of the counties or cities in which the proposed area is located; and

B. The last known addresses of all first tier record landowners whose property is—

(I) Within two thousand six hundred forty feet (2,640'), or one-half (1/2) mile from the border of the proposed mine plan area; and

(II) Adjacent to the proposed mine plan area, land upon which the mine plan area is located, or adjacent land having a legal relationship with either the applicant or the owner of the land upon which the mine plan area is located.

2. The content of the notice sent under this subsection shall be the same as the public notice requirements under subsection (2)(H) of this rule; and

Title 13—DEPARTMENT OF SOCIAL SERVICES Division 5—Office of the Director Chapter 1—Public Records

ORDER OF RULEMAKING

By the authority vested in the Department of Social Services under sections 610.010–610.030, RSMo 2000, Supp. 2013, and Supp. 2014, and section 660.017, RSMo 2000, the director adopts a rule as follows:

13 CSR 5-1.030 Access for Inspection of Public Records and Fees for Copying of Public Records is **adopted**.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on September 1, 2015 (40 MoReg 1090). No changes have been made in the text of the proposed rule, so it is not reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

Title 13—DEPARTMENT OF SOCIAL SERVICES Division 35—Children's Division Chapter 31—Child Abuse

ORDER OF RULEMAKING

By the authority vested in the Department of Social Services under section 207.020, RSMo Supp. 2014, the director amends a rule as follows:

13 CSR 35-31.015 Investigations Involving a Conflict of Interest is **amended**.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on September 1, 2015 (40 MoReg 1090–1091). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

Title 13—DEPARTMENT OF SOCIAL SERVICES Division 40—Family Support Division Chapter 31—Child Abuse

ORDER OF RULEMAKING

By the authority vested in the Family Support Division under section 207.020, RSMo Supp. 2014, the director rescinds a rule as follows:

13 CSR 40-31.012 Definition of Sexual Exploitation is rescinded.

A notice of proposed rulemaking containing the proposed rescission was published in the *Missouri Register* on September 1, 2015 (40 MoReg 1091). No changes have been made in the proposed rescission, so it is not reprinted here. This proposed rescission becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

be entered in front of the section that does not have the required number of digits.

**Title 13—DEPARTMENT OF SOCIAL SERVICES
Division 70—MO HealthNet Division
Chapter 20—Pharmacy Program**

ORDER OF RULEMAKING

By the authority vested in the MO HealthNet Division under sections 208.153 and 208.201, RSMo Supp. 2013, the division adopts a rule as follows:

13 CSR 70-20.340 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on August 3, 2015 (40 MoReg 964-965). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The MO HealthNet Division received one (1) comment on the proposed rule.

COMMENT #1: The Missouri Hospital Association questioned the cost for implementation of the regulation. Regarding the effective date, they suggested that the effective date of the regulation be extended. The Missouri Hospital Association requested more clarity around the language regarding compliance for 340B hospitals.

RESPONSE AND EXPLANATION OF CHANGE: In section (1) we will clarify the effective date for the proposed regulation to be April 1, 2016. We will amend section (5) of the proposed regulation to be read as follows: "Claims from 340B health care facilities for outpatient hospital covered are exempt from the NDC requirement in this rule so long as those claims utilize a valid J-Code (not a dump code) and comply with all other applicable state and federal laws." The cost, if any, for a facility to implement the regulation will be variable. Such costs should be able to be submitted as an expense on the facility's Medicare/Medicaid Cost Report.

13 CSR 70-20.340 National Drug Code Requirement

(1) Claims from 340B health care facilities for outpatient hospital covered are exempt from the NDC requirement in this rule so long as those claims utilize a valid J-Code (not a dump code) and comply with all other applicable state and federal laws.

(5) Effective for dates of service on or after April 1, 2016, MO HealthNet Division (MHD) will require the National Drug Code (NDC) for all medications administered in the clinic or outpatient hospital setting, to comply with federal law. MHD must collect the eleven-(11-) digit NDC on all outpatient drug claims submitted to MHD from all providers for rebate purposes in order to receive federal financial participation. Providers will be required to submit their claims with the exact NDC that appears on the product dispensed or administered to receive payment from MHD. The NDC is found on the medication's packaging and must be submitted in the five (5) digit - four (4) digit - two (2) digit format. If the NDC does not appear in the five (5) digit - four (4) digit - two (2) digit format on the packaging, zero(s) (0) may

This section may contain notice of hearings, correction notices, public information notices, rule action notices, statements of actual costs, and other items required to be published in the *Missouri Register* by law.

**Title 7—DEPARTMENT OF TRANSPORTATION
Division 10—Missouri Highways and
Transportation Commission
Chapter 25—Motor Carrier Operations**

IN ADDITION

7 CSR 10-25.010 Skill Performance Evaluation Certificates for Commercial Drivers

PUBLIC NOTICE

Public Notice and Request for Comments on Applications for Issuance of Skill Performance Evaluation Certificates to Intrastate Commercial Drivers with Diabetes Mellitus or Impaired Vision

SUMMARY: This notice publishes MoDOT's receipt of applications for the issuance of Skill Performance Evaluation (SPE) Certificates from individuals who do not meet the physical qualification requirements in the Federal Motor Carrier Safety Regulations for drivers of commercial motor vehicles in Missouri intrastate commerce because of impaired vision or an established medical history or clinical diagnosis of diabetes mellitus currently requiring insulin for control. If granted, the SPE Certificates will authorize these individuals to qualify as drivers of commercial motor vehicles (CMVs), in intrastate commerce only, without meeting the vision standard prescribed in 49 CFR 391.41(b)(10), if applicable, or the diabetes standard prescribed in 49 CFR 391.41(b)(3).

DATES: Comments must be received at the address stated below, on or before, February 1, 2016.

ADDRESSES: You may submit comments concerning an applicant, identified by the Application Number stated below, by any of the following methods:

- *Email:* Pamela.lueckenotto@modot.mo.gov
- *Mail:* PO Box 270, Jefferson City, MO 65102
- *Hand Delivery:* 830 MoDOT Drive, Jefferson City, MO 65102
- *Instructions:* All comments submitted must include the agency name and Application Number for this public notice. For detailed instructions on submitting comments, see the Public Participation heading of the Supplementary Information section of this notice. All comments received will be open and available for public inspection and MoDOT may publish those comments by any available means.

**COMMENTS RECEIVED
BECOME MoDOT PUBLIC RECORD**

- By submitting any comments to MoDOT, the person authorizes MoDOT to publish those comments by any available means.
- *Docket:* For access to the department's file, to read background documents or comments received, 830 MoDOT Drive, Jefferson City, MO 65102, between 7:30 a.m. and 4:00 p.m., CT, Monday through Friday, except state holidays.

FOR FURTHER INFORMATION CONTACT: Pam Lueckenotto, Motor Carrier Investigations Specialist, 636-288-6082, MoDOT Motor Carrier Services Division, PO Box 270, Jefferson City, MO 65102. Office hours are from 7:30 a.m. to 4:00 p.m., CT, Monday through Friday, except state holidays.

SUPPLEMENTARY INFORMATION:

Public Participation

If you want us to notify you that we received your comments, please include a self-addressed, stamped envelope or postcard.

Background

The individuals listed in this notice have recently filed applications requesting MoDOT to issue SPE Certificates to exempt them from the physical qualification requirements relating to vision in 49 CFR 391.41(b)(10), or to diabetes in 49 CFR 391.41(b)(3), which otherwise apply to drivers of CMVs in Missouri intrastate commerce.

Under section 622.555, RSMo, MoDOT may issue an SPE Certificate, for not more than a two- (2-) year period, if it finds that the applicant has the ability, while operating CMVs, to maintain a level of safety that is equivalent to or greater than the driver qualification standards of 49 CFR 391.41. Upon application, MoDOT may renew an exemption upon expiration.

Accordingly, the agency will evaluate the qualifications of each applicant to determine whether issuing an SPE Certificate will comply with the statutory requirements and will achieve the required level of safety. If granted, the SPE Certificate is only applicable to intrastate transportation wholly within Missouri.

Qualifications of Applicants

Application #289

New Applicant's Name & Age: David E. Akins, 36

Relevant Physical Condition: Vision impaired.

Mr. Akins has Amblyope (Lazy Eye) in his right eye and his best uncorrected visual acuity in his right eye is 20/50. His best uncorrected visual acuity in his left eye is 20/20 Snellen. Mr. Akins has had this visual impairment since April 1979.

Relevant Driving Experience: Mr. Akins has approximately ten (10) years of commercial motor vehicle experience. Mr. Akins currently has a Class A license. In addition, he has experience driving personal vehicle(s) daily.

Doctor's Opinion & Date: Following an examination in November 2015, a board-certified optometrist certified his condition would not adversely affect his ability to operate a commercial motor vehicle safely.

Traffic Accidents and Violations: Mr. Akins has had no tickets or accidents on record for the previous three (3) years.

Request for Comments

The Missouri Department of Transportation, Motor Carrier Services Division, pursuant to section 622.555, RSMo, and rule 7 CSR 10-25.010, requests public comment from all interested persons on the applications for issuance of Skill Performance Evaluation Certificates described in this notice. We will consider all comments received before the close of business on the closing date indicated earlier in this notice.

Issued on: November 18, 2015

Scott Marion, Motor Carrier Services Director, Missouri Department of Transportation.

**Title 7—DEPARTMENT OF TRANSPORTATION
Division 10—Missouri Highways and
Transportation Commission
Chapter 25—Motor Carrier Operations**

IN ADDITION

**7 CSR 10-25.010 Skill Performance Evaluation Certificates for
Commercial Drivers**

PUBLIC NOTICE

Public Notice and Request for Comments on Applications for Issuance of Skill Performance Evaluation Certificates to Intrastate Commercial Drivers with Diabetes Mellitus or Impaired Vision

SUMMARY: This notice publishes MoDOT's receipt of applications for the issuance of Skill Performance Evaluation (SPE) Certificates from individuals who do not meet the physical qualification requirements in the Federal Motor Carrier Safety Regulations for drivers of commercial motor vehicles in Missouri intrastate commerce because of impaired vision or an established medical history or clinical diagnosis of diabetes mellitus currently requiring insulin for control. If granted, the SPE Certificates will authorize these individuals to qualify as drivers of commercial motor vehicles (CMVs), in intrastate commerce only, without meeting the vision standard prescribed in 49 CFR 391.41(b)(10), if applicable, or the diabetes standard prescribed in 49 CFR 391.41(b)(3).

DATES: Comments must be received at the address stated below, on or before, February 1, 2016.

ADDRESSES: You may submit comments concerning an applicant, identified by the Application Number stated below, by any of the following methods:

- *Email:* Pamela.lueckenotto@modot.mo.gov
- *Mail:* PO Box 270, Jefferson City, MO 65102
- *Hand Delivery:* 830 MoDOT Drive, Jefferson City, MO 65102
- *Instructions:* All comments submitted must include the agency name and Application Number for this public notice. For detailed instructions on submitting comments, see the Public Participation heading of the Supplementary Information section of this notice. All comments received will be open and available for public inspection and MoDOT may publish those comments by any available means.

**COMMENTS RECEIVED
BECOME MoDOT PUBLIC RECORD**

- By submitting any comments to MoDOT, the person authorizes MoDOT to publish those comments by any available means.
- *Docket:* For access to the department's file, to read background documents or comments received, 830 MoDOT Drive, Jefferson City, MO 65102, between 7:30 a.m. and 4:00 p.m., CT, Monday through Friday, except state holidays.

FOR FURTHER INFORMATION CONTACT: Pam Lueckenotto, Motor Carrier Investigations Specialist, 636-288-6082, MoDOT Motor Carrier Services Division, PO Box 270, Jefferson City, MO 65102. Office hours are from 7:30 a.m. to 4:00 p.m., CT, Monday through Friday, except state holidays.

SUPPLEMENTARY INFORMATION:

Public Participation

If you want us to notify you that we received your comments, please include a self-addressed, stamped envelope or postcard.

Background

The individuals listed in this notice have recently filed applications

requesting MoDOT to issue SPE Certificates to exempt them from the physical qualification requirements relating to vision in 49 CFR 391.41(b)(10), or to diabetes in 49 CFR 391.41(b)(3), which otherwise apply to drivers of CMVs in Missouri intrastate commerce.

Under section 622.555, RSMo, MoDOT may issue an SPE Certificate, for not more than a two- (2-) year period, if it finds that the applicant has the ability, while operating CMVs, to maintain a level of safety that is equivalent to or greater than the driver qualification standards of 49 CFR 391.41. Upon application, MoDOT may renew an exemption upon expiration.

Accordingly, the agency will evaluate the qualifications of each applicant to determine whether issuing an SPE Certificate will comply with the statutory requirements and will achieve the required level of safety. If granted, the SPE Certificate is only applicable to intrastate transportation wholly within Missouri.

Qualifications of Applicants

Application #131

Renewal Applicant's Name & Age: Cody H. Heckemeyer, 26

Relevant Physical Condition: Insulin-treated diabetes mellitus (ITDM). Mr. Heckemeyer's best uncorrected visual acuity is 20/20 Snellen in both his right and left eyes. Mr. Heckemeyer has been an insulin treated diabetic since January 1999.

Relevant Driving Experience: Mr. Heckemeyer has approximately nine (9) years of commercial motor vehicle experience. Mr. Heckemeyer currently has a Class A license. In addition, he has experience driving personal vehicle(s) daily.

Doctor's Opinion & Date: Following an examination in June 2015, a board-certified endocrinologist certified his condition would not adversely affect his ability to operate a commercial motor vehicle safely.

Traffic Accidents and Violations: Mr. Heckemeyer has had no tickets or accidents on record for the previous three (3) years.

Request for Comments

The Missouri Department of Transportation, Motor Carrier Services Division, pursuant to section 622.555, RSMo, and rule 7 CSR 10-25.010, requests public comment from all interested persons on the applications for issuance of Skill Performance Evaluation Certificates described in this notice. We will consider all comments received before the close of business on the closing date indicated earlier in this notice.

Issued on: November 25, 2015

Scott Marion, Motor Carrier Services Director, Missouri Department of Transportation.

**Title 7—DEPARTMENT OF TRANSPORTATION
Division 10—Missouri Highways and
Transportation Commission
Chapter 25—Motor Carrier Operations**

IN ADDITION

**7 CSR 10-25.010 Skill Performance Evaluation Certificates for
Commercial Drivers**

PUBLIC NOTICE

Public Notice and Request for Comments on Applications for Issuance of Skill Performance Evaluation Certificates to Intrastate Commercial Drivers with Diabetes Mellitus or Impaired Vision

SUMMARY: This notice publishes MoDOT's receipt of applications for the issuance of Skill Performance Evaluation (SPE) Certificates from individuals who do not meet the physical qualification requirements in the Federal Motor Carrier Safety Regulations for drivers of commercial motor vehicles in Missouri intrastate commerce because of impaired vision or an established medical history or clinical diagnosis of diabetes mellitus currently requiring insulin for control. If granted, the SPE Certificates will authorize these individuals to qualify as drivers of commercial motor vehicles (CMVs), in intrastate commerce only, without meeting the vision standard prescribed in 49 CFR 391.41(b)(10), if applicable, or the diabetes standard prescribed in 49 CFR 391.41(b)(3).

DATES: Comments must be received at the address stated below, on or before, February 1, 2016.

ADDRESSES: You may submit comments concerning an applicant, identified by the Application Number stated below, by any of the following methods:

- *Email:* Pamela.lueckenotto@modot.mo.gov
- *Mail:* PO Box 270, Jefferson City, MO 65102
- *Hand Delivery:* 830 MoDOT Drive, Jefferson City, MO 65102
- *Instructions:* All comments submitted must include the agency name and Application Number for this public notice. For detailed instructions on submitting comments, see the Public Participation heading of the Supplementary Information section of this notice. All comments received will be open and available for public inspection and MoDOT may publish those comments by any available means.

**COMMENTS RECEIVED
BECOME MoDOT PUBLIC RECORD**

- By submitting any comments to MoDOT, the person authorizes MoDOT to publish those comments by any available means.
- *Docket:* For access to the department's file, to read background documents or comments received, 830 MoDOT Drive, Jefferson City, MO 65102, between 7:30 a.m. and 4:00 p.m., CT, Monday through Friday, except state holidays.

FOR FURTHER INFORMATION CONTACT: Pam Lueckenotto, Motor Carrier Investigations Specialist, 636-288-6082, MoDOT Motor Carrier Services Division, PO Box 270, Jefferson City, MO 65102. Office hours are from 7:30 a.m. to 4:00 p.m., CT, Monday through Friday, except state holidays.

SUPPLEMENTARY INFORMATION:**Public Participation**

If you want us to notify you that we received your comments, please include a self-addressed, stamped envelope or postcard.

Background

The individuals listed in this notice have recently filed applications requesting MoDOT to issue SPE Certificates to exempt them from the physical qualification requirements relating to vision in 49 CFR 391.41(b)(10), or to diabetes in 49 CFR 391.41(b)(3), which otherwise apply to drivers of CMVs in Missouri intrastate commerce.

Under section 622.555, RSMo, MoDOT may issue an SPE Certificate, for not more than a two- (2-) year period, if it finds that the applicant has the ability, while operating CMVs, to maintain a level of safety that is equivalent to or greater than the driver qualification standards of 49 CFR 391.41. Upon application, MoDOT may renew an exemption upon expiration.

Accordingly, the agency will evaluate the qualifications of each applicant to determine whether issuing an SPE Certificate will comply with the statutory requirements and will achieve the required level of safety. If granted, the SPE Certificate is only applicable to intrastate transportation wholly within Missouri.

Qualifications of Applicants**Application #147**

New Applicant's Name & Age: Dominic J. Bennett, 31

Relevant Physical Condition: Vision impaired.

Mr. Bennett has Amblyopia (Lazy Eye) in his left eye since birth and his best uncorrected visual acuity in his left eye is 20/80. His best uncorrected visual acuity in his right eye is 20/25 Snellen. Mr. Bennett has had this visual impairment since January 3, 1984.

Relevant Driving Experience: Mr. Bennett has approximately seven (7) years of commercial motor vehicle experience. Mr. Bennett currently has a Class A license. In addition, he has experience driving personal vehicle(s) daily.

Doctor's Opinion & Date: Following an examination in October 2015, a board-certified optometrist certified his condition would not adversely affect his ability to operate a commercial motor vehicle safely.

Traffic Accidents and Violations: Mr. Bennett has had no tickets or accidents on record for the previous three (3) years.

Request for Comments

The Missouri Department of Transportation, Motor Carrier Services Division, pursuant to section 622.555, RSMo, and rule 7 CSR 10-25.010, requests public comment from all interested persons on the applications for issuance of Skill Performance Evaluation Certificates described in this notice. We will consider all comments received before the close of business on the closing date indicated earlier in this notice.

Issued on: November 30, 2015

Scott Marion, Motor Carrier Services Director, Missouri Department of Transportation.

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION**

IN ADDITION

Pursuant to section 226.096, RSMo, regarding the Construction Claims Binding Arbitration Cap for the Missouri Department of Transportation, the Director of Insurance, Financial Institutions and Professional Registration is required to calculate the new limit.

Using Implicit Price Deflator (IPD) for Personal Consumption Expenditures (PCE), as required by section 226.096, RSMo, the Construction Claims Binding Arbitration Cap for the Missouri Department of Transportation effective January 1, 2016, was established by the following calculation:

Index Based on 2009 Dollars	
Third Quarter 2014 IPD Index	109.438
Third Quarter 2015 IPD Index	109.722

$$\text{New 2016 Limit} = 2015 \text{ Limit} \times (2015 \text{ Index}/2014 \text{ Index})$$

$$418,590 = 417,507 \times (109.722/109.438)$$

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION**

IN ADDITION

Pursuant to section 537.610, RSMo, regarding the Sovereign Immunity Limits for Missouri Public Entities, the Director of Insurance, Financial Institutions and Professional Registration is required to calculate the new limit on awards for liability.

Using Implicit Price Deflator (IPD) for Personal Consumption Expenditures (PCE), as required by section 537.610, RSMo, the two (2) new Sovereign Immunity Limits effective January 1, 2016, were established by the following calculations:

Index Based on 2009 Dollars	
Third Quarter 2014 IPD Index	109.438
Third Quarter 2015 IPD Index	109.722

New 2016 Limit = 2015 Limit × (2015 Index/2014 Index)

For all claims arising out of a single accident or occurrence:
 $2,734,567 = 2,727,489 \times (109.722/109.438)$

For any one (1) person in a single accident or occurrence:
 $410,185 = 409,123 \times (109.722/109.438)$

**Title 20—DEPARTMENT OF INSURANCE,
FINANCIAL INSTITUTIONS AND PROFESSIONAL
REGISTRATION**

IN ADDITION

Pursuant to section 105.711, RSMo, regarding the State Legal Expense Fund, the Director of Insurance, Financial Institutions and Professional Registration is required to calculate the new limit.

Using Implicit Price Deflator (IPD) for Personal Consumption Expenditures (PCE), as required by section 105.711, RSMo, the State Legal Expense Fund Limit effective January 1, 2016, was established by the following calculation:

Index Based on 2009 Dollars	
Third Quarter 2014 IPD Index	109.438
Third Quarter 2015 IPD Index	109.722

New 2016 Limit = 2015 Limit × (2015 Index/2014 Index)

$428,195 = 427,087 \times (109.722/109.438)$

The Secretary of State is required by sections 347.141 and 359.481, RSMo 2000, to publish dissolutions of limited liability companies and limited partnerships. The content requirements for the one-time publishing of these notices are prescribed by statute. This listing is published pursuant to these statutes. We request that documents submitted for publication in this section be submitted in camera ready 8 1/2" x 11" manuscript by email to dissolutions@sos.mo.gov.

NOTICE OF DISSOLUTION OF CORPORATION

NOTICE OF DISSOLUTION TO ALL UNKNOWN CREDITORS OF AND UNKNOWN CLAIMANTS AGAINST SOUTH GASCONADE COUNTY HEALTHCARE, INC., a Missouri General Business Corporation.

On November 17, 2015, SOUTH GASCONADE COUNTY HEALTHCARE, INC. ("Corporation"), a Missouri General Business Corporation, filed its Articles of Dissolution by Voluntary Action for a General Business Corporation with the Missouri Secretary of State. Dissolution is effective as of November 17, 2015.

The Corporation requests that all persons and organizations with claims against it present them immediately by letter to the Corporation at P.O. Box 1251, Jefferson City MO 65102-1251.

All claims must include: the name and address of the claimant; the amount claimed; the basis for the claim; and the date(s) on which the event(s) on which the claim is based occurred.

Because of the dissolution of the Corporation, any claims against it will be barred unless a proceeding to enforce the claim is commenced within two (2) years after the publication date of the two notices authorized by statute, whichever is published last.

Cathy Lloyd, President

NOTICE OF DISSOLUTION OF CORPORATION

NOTICE OF DISSOLUTION TO ALL UNKNOWN CREDITORS OF AND UNKNOWN CLAIMANTS AGAINST FRENE VALLEY HEALTHCARE MANAGEMENT, INC., a Missouri General Business Corporation.

On November 17, 2015, FRENE VALLEY HEALTHCARE MANAGEMENT, INC. ("Corporation"), a Missouri General Business Corporation, filed its Articles of Dissolution by Voluntary Action for a General Business Corporation with the Missouri Secretary of State. Dissolution is effective as of November 17, 2015.

The Corporation requests that all persons and organizations with claims against it present them immediately by letter to the Corporation at P.O. Box 1251, Jefferson City MO 65102-1251.

All claims must include: the name and address of the claimant; the amount claimed; the basis for the claim; and the date(s) on which the event(s) on which the claim is based occurred.

Because of the dissolution of the Corporation, any claims against it will be barred unless a proceeding to enforce the claim is commenced within two (2) years after the publication date of the two notices authorized by statute, whichever is published last.

Cathy Lloyd, President

NOTICE OF DISSOLUTION OF CORPORATION

NOTICE OF DISSOLUTION TO ALL UNKNOWN CREDITORS OF AND UNKNOWN CLAIMANTS AGAINST FRENE VALLEY CORPORATION, a Missouri General Business Corporation.

On November 17, 2015, FRENE VALLEY CORPORATION ("Corporation"), a Missouri General Business Corporation, filed its Articles of Dissolution by Voluntary Action for a General Business Corporation with the Missouri Secretary of State. Dissolution is effective as of November 17, 2015.

The Corporation requests that all persons and organizations with claims against it present them immediately by letter to the Corporation at P.O. Box 1251, Jefferson City MO 65102-1251.

All claims must include: the name and address of the claimant; the amount claimed; the basis for the claim; and the date(s) on which the event(s) on which the claim is based occurred.

Because of the dissolution of the Corporation, any claims against it will be barred unless a proceeding to enforce the claim is commenced within two (2) years after the publication date of the two notices authorized by statute, whichever is published last.

Cathy Lloyd, President

**Notice of Cancellation of
Limited Partnership
To All Creditors of and
Claimants Against
Mohr Family Partnership, L.P.**

On November 23, 2015, Mohr Family Partnership, L.P. ("the Partnership"), a Missouri limited partnership filed its Certificate of Cancellation of Registration of Limited Partnership with the Missouri Secretary of State, effective on November 23, 2015.

Any claims against the Partnership may be sent to: Blitz, Bardgett & Deutsch, L.C., Attn: Bridget M. Nave, 120 South Central Avenue, Ste 1500, St. Louis, MO 63105. Each claim must include the following information: the name, address and phone number of the claimant; the amount claimed; the date on which the claim arose; the basis for the claim; and documentation for the claim.

All claims against the Partnership will be barred unless a proceeding to enforce the claim is commenced within three (3) years after the publication of this Notice.

NOTICE OF DISSOLUTION
OF LIMITED LIABILITY COMPANY
TO ALL CREDITORS OF
AND CLAIMANTS AGAINST
PATIENTS FIRST HEALTH CARE, L.L.C.

On November 13, 2015, Patients First Health Care, L.L.C., a Missouri Limited Liability Company (the "LLC"), filed its Notice of Winding Up for Limited Liability Company with the Missouri Secretary of State. You are hereby notified that if you believe you have a claim against the LLC, you must submit a summary in writing of the circumstances surrounding your claim to Michael D. Regan, Attorney, Lashly & Baer, P.C., 714 Locust Street, St. Louis, Missouri 63101.

All claims must include:

1. The name, address and telephone number of the claimant;
2. The amount of the claim;
3. The basis for the claim; and
4. The date(s) on which the event(s) on which the claim is based occurred.

All claims will be barred unless a proceeding to enforce the claim is commenced within three years after the date of the publication of this notice.

NOTICE OF DISSOLUTION
OF LIMITED LIABILITY COMPANY
TO ALL CREDITORS OF
AND CLAIMANTS AGAINST
PATIENTS FIRST SURGERY CENTER, LLC

On November 13, 2015, Patients First Surgery Center, LLC, a Missouri Limited Liability Company (the "LLC"), filed its Notice of Winding Up for Limited Liability Company with the Missouri Secretary of State. You are hereby notified that if you believe you have a claim against the LLC, you must submit a summary in writing of the circumstances surrounding your claim to Michael D. Regan, Attorney, Lashly & Baer, P.C., 714 Locust Street, St. Louis, Missouri 63101.

All claims must include:

1. The name, address and telephone number of the claimant;
2. The amount of the claim;
3. The basis for the claim; and
4. The date(s) on which the event(s) on which the claim is based occurred.

All claims will be barred unless a proceeding to enforce the claim is commenced within three years after the date of the publication of this notice.

**NOTICE OF DISSOLUTION
OF LIMITED LIABILITY COMPANY
TO ALL CREDITORS OF
AND CLAIMANTS AGAINST
PFHC MEDICAL MANAGEMENT, L.L.C.**

On November 13, 2015, PFHC Medical Management, L.L.C., a Missouri Limited Liability Company (the "LLC"), filed its Notice of Winding Up for Limited Liability Company with the Missouri Secretary of State. You are hereby notified that if you believe you have a claim against the LLC, you must submit a summary in writing of the circumstances surrounding your claim to Michael D. Regan, Attorney, Lashly & Baer, P.C., 714 Locust Street, St. Louis, Missouri 63101.

All claims must include:

1. The name, address and telephone number of the claimant;
2. The amount of the claim;
3. The basis for the claim; and
4. The date(s) on which the event(s) on which the claim is based occurred.

All claims will be barred unless a proceeding to enforce the claim is commenced within three years after the date of the publication of this notice.

**NOTICE OF DISSOLUTION OF
LIMITED LIABILITY COMPANY
TO ALL CREDITORS OF
AND CLAIMANTS AGAINST
CET, LLC**

On December 1, 2015, CET, LLC, a Missouri limited liability company, filed its Notice of Winding Up for a Limited Liability Company with the Missouri Secretary of State, effective on that date.

CET, LLC requests that all persons and organizations who have claims against it present those claims by letter to Hook & Associates, LLC, 1589 Highway Z, Pevely, MO 63070. Each claim must include: the name, address and phone number of the claimant; amount claimed; date on which the claim arose; basis for the claim; if the claim is secured, and if so, the collateral used as security; and documentation to support the claim.

Because of the dissolution, all claims against CET, LLC will be barred unless a proceeding to enforce the claim is commenced within three years after the publication of this notice.

NOTICE OF DISSOLUTION
TO ALL CREDITORS OF AND CLAIMANTS AGAINST
DAVID SHERMAN III ASSOCIATES, L.P.

On November 25, 2015, DAVID SHERMAN III ASSOCIATES, L.P., a Missouri limited partnership, was dissolved upon the filing of a Cancellation of Registration with the Secretary of State.

Said partnership requests that all persons and organizations who have claims against it present them immediately by letter to: David S. Sherman III, 190 Carondelet Plaza, Suite 1450, Clayton, Missouri 63105. All claims must include the claimant's name, address and telephone number, the amount, date and basis for the claim.

ANY CLAIMS AGAINST DAVID SHERMAN III ASSOCIATES, L.P. WILL BE BARRED UNLESS A PROCEEDING TO ENFORCE THE CLAIM IS COMMENCED WITHIN THREE YEARS AFTER THE LAST PUBLICATION DATE OF THE NOTICES AUTHORIZED BY STATUTE.

Rule Changes Since Update to Code of State Regulations

This cumulative table gives you the latest status of rules. It contains citations of rulemakings adopted or proposed after deadline for the monthly Update Service to the *Code of State Regulations*, citations are to volume and page number in the *Missouri Register*, except for material in this issue. The first number in the table cite refers to the volume number or the publication year—39 (2014) and 40 (2015). MoReg refers to *Missouri Register* and the numbers refer to a specific *Register* page, R indicates a rescission, W indicates a withdrawal, S indicates a statement of actual cost, T indicates an order terminating a rule, N.A. indicates not applicable, RAN indicates a rule action notice, RUC indicates a rule under consideration, and F indicates future effective date.

Rule Number	Agency	Emergency	Proposed	Order	In Addition
OFFICE OF ADMINISTRATION					
1 CSR	Office of Administration				40 MoReg 851
1 CSR 10	State Officials' Salary Compensation Schedule				38 MoReg 2053 39 MoReg 2074 40 MoReg 1836
1 CSR 10-15.010	Commissioner of Administration	40 MoReg 1345	40 MoReg 1346		
1 CSR 50-2.015	Missouri Ethics Commission		40 MoReg 1255		
1 CSR 50-2.020	Missouri Ethics Commission		40 MoReg 1256		
1 CSR 50-2.030	Missouri Ethics Commission		40 MoReg 1256		
1 CSR 50-2.040	Missouri Ethics Commission		40 MoReg 1256		
1 CSR 50-2.075	Missouri Ethics Commission		40 MoReg 1257		
1 CSR 50-2.100	Missouri Ethics Commission		40 MoReg 1257		
1 CSR 50-2.110	Missouri Ethics Commission		40 MoReg 1257		
1 CSR 50-2.120	Missouri Ethics Commission		40 MoReg 1258		
1 CSR 50-2.130	Missouri Ethics Commission		40 MoReg 1258		
1 CSR 50-2.140	Missouri Ethics Commission		40 MoReg 1259		
1 CSR 50-4.010	Missouri Ethics Commission		40 MoReg 1259		
DEPARTMENT OF AGRICULTURE					
2 CSR	Department of Agriculture				40 MoReg 851
2 CSR 30-10.010	Animal Health	40 MoReg 1623			
2 CSR 90-10	Weights and Measures				39 MoReg 1399 40 MoReg 1046
2 CSR 100-2.020	Missouri Agricultural and Small Business Development Authority		40 MoReg 1089	40 MoReg 1831	
2 CSR 100-2.040	Missouri Agricultural and Small Business Development Authority		40 MoReg 1089	40 MoReg 1831	
DEPARTMENT OF CONSERVATION					
3 CSR	Department of Conservation				40 MoReg 851
3 CSR 10-1.010	Conservation Commission		40 MoReg 1259		
3 CSR 10-5.205	Conservation Commission		40 MoReg 1261		
3 CSR 10-6.505	Conservation Commission		40 MoReg 1261		
3 CSR 10-7.410	Conservation Commission		40 MoReg 1262		
3 CSR 10-7.431	Conservation Commission		40 MoReg 1262		
3 CSR 10-7.434	Conservation Commission		40 MoReg 1263		
3 CSR 10-7.455	Conservation Commission		40 MoReg 1263		
3 CSR 10-10.722	Conservation Commission		40 MoReg 1264		
3 CSR 10-11.115	Conservation Commission		40 MoReg 1264		
3 CSR 10-11.130	Conservation Commission		40 MoReg 1265		
3 CSR 10-11.180	Conservation Commission		40 MoReg 1265		
3 CSR 10-11.186	Conservation Commission		40 MoReg 1267		
3 CSR 10-11.205	Conservation Commission		40 MoReg 1268		
3 CSR 10-12.109	Conservation Commission		40 MoReg 1268		
3 CSR 10-12.110	Conservation Commission		40 MoReg 1269		
3 CSR 10-12.115	Conservation Commission		40 MoReg 1269		
3 CSR 10-12.125	Conservation Commission		40 MoReg 1270		
3 CSR 10-12.135	Conservation Commission		40 MoReg 1270		
3 CSR 10-12.140	Conservation Commission		40 MoReg 1274		
3 CSR 10-12.145	Conservation Commission		40 MoReg 1277		
DEPARTMENT OF ECONOMIC DEVELOPMENT					
4 CSR	Department of Economic Development				40 MoReg 851
4 CSR 85-11.010	Division of Business and Community Services		40 MoReg 871	40 MoReg 1831	
4 CSR 85-11.020	Division of Business and Community Services		40 MoReg 871	40 MoReg 1831	
4 CSR 240-2.061	Public Service Commission		40 MoReg 520R	40 MoReg 1542R	
4 CSR 240-2.062	Public Service Commission		40 MoReg 520R	40 MoReg 1542R	
4 CSR 240-3.500	Public Service Commission		40 MoReg 520R	40 MoReg 1542R	
4 CSR 240-3.505	Public Service Commission		40 MoReg 521R	40 MoReg 1543R	
4 CSR 240-3.510	Public Service Commission		40 MoReg 521R	40 MoReg 1543R	
4 CSR 240-3.513	Public Service Commission		40 MoReg 521R	40 MoReg 1543R	
4 CSR 240-3.515	Public Service Commission		40 MoReg 522R	40 MoReg 1543R	
4 CSR 240-3.520	Public Service Commission		40 MoReg 522R	40 MoReg 1544R	
4 CSR 240-3.525	Public Service Commission		40 MoReg 523R	40 MoReg 1544R	
4 CSR 240-3.530	Public Service Commission		40 MoReg 523R	40 MoReg 1544R	
4 CSR 240-3.535	Public Service Commission		40 MoReg 523R	40 MoReg 1544R	
4 CSR 240-3.540	Public Service Commission		40 MoReg 524R	40 MoReg 1545R	
4 CSR 240-3.545	Public Service Commission		40 MoReg 524R	40 MoReg 1545R	
4 CSR 240-3.550	Public Service Commission		40 MoReg 524R	40 MoReg 1545R	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
4 CSR 240-3.555	Public Service Commission		40 MoReg 525R	40 MoReg 1545R	
4 CSR 240-3.560	Public Service Commission		40 MoReg 525R	40 MoReg 1546R	
4 CSR 240-3.565	Public Service Commission		40 MoReg 526R	40 MoReg 1546R	
4 CSR 240-28.010	Public Service Commission		40 MoReg 555	40 MoReg 1546	
4 CSR 240-28.020	Public Service Commission		40 MoReg 555	40 MoReg 1547	
4 CSR 240-28.030	Public Service Commission		40 MoReg 556	40 MoReg 1548	
4 CSR 240-28.040	Public Service Commission		40 MoReg 558	40 MoReg 1548	
4 CSR 240-28.050	Public Service Commission		40 MoReg 559	40 MoReg 1549	
4 CSR 240-28.060	Public Service Commission		40 MoReg 560	40 MoReg 1550	
4 CSR 240-28.070	Public Service Commission		40 MoReg 561	40 MoReg 1551	
4 CSR 240-28.080	Public Service Commission		40 MoReg 562	40 MoReg 1551	
4 CSR 240-28.090	Public Service Commission		40 MoReg 563	40 MoReg 1552	
4 CSR 240-30.020	Public Service Commission		40 MoReg 564R	40 MoReg 1553R	
4 CSR 240-30.040	Public Service Commission		40 MoReg 564R	40 MoReg 1553R	
4 CSR 240-32.010	Public Service Commission		40 MoReg 564R	40 MoReg 1553R	
4 CSR 240-32.020	Public Service Commission		40 MoReg 565R	40 MoReg 1553R	
4 CSR 240-32.040	Public Service Commission		40 MoReg 565R	40 MoReg 1554R	
4 CSR 240-32.050	Public Service Commission		40 MoReg 566R	40 MoReg 1554R	
4 CSR 240-32.060	Public Service Commission		40 MoReg 566R	40 MoReg 1554R	
4 CSR 240-32.070	Public Service Commission		40 MoReg 566R	40 MoReg 1554R	
4 CSR 240-32.080	Public Service Commission		40 MoReg 567R	40 MoReg 1555R	
4 CSR 240-32.090	Public Service Commission		40 MoReg 567R	40 MoReg 1555R	
4 CSR 240-32.100	Public Service Commission		40 MoReg 567R	40 MoReg 1555R	
4 CSR 240-32.120	Public Service Commission		40 MoReg 568R	40 MoReg 1555R	
4 CSR 240-32.130	Public Service Commission		40 MoReg 568R	40 MoReg 1556R	
4 CSR 240-32.140	Public Service Commission		40 MoReg 569R	40 MoReg 1556R	
4 CSR 240-32.150	Public Service Commission		40 MoReg 569R	40 MoReg 1556R	
4 CSR 240-32.160	Public Service Commission		40 MoReg 569R	40 MoReg 1556R	
4 CSR 240-32.170	Public Service Commission		40 MoReg 570R	40 MoReg 1557R	
4 CSR 240-32.180	Public Service Commission		40 MoReg 570R	40 MoReg 1557R	
4 CSR 240-32.190	Public Service Commission		40 MoReg 570R	40 MoReg 1557R	
4 CSR 240-32.200	Public Service Commission		40 MoReg 571R	40 MoReg 1557R	
4 CSR 240-33.010	Public Service Commission		40 MoReg 571R	40 MoReg 1557R	
4 CSR 240-33.020	Public Service Commission		40 MoReg 572R	40 MoReg 1558R	
4 CSR 240-33.040	Public Service Commission		40 MoReg 572R	40 MoReg 1558R	
4 CSR 240-33.045	Public Service Commission		40 MoReg 572R	40 MoReg 1558R	
4 CSR 240-33.050	Public Service Commission		40 MoReg 573R	40 MoReg 1558R	
4 CSR 240-33.060	Public Service Commission		40 MoReg 573R	40 MoReg 1559R	
4 CSR 240-33.070	Public Service Commission		40 MoReg 574R	40 MoReg 1559R	
4 CSR 240-33.080	Public Service Commission		40 MoReg 574R	40 MoReg 1559R	
4 CSR 240-33.090	Public Service Commission		40 MoReg 574R	40 MoReg 1559R	
4 CSR 240-33.100	Public Service Commission		40 MoReg 575R	40 MoReg 1560R	
4 CSR 240-33.110	Public Service Commission		40 MoReg 575R	40 MoReg 1560R	
4 CSR 240-33.120	Public Service Commission		40 MoReg 575R	40 MoReg 1560R	
4 CSR 240-33.130	Public Service Commission		40 MoReg 576R	40 MoReg 1560R	
4 CSR 240-33.140	Public Service Commission		40 MoReg 576R	40 MoReg 1561R	
4 CSR 240-33.150	Public Service Commission		40 MoReg 577R	40 MoReg 1561R	
4 CSR 240-33.160	Public Service Commission		40 MoReg 577R	40 MoReg 1561R	
4 CSR 240-33.170	Public Service Commission		40 MoReg 577R	40 MoReg 1561R	
4 CSR 340-2	Division of Energy				40 MoReg 1046
4 CSR 340-4.010	Division of Energy	40 MoReg 1863	40 MoReg 1877		
DEPARTMENT OF ELEMENTARY AND SECONDARY EDUCATION					
5 CSR	Department of Elementary and Secondary Education				40 MoReg 851
5 CSR 20-600.110	Division of Learning Services		40 MoReg 834	40 MoReg 1562	
5 CSR 30-4.030	Division of Financial and Administrative Services		40 MoReg 1277		
5 CSR 30-640.200	Division of Financial and Administrative Services		40 MoReg 834	40 MoReg 1832	
DEPARTMENT OF HIGHER EDUCATION					
6 CSR	Department of Higher Education				40 MoReg 851
6 CSR 10-3.010	Commissioner of Higher Education		40 MoReg 1533		
DEPARTMENT OF TRANSPORTATION					
7 CSR 10-25.010	Missouri Highways and Transportation Commission				40 MoReg 1595 40 MoReg 1596 40 MoReg 1596 40 MoReg 1645 40 MoReg 1646 40 MoReg 1839 This Issue This Issue This Issue
7 CSR 10-25.030	Missouri Highways and Transportation Commission		40 MoReg 751	40 MoReg 1643	
DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS					
8 CSR 30-3.010	Division of Labor Standards	40 MoReg 1864	40 MoReg 1878		
8 CSR 50-2.025	Division of Workers' Compensation		40 MoReg 930	This Issue	
DEPARTMENT OF NATURAL RESOURCES					
10 CSR 10-6.060	Air Conservation Commission		40 MoReg 1142		
10 CSR 10-6.065	Air Conservation Commission		40 MoReg 1155		
10 CSR 10-6.241	Air Conservation Commission		40 MoReg 1013	This Issue	
10 CSR 10-6.250	Air Conservation Commission		40 MoReg 1023	This Issue	
10 CSR 10-6.372	Air Conservation Commission		40 MoReg 753	40 MoReg 1562	
10 CSR 10-6.374	Air Conservation Commission		40 MoReg 765	40 MoReg 1565	
10 CSR 10-6.376	Air Conservation Commission		40 MoReg 777	40 MoReg 1572	
10 CSR 25-3.260	Hazardous Waste Management Commission		40 MoReg 626	40 MoReg 1575	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
10 CSR 25-4.261	Hazardous Waste Management Commission		40 MoReg 629	40 MoReg 1576	
10 CSR 25-5.262	Hazardous Waste Management Commission		40 MoReg 631	40 MoReg 1577	
10 CSR 25-6.263	Hazardous Waste Management Commission		40 MoReg 639	40 MoReg 1587	
10 CSR 25-7.264	Hazardous Waste Management Commission		40 MoReg 639	40 MoReg 1587	
10 CSR 25-7.265	Hazardous Waste Management Commission		40 MoReg 650	40 MoReg 1588	
10 CSR 25-7.266	Hazardous Waste Management Commission		40 MoReg 655	40 MoReg 1589	
10 CSR 25-7.268	Hazardous Waste Management Commission		40 MoReg 656	40 MoReg 1589	
10 CSR 25-7.270	Hazardous Waste Management Commission		40 MoReg 657	40 MoReg 1590	
10 CSR 25-8.124	Hazardous Waste Management Commission		40 MoReg 662	40 MoReg 1590	
10 CSR 25-9.020	Hazardous Waste Management Commission		40 MoReg 663	40 MoReg 1591	
10 CSR 25-11.279	Hazardous Waste Management Commission		40 MoReg 665	40 MoReg 1592	
10 CSR 25-12.010	Hazardous Waste Management Commission		40 MoReg 872	40 MoReg 1897	
10 CSR 25-13.010	Hazardous Waste Management Commission		40 MoReg 666	40 MoReg 1593	
10 CSR 25-16.273	Hazardous Waste Management Commission		40 MoReg 670	40 MoReg 1594	
10 CSR 40-10.020	Land Reclamation Commission		40 MoReg 1173	This Issue	
10 CSR 50-1.010	Oil and Gas Council		40 MoReg 1420		
10 CSR 50-1.020	Oil and Gas Council		40 MoReg 1420		
10 CSR 50-1.030	Oil and Gas Council		40 MoReg 1421		
10 CSR 50-1.040	Oil and Gas Council		40 MoReg 1424		
10 CSR 50-1.050	Oil and Gas Council		40 MoReg 1427		
10 CSR 50-2.010	Oil and Gas Council		40 MoReg 1432		
10 CSR 50-2.020	Oil and Gas Council		40 MoReg 1436		
10 CSR 50-2.030	Oil and Gas Council		40 MoReg 1442		
10 CSR 50-2.040	Oil and Gas Council		40 MoReg 1444		
10 CSR 50-2.050	Oil and Gas Council		40 MoReg 1450		
10 CSR 50-2.055	Oil and Gas Council		40 MoReg 1451		
10 CSR 50-2.060	Oil and Gas Council		40 MoReg 1456		
10 CSR 50-2.065	Oil and Gas Council		40 MoReg 1458		
10 CSR 50-2.070	Oil and Gas Council		40 MoReg 1462R		
10 CSR 50-2.080	Oil and Gas Council		40 MoReg 1462		
10 CSR 50-2.090	Oil and Gas Council		40 MoReg 1466		
10 CSR 50-2.100	Oil and Gas Council		40 MoReg 1466		
10 CSR 50-2.110	Oil and Gas Council		40 MoReg 1467R		
10 CSR 50-2.120	Oil and Gas Council		40 MoReg 1467		
10 CSR 50-3.010	Oil and Gas Council		40 MoReg 1467		
10 CSR 50-3.020	Oil and Gas Council		40 MoReg 1469		
10 CSR 50-4.010	Oil and Gas Council		40 MoReg 1472		
10 CSR 50-4.020	Oil and Gas Council		40 MoReg 1472		
10 CSR 50-5.010	Oil and Gas Council		40 MoReg 1473		
10 CSR 60-2.015	Safe Drinking Water Commission		40 MoReg 1177		
10 CSR 60-4.020	Safe Drinking Water Commission		40 MoReg 1179		
10 CSR 60-4.022	Safe Drinking Water Commission		40 MoReg 1179		
10 CSR 60-4.025	Safe Drinking Water Commission		40 MoReg 1200		
10 CSR 60-4.055	Safe Drinking Water Commission		40 MoReg 1201		
10 CSR 60-5.010	Safe Drinking Water Commission		40 MoReg 1201		
10 CSR 60-7.010	Safe Drinking Water Commission		40 MoReg 1201		
10 CSR 60-8.010	Safe Drinking Water Commission		40 MoReg 1202		
10 CSR 60-8.030	Safe Drinking Water Commission		40 MoReg 1204		
10 CSR 60-9.010	Safe Drinking Water Commission		40 MoReg 1216		
DEPARTMENT OF PUBLIC SAFETY					
11 CSR 30-12.020	Office of the Director	40 MoReg 1689	40 MoReg 1758		
11 CSR 45-1.090	Missouri Gaming Commission		40 MoReg 1280		
11 CSR 45-4.020	Missouri Gaming Commission		40 MoReg 1280		
11 CSR 45-4.030	Missouri Gaming Commission		40 MoReg 1280		
11 CSR 45-5.070	Missouri Gaming Commission		40 MoReg 1878		
11 CSR 45-9.030	Missouri Gaming Commission		40 MoReg 1281		
11 CSR 45-9.050	Missouri Gaming Commission		40 MoReg 1283		
11 CSR 45-9.060	Missouri Gaming Commission		40 MoReg 1283		
11 CSR 45-9.101	Missouri Gaming Commission		40 MoReg 1283		
11 CSR 45-9.103	Missouri Gaming Commission		40 MoReg 1284		
11 CSR 45-9.117	Missouri Gaming Commission		40 MoReg 1879		
11 CSR 45-13.051	Missouri Gaming Commission		40 MoReg 930		
11 CSR 45-13.065	Missouri Gaming Commission		40 MoReg 931		
11 CSR 45-30.025	Missouri Gaming Commission		40 MoReg 932		
11 CSR 45-30.060	Missouri Gaming Commission		40 MoReg 932R		
11 CSR 45-30.070	Missouri Gaming Commission		40 MoReg 932		
11 CSR 45-30.090	Missouri Gaming Commission		40 MoReg 933		
11 CSR 45-30.130	Missouri Gaming Commission		40 MoReg 933		
11 CSR 45-30.140	Missouri Gaming Commission		40 MoReg 934		
11 CSR 45-30.145	Missouri Gaming Commission		40 MoReg 934R		
11 CSR 45-30.150	Missouri Gaming Commission		40 MoReg 934		
11 CSR 45-30.155	Missouri Gaming Commission		40 MoReg 935		
11 CSR 45-30.175	Missouri Gaming Commission		40 MoReg 935		
11 CSR 45-30.180	Missouri Gaming Commission		40 MoReg 936		
11 CSR 45-30.190	Missouri Gaming Commission		40 MoReg 936		
11 CSR 45-30.200	Missouri Gaming Commission		40 MoReg 937		
11 CSR 45-30.235	Missouri Gaming Commission		40 MoReg 937		
11 CSR 45-30.250	Missouri Gaming Commission		40 MoReg 938R		
11 CSR 45-30.270	Missouri Gaming Commission		40 MoReg 938		
11 CSR 45-30.280	Missouri Gaming Commission		40 MoReg 939R		
			40 MoReg 939		
11 CSR 45-30.340	Missouri Gaming Commission		40 MoReg 940		
11 CSR 45-30.355	Missouri Gaming Commission		40 MoReg 940		
11 CSR 45-30.357	Missouri Gaming Commission		40 MoReg 941		
11 CSR 45-30.370	Missouri Gaming Commission		40 MoReg 941		
11 CSR 45-30.523	Missouri Gaming Commission		40 MoReg 942		

Rule Number	Agency	Emergency	Proposed	Order	In Addition
11 CSR 45-30.525	Missouri Gaming Commission		40 MoReg 942		
11 CSR 45-30.535	Missouri Gaming Commission		40 MoReg 943		
11 CSR 45-30.540	Missouri Gaming Commission		40 MoReg 944		
11 CSR 45-30.545	Missouri Gaming Commission		40 MoReg 944		
11 CSR 45-30.550	Missouri Gaming Commission		40 MoReg 944		
11 CSR 45-30.575	Missouri Gaming Commission		40 MoReg 945		
11 CSR 45-30.580	Missouri Gaming Commission		40 MoReg 945		
11 CSR 45-30.590	Missouri Gaming Commission		40 MoReg 946		
11 CSR 45-30.600	Missouri Gaming Commission		40 MoReg 947		
11 CSR 45-30.610	Missouri Gaming Commission		40 MoReg 948		
11 CSR 75-18.010	Peace Officer Standards and Training Program		40 MoReg 232	40 MoReg 969	
11 CSR 75-18.020	Peace Officer Standards and Training Program		40 MoReg 233	40 MoReg 973	
11 CSR 75-18.030	Peace Officer Standards and Training Program		40 MoReg 234	40 MoReg 973	
11 CSR 75-18.040	Peace Officer Standards and Training Program		40 MoReg 234	40 MoReg 976	
11 CSR 75-18.050	Peace Officer Standards and Training Program		40 MoReg 235	40 MoReg 976	
11 CSR 75-18.060	Peace Officer Standards and Training Program		40 MoReg 235	40 MoReg 976	
11 CSR 75-18.070	Peace Officer Standards and Training Program		40 MoReg 236	40 MoReg 976	
DEPARTMENT OF REVENUE					
12 CSR 10-41.010	Director of Revenue	40 MoReg 1690	40 MoReg 1761		
12 CSR 10-44.100	Director of Revenue	40 MoReg 1243	40 MoReg 1284		
DEPARTMENT OF SOCIAL SERVICES					
13 CSR 5-1.030	Office of the Director		40 MoReg 1090	This Issue	
13 CSR 35-31.015	Children's Division (<i>Changed from 13 CSR 40-31.011</i>)		40 MoReg 1090	This Issue	
13 CSR 35-60.010	Children's Division		40 MoReg 1476		
13 CSR 35-60.020	Children's Division		40 MoReg 1477		
13 CSR 35-60.030	Children's Division		40 MoReg 1478		
13 CSR 35-60.040	Children's Division		40 MoReg 1478		
13 CSR 35-60.050	Children's Division		40 MoReg 1479		
13 CSR 35-60.060	Children's Division		40 MoReg 1479		
13 CSR 35-60.080	Children's Division		40 MoReg 1479		
13 CSR 35-60.090	Children's Division		40 MoReg 1480		
13 CSR 35-60.100	Children's Division		40 MoReg 1481		
13 CSR 35-60.110	Children's Division		40 MoReg 1482		
13 CSR 40-2.015	Family Support Division		40 MoReg 949	40 MoReg 1643	
13 CSR 40-2.300	Family Support Division	40 MoReg 1244	40 MoReg 1285		
13 CSR 40-2.310	Family Support Division	40 MoReg 1245	40 MoReg 1286		
13 CSR 40-2.315	Family Support Division	40 MoReg 1247	40 MoReg 1299		
13 CSR 40-31.011	Family Support Division (<i>Changed to 13 CSR 35-31.015</i>)		40 MoReg 1090	This Issue	
13 CSR 40-31.012	Family Support Division		40 MoReg 1091R	This IssueR	
13 CSR 70-3.030	MO HealthNet Division		40 MoReg 1631		
13 CSR 70-10.015	MO HealthNet Division		40 MoReg 1633		
13 CSR 70-10.016	MO HealthNet Division		40 MoReg 1879		
13 CSR 70-10.110	MO HealthNet Division	40 MoReg 923	40 MoReg 951	40 MoReg 1833	
13 CSR 70-15.010	MO HealthNet Division	40 MoReg 923	40 MoReg 956	40 MoReg 1833	
13 CSR 70-15.020	MO HealthNet Division		40 MoReg 1639		
13 CSR 70-15.110	MO HealthNet Division	40 MoReg 924	40 MoReg 958	40 MoReg 1833	
13 CSR 70-15.220	MO HealthNet Division		40 MoReg 176	40 MoReg 977	
13 CSR 70-20.330	MO HealthNet Division		40 MoReg 1091	40 MoReg 1898	
13 CSR 70-20.340	MO HealthNet Division	40 MoReg 926	40 MoReg 964	This Issue	
13 CSR 70-92.010	MO HealthNet Division		40 MoReg 965R	40 MoReg 1643R	
DEPARTMENT OF CORRECTIONS					
14 CSR 80-5.010	State Board of Probation and Parole		40 MoReg 1533		
14 CSR 80-5.020	State Board of Probation and Parole		40 MoReg 1534		
ELECTED OFFICIALS					
15 CSR 30-200.010	Secretary of State		40 MoReg 1883		
15 CSR 40-3.030	State Auditor	40 MoReg 1250	40 MoReg 1307		
15 CSR 40-3.170	State Auditor	This Issue	40 MoReg 1307		
15 CSR 40-3.180	State Auditor	This Issue	40 MoReg 1310		
15 CSR 60-8.100	Attorney General		This Issue		
PUBLIC DEFENDER COMMISSION					
18 CSR 10-5.010	Office of State Public Defender		39 MoReg 1275		
18 CSR 10-6.010	Office of State Public Defender		40 MoReg 1483		
DEPARTMENT OF HEALTH AND SENIOR SERVICES					
19 CSR 15-8.410	Division of Senior and Disability Services		40 MoReg 131		
19 CSR 15-9.100	Division of Senior and Disability Services		40 MoReg 1312		
19 CSR 15-9.200	Division of Senior and Disability Services		40 MoReg 1312		
19 CSR 20-20.020	Division of Community and Public Health		40 MoReg 1883		
19 CSR 20-20.080	Division of Community and Public Health		40 MoReg 1885		
19 CSR 30-61.085	Division of Regulation and Licensure	40 MoReg 1865	40 MoReg 1885		
19 CSR 30-61.095	Division of Regulation and Licensure	40 MoReg 1865	40 MoReg 1886		
19 CSR 30-61.105	Division of Regulation and Licensure	40 MoReg 1867	40 MoReg 1886		
19 CSR 30-61.135	Division of Regulation and Licensure	40 MoReg 1867	40 MoReg 1887		
19 CSR 30-61.175	Division of Regulation and Licensure	40 MoReg 1869	40 MoReg 1888		
19 CSR 30-62.082	Division of Regulation and Licensure	40 MoReg 1870	40 MoReg 1889		
19 CSR 30-62.092	Division of Regulation and Licensure	40 MoReg 1870	40 MoReg 1890		
19 CSR 30-62.102	Division of Regulation and Licensure	40 MoReg 1872	40 MoReg 1890		
19 CSR 30-62.132	Division of Regulation and Licensure	40 MoReg 1872	40 MoReg 1891		
19 CSR 30-62.182	Division of Regulation and Licensure	40 MoReg 1874	40 MoReg 1892		

Rule Number	Agency	Emergency	Proposed	Order	In Addition
19 CSR 60-50	Missouri Health Facilities Review Committee				40 MoReg 1597 40 MoReg 1646 40 MoReg 1839
DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION					
20 CSR	Applied Behavior Analysis Maximum Benefit				40 MoReg 280
20 CSR	Construction Claims Binding Arbitration Cap				39 MoReg 2149 This Issue
20 CSR	Sovereign Immunity Limits				39 MoReg 2149 This Issue
20 CSR	State Legal Expense Fund Cap				39 MoReg 2149 This Issue
20 CSR 100-9.100	Insurer Conduct		40 MoReg 1032	40 MoReg 1834	
20 CSR 200-6.700	Insurance Solvency and Company Regulation		40 MoReg 1036	40 MoReg 1834	
20 CSR 200-11.101	Insurance Solvency and Company Regulation	This Issue	This Issue		
20 CSR 400-11.140	Life, Annuities and Health	40 MoReg 1003	40 MoReg 1037	40 MoReg 1835	
20 CSR 2030-1	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-1.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1534		
20 CSR 2030-2	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-2.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1534		
20 CSR 2030-2.040	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1535		
20 CSR 2030-3	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-3.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1536		
20 CSR 2030-4	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-5	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-5.020	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1536		
20 CSR 2030-5.070	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1536		
20 CSR 2030-5.110	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1537		
20 CSR 2030-6	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-7	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-7.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1537		
20 CSR 2030-8	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-8.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1537		
20 CSR 2030-8.020	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1538		
20 CSR 2030-10	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-11	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-12	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-12.010	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects		40 MoReg 1541		
20 CSR 2030-13	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-14	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840

Rule Number	Agency	Emergency	Proposed	Order	In Addition
20 CSR 2030-16	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-17	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-18	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-19	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-20	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2030-21	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Professional Landscape Architects				40 MoReg 1840
20 CSR 2110-2.210	Missouri Dental Board		40 MoReg 268	40 MoReg 981	
20 CSR 2120-2.100	State Board of Embalmers and Funeral Directors	40 MoReg 1141	40 MoReg 1216	40 MoReg 1898	40 MoReg 1321
20 CSR 2150-3.010	State Board of Registration for the Healing Arts	40 MoReg 1087	40 MoReg 1094	40 MoReg 1898	
20 CSR 2193-4.010	Interior Design Council		This Issue		
20 CSR 2220-4.010	State Board of Pharmacy	40 MoReg 1009	40 MoReg 1893		
20 CSR 2230-2.070	State Board of Podiatric Medicine	40 MoReg 1875			
20 CSR 2245-1.010	Real Estate Appraisers		40 MoReg 965	40 MoReg 1643	
20 CSR 2245-3.005	Real Estate Appraisers		40 MoReg 965	40 MoReg 1644	
20 CSR 2245-3.010	Real Estate Appraisers		40 MoReg 966	40 MoReg 1644	
20 CSR 2245-6.040	Real Estate Appraisers		40 MoReg 966	40 MoReg 1644	
20 CSR 2245-8.010	Real Estate Appraisers		40 MoReg 967	40 MoReg 1644	
20 CSR 2245-8.030	Real Estate Appraisers		40 MoReg 967	40 MoReg 1644	
20 CSR 2255-1.040	Missouri Board for Respiratory Care		40 MoReg 1764		
MISSOURI CONSOLIDATED HEALTH CARE PLAN					
22 CSR 10-2.010	Health Care Plan	40 MoReg 1691	40 MoReg 1767		
22 CSR 10-2.020	Health Care Plan	40 MoReg 1693	40 MoReg 1769		
22 CSR 10-2.030	Health Care Plan		40 MoReg 1772		
22 CSR 10-2.045	Health Care Plan	40 MoReg 1696	40 MoReg 1773		
22 CSR 10-2.051	Health Care Plan	40 MoReg 1698R	40 MoReg 1774R		
		40 MoReg 1698	40 MoReg 1774		
22 CSR 10-2.052	Health Care Plan	40 MoReg 1699R	40 MoReg 1776R		
		40 MoReg 1700	40 MoReg 1776		
22 CSR 10-2.053	Health Care Plan	40 MoReg 1701R	40 MoReg 1777R		
		40 MoReg 1702	40 MoReg 1777		
22 CSR 10-2.055	Health Care Plan	40 MoReg 1704	40 MoReg 1779		
22 CSR 10-2.060	Health Care Plan	40 MoReg 1714	40 MoReg 1789		
22 CSR 10-2.070	Health Care Plan	40 MoReg 1715	40 MoReg 1791		
22 CSR 10-2.075	Health Care Plan	40 MoReg 1716	40 MoReg 1791		
22 CSR 10-2.089	Health Care Plan	40 MoReg 1719	40 MoReg 1794		
22 CSR 10-2.090	Health Care Plan	40 MoReg 1719	40 MoReg 1794		
22 CSR 10-2.094	Health Care Plan	40 MoReg 1250R	40 MoReg 1313R		
		40 MoReg 1251	40 MoReg 1313		
22 CSR 10-2.110	Health Care Plan	40 MoReg 1722	40 MoReg 1797		
22 CSR 10-2.120	Health Care Plan	40 MoReg 1252R	40 MoReg 1314R		
		40 MoReg 1253	40 MoReg 1315		
22 CSR 10-2.140	Health Care Plan		40 MoReg 1801		
22 CSR 10-2.150	Health Care Plan	40 MoReg 1726	40 MoReg 1802		
22 CSR 10-2.160	Health Care Plan	40 MoReg 1728	40 MoReg 1803		
22 CSR 10-3.010	Health Care Plan	40 MoReg 1728	40 MoReg 1804		
22 CSR 10-3.020	Health Care Plan	40 MoReg 1729	40 MoReg 1804		
22 CSR 10-3.045	Health Care Plan	40 MoReg 1731	40 MoReg 1806		
22 CSR 10-3.053	Health Care Plan	40 MoReg 1733R	40 MoReg 1807R		
		40 MoReg 1733	40 MoReg 1808		
22 CSR 10-3.055	Health Care Plan	40 MoReg 1735R	40 MoReg 1809R		
		40 MoReg 1735	40 MoReg 1809		
22 CSR 10-3.056	Health Care Plan	40 MoReg 1736R	40 MoReg 1811R		
		40 MoReg 1737	40 MoReg 1811		
22 CSR 10-3.057	Health Care Plan	40 MoReg 1738	40 MoReg 1812		
22 CSR 10-3.060	Health Care Plan	40 MoReg 1748	40 MoReg 1822		
22 CSR 10-3.070	Health Care Plan	40 MoReg 1750	40 MoReg 1823		
22 CSR 10-3.075	Health Care Plan	40 MoReg 1750	40 MoReg 1824		
22 CSR 10-3.090	Health Care Plan	40 MoReg 1753	40 MoReg 1826		
22 CSR 10-3.150	Health Care Plan	40 MoReg 1755	40 MoReg 1828		
22 CSR 10-3.160	Health Care Plan	40 MoReg 1756	40 MoReg 1830		

Emergency Rule Table

Agency	Publication	Effective	Expiration
Office of Administration			
Commissioner of Administration			
1 CSR 10-15.010	Cafeteria Plan40 MoReg 1345	Jan. 1, 2016	June 28, 2016
Department of Agriculture			
Animal Health			
2 CSR 30-10.010	Inspection of Meat and Poultry40 MoReg 1623	Oct. 12, 2015	April 8, 2016
Department of Economic Development			
Division of Energy			
4 CSR 340-4.010	Wood Energy Credit40 MoReg 1863	Nov. 26, 2015	May 23, 2016
Department of Labor and Industrial Relations			
Division of Labor Standards			
8 CSR 30-3.010	Prevailing Wage Rates for Public Works Projects40 MoReg 1864	Nov. 20, 2015	May 17, 2016
Department of Public Safety			
Office of the Director			
11 CSR 30-12.020	Payments for Child Physical Abuse Forensic Examinations .40 MoReg 1689	Nov. 12, 2015	May 9, 2016
Department of Revenue			
Director of Revenue			
12 CSR 10-41.010	Annual Adjusted Rate of Interest40 MoReg 1690	Jan. 1, 2016	June 28, 2016
12 CSR 10-44.100	Excess Traffic Violation Revenue40 MoReg 1243	Sept. 11, 2015	March 8, 2016
Department of Social Services			
Family Support Division			
13 CSR 40-2.300	Definitions Which Are Applicable for Benefit Programs Funded by the Temporary Assistance for Needy Families (TANF) Block Grant40 MoReg 1244	Aug. 28, 2015	Feb. 23, 2016
13 CSR 40-2.310	Requirements as to Eligibility for Temporary Assistance .40 MoReg 1245	Aug. 28, 2015	Feb. 23, 2016
13 CSR 40-2.315	Work Activity and Work Requirements for Recipients of Temporary Assistance40 MoReg 1247	Aug. 28, 2015	Feb. 23, 2016
Elected Officials			
State Auditor			
15 CSR 40-3.030	Annual Financial Reports of Political Subdivisions40 MoReg 1250	Sept. 11, 2015	March 8, 2016
15 CSR 40-3.170	Addendum Filed with the Auditor's OfficeThis Issue	Dec. 10, 2015	Feb. 29, 2016
15 CSR 40-3.180	Municipal Court Certifications Filed with the Auditor's OfficeThis Issue	Dec. 10, 2015	Feb. 29, 2016
Department of Health and Senior Services			
Division of Regulation and Licensure			
19 CSR 30-61.085	Physical Requirements of the Family Day Care Home40 MoReg 1865	Nov. 26, 2015	May 23, 2016
19 CSR 30-61.095	Furniture, Equipment, and Materials40 MoReg 1865	Nov. 26, 2015	May 23, 2016
19 CSR 30-61.105	The Day Care Provider and Other Day Care Personnel . .40 MoReg 1867	Nov. 26, 2015	May 23, 2016
19 CSR 30-61.135	Admission Policies and Procedures40 MoReg 1867	Nov. 26, 2015	May 23, 2016
19 CSR 30-61.175	Child Care Program40 MoReg 1869	Nov. 26, 2015	May 23, 2016
19 CSR 30-62.082	Physical Requirements of Group Day Care Homes and Day Care Centers40 MoReg 1870	Nov. 26, 2015	May 23, 2016
19 CSR 30-62.092	Furniture, Equipment, and Materials40 MoReg 1870	Nov. 26, 2015	May 23, 2016
19 CSR 30-62.102	Personnel40 MoReg 1872	Nov. 26, 2015	May 23, 2016
19 CSR 30-62.132	Admission Policies and Procedures40 MoReg 1872	Nov. 26, 2015	May 23, 2016
19 CSR 30-62.182	Child Care Program40 MoReg 1874	Nov. 26, 2015	May 23, 2016
Department of Insurance, Financial Institutions and Professional Registration			
Financial Examination			
20 CSR 200-11.101	Insurance Holding Company Regulation With Reporting Forms and InstructionsThis Issue	Jan. 1, 2016	June 29, 2016
Life, Annuities and Health			
20 CSR 400-11.140	Renewal Applications and Fees-Individual Navigators and Entity Navigators40 MoReg 1003	July 25, 2015	Feb. 25, 2016

Agency	Publication	Effective	Expiration
Board of Cosmetology and Barber Examiners			
20 CSR 2085-12.010 General Rules and Application Requirements for All Schools	.40 MoReg 829	June 7, 2015	Feb. 25, 2016
20 CSR 2085-12.035 Requirements for Barber Schools	.40 MoReg 830	June 7, 2015	Feb. 25, 2016
20 CSR 2085-12.060 Requirements for Cosmetology Schools	.40 MoReg 831	June 7, 2015	Feb. 25, 2016
State Board of Embalmers and Funeral Directors			
20 CSR 2120-2.100 Fees	.40 MoReg 1141	Aug. 21, 2015	Feb. 25, 2016
State Board of Registration for the Healing Arts			
20 CSR 2150-3.010 Applicants for Licensure as Professional Physical Therapists	.40 MoReg 1087	Aug. 6, 2015	Feb. 25, 2016
State Board of Podiatric Medicine			
20 CSR 2230-2.070 Fees	.40 MoReg 1875	Nov. 20, 2015	May 17, 2016
Missouri Consolidated Health Care Plan			
Health Care Plan			
22 CSR 10-2.010 Definitions	.40 MoReg 1691	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.020 General Membership Provisions	.40 MoReg 1693	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.045 Plan Utilization Review Policy	.40 MoReg 1696	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.051 PPO 300 Plan Benefit Provisions and Covered Charges (Res)	.40 MoReg 1698	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.051 PPO 300 Plan Benefit Provisions and Covered Charges	.40 MoReg 1698	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.052 PPO 600 Plan Benefit Provisions and Covered Charges (Res)	.40 MoReg 1699	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.052 PPO 600 Plan Benefit Provisions and Covered Charges	.40 MoReg 1700	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.053 Health Savings Account Benefit Provisions and Covered Charges (Res)	.40 MoReg 1701	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.053 Health Savings Account Benefit Provisions and Covered Charges	.40 MoReg 1702	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.055 Medical Plan Benefit Provisions and Covered Charges	.40 MoReg 1704	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.060 PPO 300 Plan, PPO 600 Plan, and Health Savings Account Plan Limitations	.40 MoReg 1714	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.070 Coordination of Benefits	.40 MoReg 1715	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.075 Review and Appeals Procedure	.40 MoReg 1716	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.089 Pharmacy Employer Group Waiver Plan for Medicare Primary Members	.40 MoReg 1719	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.090 Pharmacy Benefit Summary	.40 MoReg 1719	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.094 Tobacco-Free Incentive Provisions and Limitations (Res)	.40 MoReg 1250	Oct. 1, 2015	March 28, 2016
22 CSR 10-2.094 Tobacco-Free Incentive Provisions and Limitations	.40 MoReg 1251	Oct. 1, 2015	March 28, 2016
22 CSR 10-2.110 General Foster Parent Membership Provisions	.40 MoReg 1722	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.120 Partnership Incentive Provisions and Limitations (Res)	.40 MoReg 1252	Oct. 1, 2015	March 28, 2016
22 CSR 10-2.120 Partnership Incentive Provisions and Limitations	.40 MoReg 1253	Oct. 1, 2015	March 28, 2016
22 CSR 10-2.150 Disease Management Services Provisions and Limitations	.40 MoReg 1726	Jan. 1, 2015	June 28, 2016
22 CSR 10-2.160 Pharmacy Lock-In Program	.40 MoReg 1728	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.010 Definitions	.40 MoReg 1728	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.020 General Membership Provisions	.40 MoReg 1729	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.045 Plan Utilization Review	.40 MoReg 1731	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.053 PPO 1000 Plan Benefit Provisions and Covered Charges (Res)	.40 MoReg 1733	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.053 PPO 1000 Plan Benefit Provisions and Covered Charges	.40 MoReg 1733	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.055 Health Savings Account Provisions and Covered Charges (Res)	.40 MoReg 1735	Jan. 1, 2015	June 28, 2016

Agency		Publication	Effective	Expiration
22 CSR 10-3.055	Health Savings Account Provisions and Covered Charges	.40 MoReg 1735	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.056	PPO 600 Plan Benefit Provisions and Covered Charges (Res)	.40 MoReg 1736	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.056	PPO 600 Plan Benefit Provisions and Covered Charges	.40 MoReg 1737	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.057	Medical Plan Benefit Provisions and Covered Charges	.40 MoReg 1738	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.060	PPO 600 Plan, PPO 1000 Plan, and Health Savings Account Plan Limitations	.40 MoReg 1748	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.070	Coordination of Benefits	.40 MoReg 1750	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.075	Review and Appeals Procedure	.40 MoReg 1750	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.090	Pharmacy Benefit Summary	.40 MoReg 1753	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.150	Disease Management Services Provisions and Limitations	.40 MoReg 1755	Jan. 1, 2015	June 28, 2016
22 CSR 10-3.160	Pharmacy Lock-In Program	.40 MoReg 1756	Jan. 1, 2015	June 28, 2016

**Executive
Orders****Subject Matter****Filed Date****Publication**2015

15-09	Directs all Missouri Executive Branch agencies, as well as strongly encourages all private employers, to review and determine how the practices contained in the Harry S Truman School of Public Affairs preliminary guidelines and, eventually the Pay Equity Best Practices Guidelines, can be utilized by their agency or business and to identify and address any gender wage gap in order to ensure that all Missourians receive equal pay for equal work.	Dec. 4, 2015	Next Issue
15-08	Closes state offices Nov. 27, 2015.	Nov. 6, 2015	40 MoReg 1630
15-07	Dedicates and renames the state office building located at 8800 East 63rd Street in Raytown, Missouri, in honor of Joseph Patrick Teasdale, the 48th governor of the state of Missouri.	Oct. 28, 2015	40 MoReg 1628
15-06	Lays out policies and procedures to be adopted by the Executive Branch of state government in procuring goods and services to enhances economic health and prosperity of Minority and Women Business Enterprises. This order supercedes Executive Order 05-30.	Oct. 21, 2015	40 MoReg 1624
15-05	Extends Executive Order 15-03 until August 14, 2015.	July 14, 2015	40 MoReg 1012
15-04	Orders all departments, agencies, boards, and commissions to comply with the Obergefell decision and rescinds Executive Order 13-14.	July 7, 2015	40 MoReg 1010
15-03	Declares a state of emergency exist in the State of Missouri and directs that the Missouri State of Emergency Operations Plan be activated.	June 18, 2015	40 MoReg 928
15-02	Extends Executive Order 14-06 and orders that the Division of Energy deliver a state energy plan to the governor by October 15, 2015.	May 22, 2015	40 MoReg 833
15-01	Appoints Byron M. Watson to the Ferguson Commission to fill the vacancy created by the resignation of Bethany A. Johnson-Javois.	Jan. 2, 2015	40 MoReg 173

The rule number and the MoReg publication date follow each entry to this index.

ADMINISTRATION, OFFICE OF

cafeteria plan; 1 CSR 10-15.010; 10/15/15
state official's salary compensation schedule; 1 CSR 10; 12/1/15

AGRICULTURE, DEPARTMENT OF

agricultural and small business development authority, Missouri
applicant eligibility requirements; 2 CSR 100-2.020; 9/1/15,
12/1/15
fees; 2 CSR 100-2.040; 9/1/15, 12/1/15
inspection of meat and poultry; 2 CSR 30-10.010; 11/16/15

AIR CONSERVATION COMMISSION

asbestos projects—certification, accreditation and business exemp-
tion requirements; 10 CSR 10-6.250; 8/17/15, 1/4/16
asbestos projects—registration, abatement, notification, inspection,
demolition, and performance requirements; 10 CSR 10-
6.241; 8/17/15, 1/4/16
construction permits required; 10 CSR 10-6.060; 9/15/15
cross-state air pollution rule annual NO_x trading allowance alloca-
tions; 10 CSR 10-6.372; 6/15/15, 11/2/15
cross-state air pollution rule annual SO_x allowance trading alloca-
tions; 10 CSR 10-6.376; 6/15/15, 11/2/15
cross-state air pollution rule ozone season NO_x trading allowance
allocations; 10 CSR 10-6.374; 6/15/15, 11/2/15
operating permits; 10 CSR 10-6.065; 9/15/15

ARCHITECTS, PROFESSIONAL ENGINEERS, PROFES- SIONAL LAND SURVEYORS, AND PROFESSIONAL LAND- SCAPE ARCHITECTS, MISSOURI BOARD FOR

code of professional conduct; 20 CSR 2030-2.010; 11/2/15
evaluation criteria for building design; 20 CSR 2030-2.040; 11/2/15
general organization; 20 CSR 2030-1.010; 11/2/15
NCARB examination; 20 CSR 2030-5.020; 11/2/15
NCEES examination; 20 CSR 2030-5.070; 11/2/15
nonresidents; 20 CSR 2030-7.010; 11/2/15
nonsubstantive change; 20 CSR 2030; 12/1/15
official seal of board; 20 CSR 2030-3.010; 11/2/15
professional land surveying matters; 20 CSR 2030-8.010; 11/2/15
professional land surveyor—professional development units; 20 CSR
2030-8.020; 11/2/15
public complaint handling and disposition procedure; 20 CSR
2030-12.010; 11/2/15
standards for admission to examination—professional land surveyors;
20 CSR 2030-5.110; 11/2/15

ATTORNEY GENERAL

threatening to file or filing suit on certain consumer debt;
15 CSR 60-8.100; 1/4/16

AUDITOR, STATE

addendum filed with the auditor's office; 15 CSR 40-3.170;
10/1/15, 1/4/16
annual financial reports of political subdivisions; 15 CSR 40-3.030;
10/1/15
municipal court certifications filed with the auditor's office; 15
CSR 40-3.180; 10/1/15, 1/4/16

CERTIFICATE OF NEED PROGRAM

application review schedule; 19 CSR 60-50; 11/2/15, 11/16/15,
12/1/15

CHILDREN'S DIVISION

capacity of foster homes; 13 CSR 35-60.020; 10/15/15
care of children; 13 CSR 35-60.050; 10/15/15
definitions; 13 CSR 35-31.010; 7/1/15, 10/15/15
denial or revocation of license; 13 CSR 35-60.090; 10/15/15
family homes offering foster care; 13 CSR 35-60.010; 10/15/15
foster care services for youth with elevated medical needs; 13 CSR
35-60.100; 10/15/15
investigations involving a conflict of interest; 13 CSR 35-31.015;
9/1/15, 1/4/16

licensing standard waivers for relative resource providers; 13 CSR 35-
60.080; 10/15/15
minimum qualifications of foster parent(s); 13 CSR 35-60.030;
10/15/15
physical standards for foster homes; 13 CSR 35-60.040; 10/15/15
records and reports; 13 CSR 35-60.060; 10/15/15
removal of a parent from a foster family license; 13 CSR 35-60.110;
10/15/15

CONSERVATION, DEPARTMENT OF

bullfrogs and green frogs; 3 CSR 10-12.115; 10/1/15
black bass; 3 CSR 10-6.505; 10/1/15
closed hours; 3 CSR 10-12.109; 10/1/15
closings; 3 CSR 10-11.115; 10/1/15
deer hunting
landowner privileges; 3 CSR 10-7.434; 10/1/15
seasons: general provisions; 3 CSR 10-7.431; 10/1/15
fishing
daily and possession limits; 3 CSR 10-12.140; 10/1/15
length limits; 3 CSR 10-12.145; 10/1/15
methods; 3 CSR 10-12.135; 10/1/15
methods and limits; 3 CSR 10-11.205; 10/1/15
hunting and trapping; 3 CSR 10-12.125; 10/1/15
hunting, general provisions and seasons; 3 CSR 10-11.180; 10/1/15
hunting methods; 3 CSR 10-7.410; 10/1/15
organization and methods of operation; 3 CSR 10-1.010; 10/1/15
permits required; exceptions; 3 CSR 10-5.205; 10/1/15
resident roe fish commercial harvest permit; 3 CSR 10-10.722;
10/1/15
turkeys: seasons, methods, limits; 3 CSR 10-7.455; 10/1/15
use of boats and motors; 3 CSR 10-12.110; 10/1/15
vehicles, bicycles, horses, and horseback riding; 3 CSR 10-11.130;
10/1/15
waterfowl hunting; 3 CSR 10-11.186; 10/1/15

CORRECTIONS, DEPARTMENT OF

probation and parole, state board of
definitions for intervention fee; 14 CSR 80-5.010; 11/2/15
intervention fee procedure; 14 CSR 80-5.020; 11/2/15

ECONOMIC DEVELOPMENT, DEPARTMENT OF

innovative campus tax credit; 4 CSR 85-11.010; 7/15/15, 12/1/15
valuation of donations; 4 CSR 85-11.020; 7/15/15, 12/1/15

ELEMENTARY AND SECONDARY EDUCATION, DEPART- MENT OF

audit policy and requirements; 5 CSR 30-4.030; 10/1/15
early learning facilities funding formula for lease agreements;
5 CSR 30-640.200; 7/1/15, 12/1/15
general provisions governing programs authorized under the early
childhood development act; 5 CSR 20-600.110; 7/1/15,
11/2/15

ENERGY, DIVISION OF

energy set-aside fund ; 4 CSR 340-2; 8/17/15
wood energy credit; 4 CSR 340-4.010; 12/15/15

EMBALMERS AND FUNERAL DIRECTORS, STATE BOARD OF

fees; 20 CSR 2120-2.100; 9/15/15, 10/1/15, 12/15/15

ETHICS COMMISSION, MISSOURI

default; 1 CSR 50-2.120; 10/1/15
ex parte communications; 1 CSR 50-2.030; 10/1/15
initiation of enforcement case; 1 CSR 50-2.015; 10/1/15
motions; 1 CSR 50-2.075; 10/1/15
orders; 1 CSR 50-2.140; 10/1/15
pleadings; 1 CSR 50-2.020; 10/1/15

post-hearing procedure; 1 CSR 50-2.130; 10/1/15
prehearing conferences; 1 CSR 50-2.040; 10/1/15
record at the hearing, the; 1 CSR 50-2.100; 10/1/15
representation by a licensed attorney; when required; 1 CSR 50-4.010; 10/1/15
stipulation; 1 CSR 50-2.110; 10/1/15

EXECUTIVE ORDERS

closes state offices Nov. 27, 2015; 15-08; 11/16/15
dedicates and renames the state office building located at 8800 East 63rd Street in Raytown, Missouri, in honor of Joseph Patrick Teasdale, the 49th governor of the state of Missouri; 15-07; 11/16/15
lays out policies and procedures to be adopted by the Executive Branch of state government in procuring goods and services to enhance economic health and prosperity of Minority and Women Business Enterprises and supercedes Executive Order 05-30; 15-06; 11/16/15

FAMILY SUPPORT DIVISION

authorized representative; 13 CSR 40-2.015; 8/3/15, 11/16/15
definition of sexual exploitation; 13 CSR 40-31.012; 9/1/15, 1/4/16
definitions which are applicable for benefit programs funded by the temporary assistance for needy families (TANF) block grant; 13 CSR 40-2.300; 10/1/15
investigations involving a conflict of interest; 13 CSR 40-31.011; 9/1/15
requirements as to eligibility for temporary assistance; 13 CSR 40-2.310; 10/1/15
screening temporary assistance applicants and recipients for illegal use of a controlled substance; 13 CSR 40-2.410; 12/1/14, 3/16/15
work activity and work requirement for recipients of temporary assistance; 13 CSR 40-2.315; 10/1/15

GAMING COMMISSION, MISSOURI

application for a class A or class B license; 11 CSR 45-4.030; 10/1/15
bingo
additional application information for bingo and pull-tab licenses; 11 CSR 45-30.090; 8/3/15
approval of bingo paraphernalia; 11 CSR 45-30.540; 8/3/15
bingo equipment defined; 11 CSR 45-30.155; 8/3/15
bingo hearings; 11 CSR 45-13.051; 8/3/15
bingo promotions; 11 CSR 45-30.025; 8/3/15
contraband; 11 CSR 45-30.545; 8/3/15
duty of licensee to exclude ineligible persons; 11 CSR 45-30.590; 8/3/15
electronic bingo card monitoring devices; 11 CSR 45-30.600; 8/3/15
event ticket; 11 CSR 45-30.357; 8/3/15
hall provider license; 11 CSR 45-30.235; 8/3/15
information to be posted; 11 CSR 45-30.150; 8/3/15
inventory, ownership, and leasing of bingo equipment; 11 CSR 45-30.180; 8/3/15
licensee's duty to report and prevent misconduct; 11 CSR 45-30.550; 8/3/15
member(s) in charge; 11 CSR 45-30.130; 8/3/15
merchandise prizes; 11 CSR 45-30.200; 8/3/15
net receipts from bingo and bank account; 11 CSR 45-30.280; 8/3/15
operator(s); 11 CSR 45-30.060; 8/3/15
organization (operator) record keeping requirements; 11 CSR 45-30.175; 8/3/15
participation of full-time employee, full-time staff member, or ordained member of clergy; 11 CSR 45-30.340; 8/3/15
penalties; 11 CSR 45-30.535; 8/3/15
premises defined, inspections, all gambling and gambling devices prohibited; 11 CSR 45-30.270; 8/3/15
premises required to be open for inspection; 11 CSR 45-30.145; 8/3/15
procedure for entry of names to the list of ineligible persons; 11 CSR 45-30.580; 8/3/15
progressive games; 11 CSR 45-30.370; 8/3/15

pull-tab packaging, assembly, and distribution; 11 CSR 45-30.575; 8/3/15
regular bingo license; 11 CSR 45-30.070; 8/3/15
rules of play; 11 CSR 45-30.190; 8/3/15
sale of pull-tab cards by bingo licensees; 11 CSR 45-30.355; 8/3/15
settlements; 11 CSR 45-13.065; 8/3/15
subsidiary body-application for license; 11 CSR 45-30.250; 8/3/15
supplier license; 11 CSR 45-30.523; 8/3/15
supplier record keeping requirements; 11 CSR 45-30.525; 8/3/15
wireless technology; 11 CSR 45-30.610; 8/3/15
worker-player; 11 CSR 45-30.140; 8/3/15
definitions; 11 CSR 45-1.090; 10/1/15
lack of compliance with chapter requirements; 11 CSR 45-9.060; 10/1/15
licenses, restrictions on licenses, licensing authority of the executive director, and other definitions; 11 CSR 45-4.020; 10/1/15
minimum internal control standards; 11 CSR 45-9.030; 10/1/15
minimum internal control standards (MICS)-Chapter A; 11 CSR 45-9.101; 10/1/15
minimum internal control standards (MICS)-Chapter C; 11 CSR 45-9.103; 10/1/15
minimum internal control standards (MICS)-Chapter Q; 11 CSR 45-9.117; 12/15/15
modifications of the internal control system; 11 CSR 45-9.050; 10/1/15
payout percentage for electronic gaming devices; 11 CSR 45-5.070; 12/15/15

HAZARDOUS WASTE MANAGEMENT COMMISSION

definitions, modifications to incorporations and confidential business information; 10 CSR 25-3.260; 5/15/15, 11/2/15
fees and taxes; 10 CSR 25-12.010; 7/15/15, 12/15/15
interim status standards for owners and operators of hazardous waste treatment, storage, and disposal facilities; 10 CSR 25-7.265; 5/15/15, 11/2/15
hazardous waste resource recovery processes; 10 CSR 25-9.020; 5/15/15, 11/2/15
land disposal restrictions; 10 CSR 25-7.268; 5/15/15, 11/2/15
methods for identifying hazardous waste; 10 CSR 25-4.261; 5/15/15, 11/2/15
Missouri administered permit programs: the hazardous waste permit program; 10 CSR 25-7.270; 5/15/15, 11/2/15
polychlorinated biphenyls; 10 CSR 25-13.010; 5/15/15, 11/2/15
procedures for decision; 10 CSR 25-8.124; 5/15/15, 11/2/15
recycled used oil management standards; 10 CSR 25-11.279; 5/15/15, 11/2/15
standards applicable to generators of hazardous waste; 10 CSR 25-5.262; 5/15/15, 11/2/15
standards for owners and operators of hazardous waste treatment, storage, and disposal facilities; 10 CSR 25-7.264; 5/15/15, 11/2/15
standards for the management of specific hazardous wastes and specific types of hazardous waste management facilities; 10 CSR 25-7.266; 5/15/15, 11/2/15
standards for transporters of hazardous waste; 10 CSR 25-6.263; 5/15/15, 11/2/15
standards for universal waste management; 10 CSR 25-16.273; 5/15/15, 11/2/15

HEALING ARTS, STATE BOARD OF REGISTRATION FOR

applicants for licensure as professional physical therapists; 20 CSR 2150-3.010; 9/1/15, 12/15/15

HEALTH AND SENIOR SERVICES

community and public health, division of
duties of laboratories; 19 CSR 20-20.080; 12/15/15
reporting communicable, environmental, and occupational diseases; 19 CSR 20-20.020; 12/15/15
regulation and licensure
admission policies and procedures

19 CSR 30-61.135; 12/15/15
19 CSR 30-62.132; 12/15/15
child care program
19 CSR 30-61.175; 12/15/15
19 CSR 30-62.182; 12/15/15
furniture, equipment, and materials
19 CSR 30-61.095; 12/15/15
19 CSR 30-62.092; 12/15/15
personnel; 19 CSR 30-62.102; 12/15/15
physical requirements of group day care homes and day care centers; 19 CSR 30-62.082; 12/15/15
physical requirements of the family day care home; 19 CSR 30-61.085; 12/15/15
the day care provider and other day care personnel; 19 CSR 30-61.105; 12/15/15
senior and disability services, division of
definitions; 19 CSR 15-9.100; 10/1/15
electronic visit verification; 19 CSR 15-9.200; 10/1/15
personal care attendant wage range; 19 CSR 15-8.410; 2/2/15

HIGHER EDUCATION, DEPARTMENT OF

determination of student residency; 6 CSR10-3.010; 11/2/15

HIGHWAYS AND TRANSPORTATION COMMISSION, MISSOURI

apportion registration pursuant to the international registration plan; 7 CSR 10-25.030; 6/15/15, 11/16/15
skill performance evaluation certificates for commercial drivers; 7 CSR 10-25.010; 11/2/15, 11/16/15, 12/1/15, 1/4/16

INSURANCE

applied behavior analysis maximum benefit; 20 CSR; 3/2/15
construction claims binding arbitration cap; 20 CSR; 1/4/16
insurance holding company system regulation with reporting forms and instructions; 20 CSR 200-11.101; 1/4/16
procedures for forming and operating a Missouri domestic surplus lines insurer; 20 CSR 200-6.700; 8/17/15, 12/1/15
renewal applications and fees—individual navigators and entity navigators; 20 CSR 400-11.140; 8/17/15, 12/1/15
requirements for the filing of papers, documents, or reports with the Insurance Market Regulation Division; 20 CSR 100-9.100; 8/17/15, 12/1/15
sovereign immunity limits; 20 CSR; 1/4/16
state legal expense fund; 20 CSR; 1/4/16

INTERIOR DESIGN COUNCIL

fees; 20 CSR 2193-4.010; 1/4/16

LABOR AND INDUSTRIAL RELATIONS, DEPARTMENT OF

division of labor standards
prevailing wage rates for public works projects; 8 CSR 30-3.010; 12/15/15

LAND RECLAMATION COMMISSION

permit application requirements; 10 CSR 40-10.020; 9/15/15, 1/4/16

LIBRARY, STATE

state and federal grants—definitions; 15 CSR 30-200.010; 12/15/15

MEDICAID AUDIT AND COMPLIANCE, MISSOURI

provider enrollment and application; 13 CSR 65-2.020; 7/1/15, 10/15/15

MISSOURI CONSOLIDATED HEALTH CARE PLAN

public entity membership
coordination of benefits; 22 CSR 10-3.070; 12/1/15
definitions; 22 CSR 10-3.010; 12/1/15

disease management services provisions and limitations; 22 CSR 10-3.150; 12/1/15
general membership provisions; 22 CSR 10-3.020; 12/1/15
health savings account plan benefits provisions and covered charges; 22 CSR 10-3.055; 12/1/15
medical plan benefit provisions and covered charges; 22 CSR 10-3.057; 12/1/15
pharmacy benefit summary; 22 CSR 10-3.090; 12/1/15
pharmacy lock-in program; 22 CSR 10-3.160; 12/1/15
plan utilization review policy; 22 CSR 10-3.045; 12/1/15
PPO 1000 plan benefit provisions and covered charges; 22 CSR 10-3.053; 12/1/15
PPO 600 plan benefit provisions and covered charges; 22 CSR 10-3.056; 12/1/15
PPO 600 plan, PPO 1000 plan, and health savings account plan limitations; 22 CSR 10-3.060; 12/1/15
review and appeals procedure; 22 CSR 10-3.075; 12/1/15
state membership
contributions' 22 CSR 10-2.030; 12/1/15
coordination of benefits; 22 CSR 10-2.070; 12/1/15
definitions; 22 CSR 10-2.010; 12/1/15
disease management services provisions and limitations; 22 CSR 10-2.150; 12/1/15
general foster parent membership provisions; 22 CSR 10-2.110; 12/1/15
general membership provisions; 22 CSR 10-2.020; 12/1/15
health savings account plan benefit provisions and covered charges; 22 CSR 10-2.053; 12/1/15
medical plan benefit provisions and covered charges; 22 CSR 10-2.055; 12/1/15
partnership incentive provisions and limitations; 22 CSR 10-2.120; 10/1/15
pharmacy benefit summary; 22 CSR 10-2.090; 12/1/15
pharmacy employer group waiver plan for medicare primary members; 22 CSR 10-2.089; 12/1/15
pharmacy lock-in program; 22 CSR 10-2.160; 12/1/15
plan utilization review policy; 22 CSR 10-2.045; 12/1/15
PPO 600 plan benefit provisions and covered charges; 22 CSR 10-2.052; 12/1/15
PPO 300 plan benefit provisions and covered charges; 22 CSR 10-2.051; 12/1/15
PPO 300 plan, PPO 600 plan, and health savings account plan limitations; 22 CSR 10-2.060; 12/1/15
review and appeals procedure; 22 CSR 10-2.075; 12/1/15
strive for wellness[®] health center provisions, charges, and services; 22 CSR 10-2.140; 12/1/15
tobacco-free incentive provisions and limitations; 22 CSR 10-2.094; 10/1/15

MO HEALTHNET

adult day health care program; 13 CSR 70-92.010; 8/3/15, 11/16/15
federal reimbursement allowance; 13 CSR 70-15.110; 8/3/15, 12/1/15
global per diem adjustments to nursing facility and HIV nursing facility reimbursement rates; 13 CSR 70-10.016; 12/15/15
inpatient hospital services reimbursement plan; outpatient hospital services reimbursement methodology; 13 CSR 70-15.010; 8/3/15, 12/1/15
medication therapy management (MTM) program; 13 CSR 70-20.330; 9/1/15, 12/15/15
national drug code requirement; 13 CSR 70-20.340; 8/3/15, 1/4/16
nursing facility reimbursement allowance; 13 CSR 70-10.110; 8/3/15, 12/1/15
procedures for admission certification, continued stay review and validation review of hospital admissions; 13 CSR 70-15.020; 11/16/15

prospective reimbursement plan for nursing facility services; 13 CSR 70-10.015; 11/16/15
sanctions for false or fraudulent claims for MO HealthNet services; 13 CSR 70-3.030; 11/16/15

OIL AND GAS COUNCIL

application for authorization of a pooling unit for primary production; 10 CSR 50-4.010; 10/15/15
application for authorization of unitization for enhanced recovery; 10 CSR 50-4.020; 10/15/15
application for permit to drill, deepen, plug-back, or recomplete; 10 CSR 50-2.030; 10/15/15
assessment of costs; 10 CSR 50-1.050; 10/15/15
bonds; 10 CSR 50-2.020; 10/15/15
definitions; 10 CSR 50-1.030; 10/15/15
disposal of fluids by injection; 10 CSR 50-2.090; 10/15/15
drilling and completion; 10 CSR 50-2.040; 10/15/15
enforcement action and appeal procedures; 10 CSR 50-1.040; 10/15/15
enhanced recovery projects; 10 CSR 50-2.100; 10/15/15
gas storage operations; 10 CSR 50-2.120; 10/15/15
general procedures; 10 CSR 50-1.020; 10/15/15
injection wells, mechanical integrity testing, and well stimulation treatment; 10 CSR 50-2.055; 10/15/15
operations; 10 CSR 50-2.065; 10/15/15
operator license; 10 CSR 50-2.010; 10/15/15
organization; 10 CSR 50-1.010; 10/15/15
production units and well spacing for enhanced recovery; 10 CSR 50-3.020; 10/15/15
record retention and reporting; 10 CSR 50-2.080; 10/15/15
samples, logs, and completion reports; 10 CSR 50-2.050; 10/15/15
shut-in wells, plugging, and conversion to water well; 10 CSR 50-2.060; 10/15/15
spacing units for primary production; 10 CSR 50-3.010; 10/15/15
special projects and research projects
10 CSR 50-2.110; 10/15/15
10 CSR 50-5.010; 10/15/15
well spacing; 10 CSR 50-2.070; 10/15/15

PHARMACY, STATE BOARD OF

general fees; 20 CSR 2220-4.010; 8/17/15, 12/15/15

PODIATRIC MEDICINE, STATE BOARD OF

fees; 20 CSR 2230-2.070; 12/15/15

PROPANE GAS COMMISSION, MISSOURI

liquefied petroleum gases
fiscal year July 1, 2015–June 30, 2016 budget plan; 2 CSR 90; 8/17/15

PUBLIC DEFENDER, OFFICE OF STATE

outside practice of law by public defenders; 18 CSR 10-6.010; 10/15/15
public defender fees for service; 18 CSR 10-5.010; 10/15/15

PUBLIC SAFETY, DEPARTMENT OF

payments for child physical abuse forensic examinations; 11 CSR 30-12.020; 12/1/15

PUBLIC SERVICE COMMISSION

annual report submission requirements for telecommunications companies; 4 CSR 240-3.540; 5/1/15, 11/2/15
assessment requirements; 4 CSR 240-28.050; 5/1/15, 11/2/15
billing and payment standards for residential customers; 4 CSR 240-33.040; 5/1/15, 11/2/15
certification or registration requirements; 4 CSR 240-28.030; 5/1/15, 11/2/15

commission complaint procedures; 4 CSR 240-33.110; 5/1/15, 11/2/15
connection of equipment and inside wiring to the telecommunications network; 4 CSR 240-32.090; 5/1/15, 11/2/15
customer disclosure requirements; 4 CSR 240-32.160; 5/1/15, 11/2/15
customer proprietary network information; 4 CSR 240-33.160; 5/1/15, 11/2/15
customer services; 4 CSR 240-32.050; 5/1/15, 11/2/15
definitions
4 CSR 240-28.010; 5/1/15, 11/2/15
4 CSR 240-32.020; 5/1/15, 11/2/15
4 CSR 240-33.020; 5/1/15, 11/2/15
definitions–caller identification blocking service; 4 CSR 240-32.180; 5/1/15, 11/2/15
definitions pertaining specifically to telecommunication company rules; 4 CSR 240-3.500; 5/1/15, 11/2/15
definitions–prepaid interexchange calling services; 4 CSR 240-32.140; 5/1/15, 11/2/15
deposits and guarantees of payment for residential customers; 4 CSR 240-33.050; 5/1/15, 11/2/15
discontinuance of service to residential customers; 4 CSR 240-33.070; 5/1/15, 11/2/15
disputes by residential customers; 4 CSR 240-33.080; 5/1/15, 11/2/15
engineering and maintenance; 4 CSR 240-32.060; 5/1/15, 11/2/15
filing requirements for applications for expanded local calling area plans within a community of interest; 4 CSR 240-2.061; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for authority to acquire the stock of a public utility; 4 CSR 240-3.535; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for authority to issue stock, bonds, notes and other evidences of indebtedness; 4 CSR 240-3.530; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for authority to merge or consolidate; 4 CSR 240-3.525; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for certificates of interexchange service authority to provide customer-owned coin telephone service; 4 CSR 240-3.505; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for authority to sell, assign, lease or transfer assets; 4 CSR 240-3.520; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for certificates of service authority to provide shared tenant services; 4 CSR 240-3.515; 5/1/15, 11/2/15
filing requirements for telecommunications company applications for certificates of service authority to provide telecommunications services, whether interexchange, local exchange, or basic local exchange; 4 CSR 240-3.510; 5/1/15, 11/2/15
filing requirements for telecommunications company tariffs; 4 CSR 240-3.545; 5/1/15, 11/2/15
general provisions
4 CSR 240-28.020; 5/1/15, 11/2/15
4 CSR 240-32.010; 5/1/15, 11/2/15
4 CSR 240-33.010; 5/1/15, 11/2/15
general provisions for the assignment, provision and termination of 211 service; 4 CSR 240-32.200; 5/1/15, 11/2/15
general provisions–prepaid interexchange calling services; 4 CSR 240-32.130; 5/1/15, 11/2/15
interconnection agreements; 4 CSR 240-28.080; 5/1/15, 11/2/15
metering, inspections and tests; 4 CSR 240-32.040; 5/1/15, 11/2/15
operator service; 4 CSR 240-33.130; 5/1/15, 11/2/15

payment discounts for schools and libraries that receive federal universal service fund support; 4 CSR 240-33.120; 5/1/15, 11/2/15

pay telephone; 4 CSR 240-33.140; 5/1/15, 11/2/15

procedure for telecommunications companies that file bankruptcy; 4 CSR 240-3.565; 5/1/15

provision of basic local and interexchange telecommunications service; 4 CSR 240-32.100; 5/1/15, 11/2/15

qualifications for and responsibilities of the prepaid calling services; 4 CSR 240-32.150; 5/1/15, 11/2/15

quality of service; 4 CSR 240-32.070; 5/1/15, 11/2/15

relay Missouri surcharge billing and collections standards; 4 CSR 240-33.170; 5/1/15, 11/2/15

reporting requirements; 4 CSR 240-28.040; 5/1/15, 11/2/15

required and permitted notices for telecommunications companies and IVoIP or video service providers that reorganize or change names; 4 CSR 240-2.062; 5/1/15, 11/2/15

requiring clear identification and placement of separately identified charges on customer bills; 4 CSR 240-33.045; 5/1/15, 11/2/15

residential customer inquiries; 4 CSR 240-33.060; 5/1/15, 11/2/15

service objectives and surveillance levels; 4 CSR 240-32.080; 5/1/15, 11/2/15

service requirements; 4 CSR 240-28.060; 5/1/15, 11/2/15

settlement agreements with residential customers; 4 CSR 240-33.090; 5/1/15, 11/2/15

snap-back requirements for basic local telecommunications companies; 4 CSR 240-32.120; 5/1/15, 11/2/15

standards for prepaid calling services; 4 CSR 240-32.170; 5/1/15, 11/2/15

standards for providing caller identification blocking service; 4 CSR 240-32.190; 5/1/15, 11/2/15

tariffs; 4 CSR 240-28.070; 5/1/15, 11/2/15

telecommunications company applications for approval of interconnection agreements, amendments to interconnection agreements, and for notices of adoptions of interconnection agreements or statements of generally available terms; 4 CSR 240-3.513; 5/1/15, 11/2/15

telecommunications company records and reports; 4 CSR 240-3.550; 5/1/15, 11/2/15

telecommunications company residential customer inquiries; 4 CSR 240-3.555; 5/1/15, 11/2/15

telecommunications procedure for ceasing operations; 4 CSR 240-3.560; 5/1/15, 11/2/15

211 service; 4 CSR 240-28.090; 5/1/15, 11/2/15

underground systems; 4 CSR 240-30.020; 5/1/15, 11/2/15

uniform system of accounts, Class A or Class B telecommunications companies; 4 CSR 240-30.040; 5/1/15, 11/2/15

variance; 4 CSR 240-33.100; 5/1/15, 11/2/15

verification of orders for changing telecommunications service provider; 4 CSR 240-33.150; 5/1/15, 11/2/15

REAL ESTATE APPRAISERS

applications for certification and licensure; 20 CSR 2245-3.010; 8/3/15, 11/16/15

case study courses; 20 CSR 2245-6.040; 8/3/15, 11/16/15

general organization; 20 CSR 2245-1.010; 8/3/15, 11/16/15

instructor approval; 20 CSR 2245-8.030; 8/3/15, 11/16/15

requirements; 20 CSR 2245-8.010; 8/3/15, 11/16/15

trainee real estate appraiser registration; 20 CSR 2245-3.005; 8/3/15, 11/16/15

RESPIRATORY CARE, MISSOURI BOARD FOR

fees; 20 CSR 2255-1.040; 12/1/15

SOCIAL SERVICES, DEPARTMENT OF

director, office of the

access for inspection of public records and fees for copying of public records; 13 CSR 5-1.030; 9/1/15, 1/4/16

TAX

revenue

annual adjusted rate of interest; 12 CSR 10-41.010; 12/1/15

excess traffic violation revenue; 12 CSR 10-44.100; 10/1/15

WATER COMMISSION, SAFE DRINKING

acceptable and alternative procedures for analysis; 10 CSR 60-5.010; 9/15/15

consumer confidence reports; 10 CSR 60-8.030; 9/15/15

definitions; 10 CSR 60-2.015; 9/15/15

disinfection requirements; 10 CSR 60-4.055; 9/15/15

ground water rule monitoring and treatment technique requirements; 10 CSR 60-4.025; 9/15/15

maximum microbiological contaminant levels and monitoring requirements; 10 CSR 60-4.020; 9/15/15

public notification of conditions affecting a public water supply; 10 CSR 60-8.010; 9/15/15

reporting requirements; 10 CSR 60-7.010; 9/15/15

requirements for maintaining public water system records; 10 CSR 60-9.010; 9/15/15

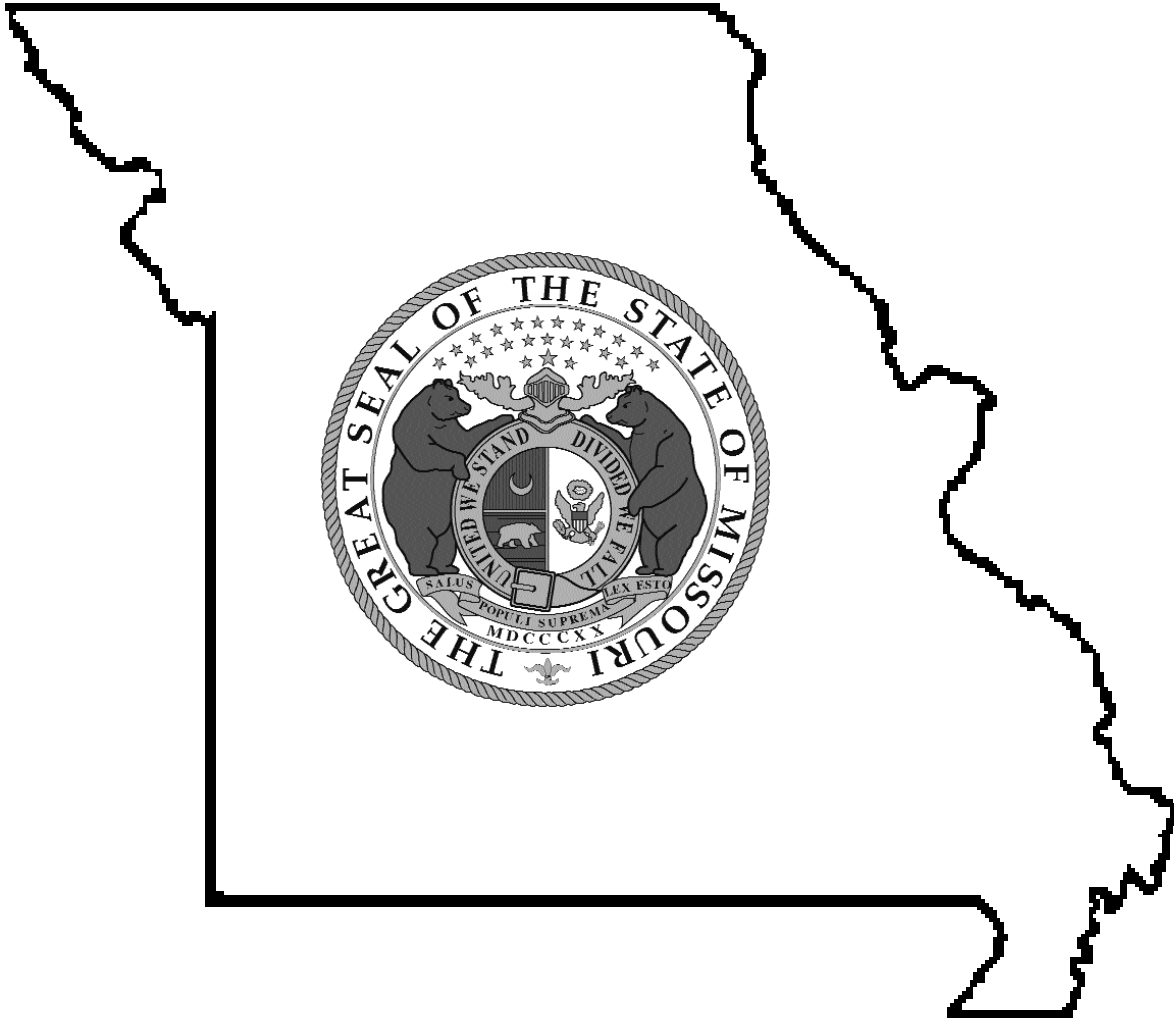
revised total coliform rule; 10 CSR 60-4.022; 9/15/15

WORKERS' COMPENSATION, DIVISION OF

reasonable standard means test; 8 CSR 50-2.025; 8/3/15, 1/4/16

RULEMAKING 1-2-3

DRAFTING AND STYLE MANUAL



JASON KANDER
SECRETARY OF STATE

The Administrative Rules Division has copies of the Rulemaking Manual available for state agencies to assist in preparing all types of rulemakings.

For information about rule drafting classes call (573) 751-4015.

To Subscribe to the *Missouri Register*

SALUS POPULI SUPREMA LEX ESTO
"The welfare of the people shall be the
supreme law."



JASON KANDER
SECRETARY OF STATE

MISSOURI
REGISTER

Contact us at: phone: (573) 751-4015 or email: rules@sos.mo.gov

ORDER FORM

- Enclosed is my check for \$56 as payment in advance for one year of the *Missouri Register*

Please start my subscription with the _____ issue.

Please make checks payable to: **Secretary of State** or alternatively call us to charge your credit card.

Mail to: JASON KANDER
SECRETARY OF STATE
ADMINISTRATIVE RULES DIVISION
PO Box 1767
JEFFERSON CITY, MO 65102

Name or Firm (Please Type or Print)

Attn:

Address

City

State

Zip Code

Rulemaking Classes

Are you new to rulemaking or in need of a refresher course to assist you in filing rules or understanding the rulemaking process?

The Administrative Rules Division offers group and individual classes for rule drafting and preparation of rule packets. Please call Curtis at (573) 751-2022 or email curtis.treat@sos.mo.gov to schedule a class.