Participant audio will be muted for static or other distracting noises on the line. You can type your questions into the chat box. The chat box is located on the right side of the screen. If it has a plus (+) sign next to the word “Chat” you can click on the plus sign to expand the box and enter your question or comment.

The handout from today’s session will be posted on the MOSL LSTA Grants page in the LSTA Online Grant Training Handouts section.
During the session we will look at:

1. Grant management basics, such as how to accept the grant
2. LSTA Grant program and reporting requirements
3. When the final report is due and what’s expected in the report
4. Prior approvals that are needed before purchasing an item and when and how to apply for an amendment
5. What is grant monitoring and why and how is it conducted
6. Best practices that help bring projects to successful conclusion
GRANT MANAGEMENT BASICS
To accept the grant, you need to sign and return the grant acceptance form to the State Library within 10 business days of receipt. Be sure to keep a copy of the signed grant acceptance form in your files as well. Typically we see the Library Director signature on this form, but the signee may vary based on your library's bylaws on who is an authorized signee.

By accepting the grant funds, recipients agree to be bound by all applicable public policy requirements, many of which were included by reference in the grant application and award packet. Failure to comply with these requirements may result in suspension or termination of the award and government recovery of funds. Failure to comply could also result in civil or criminal prosecution.
The start date for the Tech-Mini grant is March 1, 2019

DO NOT PLACE ANY ORDERS OR PURCHASE ANY MATERIALS BEFORE March 1, 2019. IF YOU DO, THOSE COSTS BECOME A LOCAL EXPENSE; LSTA FUNDS CANNOT BE USED FOR THEM.

The end date of the grant period is August 15, 2019. All services should be rendered and all items received and operational by this date.

The final report is due September 13, 2019. (Please note that this date is changed from the date on the application) By this date, all funds should be spent.

It is fine to submit the final report early as long as you have adequate data with which to assess project impact.
The award packets will be emailed to the library Director and it will include the grant acceptance form, budget memo and payment requests forms.

The forms packet is available on our website on the LSTA grants page under the heading LSTA Grant Report Forms, titled Technology Mini-Grant Final Report.

Be sure to include your name and grant project number on each report. Don’t forget to submit the request for final payment form from the award packet when it is time to submit the final report.

You may submit the Request for First Payment after the start of the grant period AND you anticipate you will need to begin spending those funds within 45 days. The Request for Final Payment must be submitted with your Final Report. Note, the payment request forms are ONLY available in your award packet.
In your grant agreement, there is language to use to acknowledge the funding source for your project. Promotional items such as newspaper articles, flyers, brochures, and surveys must acknowledge the grant funding.

The full IMLS acknowledgment text is: “This (project/publication/activity) is supported by the Institute of Museum and Library Services under the provisions of the Library Services and Technology Act as administered by the Missouri State Library, a division of the Office of the Secretary of State.” It is permissible to use a shortened statement when there are space constraints. If this is needed, IMLS prefers that you drop the phrase Library Services and Technology Act instead of Institute of Museum and Library Services.

Also, IMLS has rules for acknowledging grant funding when promoting projects on Social Media. In this case, IMLS requires:

- The use of the hashtag #IMLSgrant, which is followed by IMLS
- If the library promotes a grant funded project or program on Facebook it is required to acknowledge IMLS through the use of @Institute of Museum and Library Services.
- If the library promotes a grant funded project or program on Twitter it is required to acknowledge IMLS through the use of @US_IMLS.

The Institute of Museum and Library Services has a Communications Kit to guide you in your promotional efforts. It includes:

- IMLS acknowledgement requirements
- The grant announcement process and how to benefit
- Tips for sharing your news with the public
- And IMLS logos, including their appropriate use

Promotional Efforts

- Acknowledgement Text:
  “This project is supported by the Institute of Museum and Library Services under the provisions of the Library Services and Technology Act as administered by the Missouri State Library, a division of the Office of the Secretary of State.”

- Social Media Funding Acknowledgement:
  - use the hashtag #IMLSgrant, which is followed by IMLS
  - When mentioning on Facebook: @Institute of Museum and Library
  - When mentioning in a tweet: @US_IMLS

- See the IMLS Communications Kit at https://www.imls.gov/grants/grant-recipients/grantee-communications-kit
The Institute of Museum and Library Services (also known as IMLS) has developed mandatory survey questions to help libraries gather meaningful project data that can then be used for comparison nationwide.

Libraries may add additional questions to the sample surveys, as long as they maintain all of the required questions, as well as the IMLS acknowledgment. If you alter the sample survey, you must have the new version approved by Missouri State Library staff. Those surveys can be sent for approval with the grant application, or they can be sent for review at a later date if they were not ready by the application deadline.

Example = part of grant included hiring an instructor to teach computer classes in a newly outfitted lab
Example = AWE station

Example = New tech to conduct oral history interview and you brought in a trainer to instruct staff on how to conduct interviews
Example = AWE station, new app, new database
Example = Hired a consultant to guide your group through a new tech plan.
First payment cannot be requested until all of these following conditions are met:

- The grant period has started
- You have actually ordered items or contracted services
- You need to make payments with those funds within 45 days

Be sure your request for final payment is based on actual costs. If a local match is involved, be sure you round down the LSTA share to the nearest penny. If you do not spend all of the funds you were awarded for allowable costs, adjust the final payment total by subtracting the amount not spent (the “LSTA Unspent Balance” on your financial report) from the final payment total. In the event you did not spend all of the funds from the first payment, remaining dollars will need to be returned to the State Library. Be sure your request for final payment is based on exact costs, and Do NOT round.

Please remember to submit your Final payment request with your final report!
On the Financial Report:

Report only LSTA funds spent in the chart. Please use EXACT figures. ROUND DOWN TO THE NEAREST PENNY FOR LSTA.

Under the LSTA Amount Approved column enter the amounts awarded in each category as listed on the Budget Memo in the award packet. Equipment is defined as items with a single unit cost of $5,000 or more. A 25% minimum local match is required. There is a 50% local match required for items with a per unit cost of $10,000 or more.

Technology is defined as hardware such as computers, printers, whiteboards; and software such as print/time management or office production. The minimum local match required for technology was included in a chart in the application and we discussed this in detail during the Application Overview Session.

Services is for services provided by a third-party contractor or vendor for expertise other than managing the project. Examples of services include training providers, program presenters, and outside IT support.

Supplies are any items for the project.
Payment Summary –

This form is where you track the use of both LSTA and local funds. List expenditures by budget category and use exact costs. Be sure that the match requirement is calculated correctly. **Be specific as to the number of hours worked, number of items purchased, etc.** (e.g., 22 Computers, 10 hours of IT support, etc.).

If you were awarded funding for computers, attach documentation that shows the specifications of the computers purchased. We will use this to ensure minimum specifications set by the State Library have been met. Note, the minimum specifications may have been waived during application review. If you are unsure if this is the case for you, give us a call.

This documentation should be a copy; retain the original invoices for your files.

It is generally best if supply purchases are paid directly to the vendor and NOT reimbursed to the project director or some other library staff person.

If your award included Personnel, be sure you keep timesheets tracking personnel hours. Libraries using funds to pay for additional staff hours need to maintain in the grant folder documentation that shows the time paid to employee was spent solely on grant project tasks and not part of their regular hours. A copy of the timesheets should be kept in your grant folder. They should be signed and dated by the staff person to confirm the hours worked.
The PROCUREMENT CERTIFICATION details the bid process. This report is required when an item or set of items meets your institution's large purchase criteria.

**State Guidelines: Over $3,000 in the aggregate**

If your agency has a more stringent purchasing requirement than Missouri's $3,000 in the aggregate, the local requirements must be followed.

**Aggregate**: The sum of the purchases of a single item or set of items. (For example if you are purchasing 3 computers at $1,001 each, the bid process comes into place and the need for the procurement certification comes into play.)

**For purchases of equipment over $3,000 in aggregate**—Report the price and rate quotations you obtained through:

1. Informal Method – The informal method is requests for proposals from three or more sources obtained through fax bids; telephone bids; catalog comparison; Internet web pages, or e-mail bids.

**OR**

2. Formal Method – Where the bid is advertised publicly, sealed bids are received and a fixed-price contract awarded to "The responsible bidder whose bid, conforming with all the material terms and conditions of the invitation for bids, is the lowest in price."

If equipment or services are purchased through the State Contract, you are not required to obtain additional bids from other sources. However, utilizing the cooperative procurement program will need to be reported on the Procurement Certification form.

If only one proposal is acquired, the library must show that there is only one source for the product, or a solicitation of price quotes failed to produce a list of interested vendors. Keep all printouts and/or photocopies of quotations on file at your library; send us only the Procurement Certification form.
Answer all questions on the program narrative. Currently the single audit requirement threshold is $750,000 expended in federal funds during the fiscal year of the grantee – City, Public Library, University, School District, etc.

ACTIVITIES: Describe what the library did during the funding period (implemented, created, accomplished). Discuss how these activities contribute to meeting the needs of the target audience.

PROJECT CHANGES and ADDITIONS: Describe any variations from the original grant application that took place throughout the project. For example: What problems, if any, were encountered in implementing the project plan, and how were they resolved? Were there any issues with the timeline? Document how the State Library was informed of and approved any major changes in the scope.

PROJECT PROMOTION: In general, how was the project promoted within the library and community and how effective were each of the efforts? In particular, what attempts were made to attract previously underserved audiences to the Library and what were the results? Also indicate how the community was informed this project was funded through an IMLS-LSTA grant. Attach copies of the promotional efforts: newspaper articles, flyers, brochures, etc. The suggested acknowledgement is “The project was made possible in part by the Institute of Museum and Library Services and Technology Act as administered by the Missouri State Library a division of the Office of the Secretary of State”.

PROJECT PARTNERS: If applicable, this is where you will provide a list of all project partners.

OUTPUTS: What statistical measures did you record that show evidence of service delivery? How do these measures compare to the baseline data gathered prior to the project?
OUTCOMES: Record the evidence that shows there were changes in behavior, skills, attitudes, life condition, status, etc. For example, if you did pre- and post-surveys maybe you found prior to project implementation 20% of users were satisfied with the computers available compared to 73% after the new computers were installed. **If a survey was used, please summarize the results.**

IMPORTANCE OF FINDINGS: Evaluate what the outputs and outcomes tell you regarding the changes that have taken place. This is where you describe what the changes mean to your patrons, staff and community.

LESSONS LEARNED: Describe any significant lessons learned for others wanting to adopt any facet of this project.

PROJECT CONTINUANCE: Do you anticipate continuing this project after the funding period ends? Please describe. For example, the project may continue in that the computer and software purchased through the grant will continue to be available for use.

EFFORT LEVEL: Will the level of effort change when the grant period ends. If so, how will it change? Perhaps your level of effort will be to perform regular updates and maintenance yourself or you will purchase an annual maintenance contract for the equipment using local funds.

PROJECT SCOPE: Will the scope of the project change following the end of the funding period? For example, you will probably have a change in scope from purchasing and installing equipment to maintaining equipment.

OTHER: Other comments as appropriate.
PRIOR APPROVALS AND AMENDMENTS
If you did not have complete estimated costs for project expenses, be sure you have these items reviewed and approved before committing LSTA funds to pay for the item(s).

If you change make or model of a computer or laptop to be purchased through the grant, make sure the new model meets or exceeds minimum specifications set by the State Library. If you have any questions regarding this, feel free to contact either Jennifer Thompson, or me. We will review them with you. We do not want to deny your funding after you have already purchased and installed the equipment for failure to meet this requirement.

If you needed prior approval, this information was included in your Awards Packet you received on the Budget Memo page.
A formal amendment is REQUIRED for the following:

- **Budget changes**
  - Moving over $1,000 or more between existing budget categories
  - Moving any funds into a budget category not in the grant award
  - Needing to use LSTA funds for items not specifically listed in your grant application
  - Needing additional funds

  Requesting additional funds – MUST require AT LEAST $1,000 in LSTA funds before the request will be granted. Don’t forget to apply any local match requirement for the new item(s). If you are unsure of the match requirement, give us a call or send us an email.

Financial issues are not the only reason an amendment may be needed…
An amendment is also REQUIRED to extend the grant period.

A formal amendment is needed for significant changes in the project’s scope or objectives.

An informal amendment is okay for minor changes in scope or objectives. This can be handled through an email correspondence.

No amendment is needed, but please let us know about changes in key personnel or subcontractor.
Request for Amendment

- Remember

- Changes must be approved before committing LSTA funds

- Do not assume you will receive reimbursement of local funds if you pay for something before the amendment is fully in place
Amendment requests must be

- Submitted at least 3 weeks before the end of the grant period
- Filled out completely including reasons for the change
- Must be fully executed (all signatures in place) before placing any orders, etc.

The form is available online on the MOSL LSTA Grant Programs page in the LSTA Grant Report forms section.
GRANT MONITORING
Generally, 15% of grants awarded receive an onsite visit but now it has been changed to 10%. We awarded 20 Technology Mini Grants, so we will be conducting 2 onsite visits. We choose the libraries based on experience of the library in managing grants, uniqueness or complexity of the program, interest in observing operation of the equipment first-hand, irregularities in correspondences, library request, and sometimes location or timing. If you are new to the grant process, the visit may occur early in the grant period so we can go over the library’s grant management responsibilities. NOTE: It is important to have organized files as we consider this a good indication of your grant management style and effectiveness.
Findings: If there are any irregularities found during grant monitoring you will be notified and we will work together to bring the project back into compliance with LSTA rules and regulation
Best Practices

- Create a file folder for all paperwork related to the grant
- Keep track of your budget on an ongoing basis
- Know what you need to count and why
- Monitor changes in your technology users
- Periodically review your application

- Create a file folder for all paperwork related to the grant
- Monitor your budget on an ongoing basis

- Know what you need to count and why
  - Baseline – stats from prior years
  - Number of programs held and attendance
  - Circulation statistics
  - Be able to compare the stats and interpret how changes (or lack thereof) speak to project impact

- Monitor changes in your participants regarding skills, attitude, etc.
  If you plan to use a survey, it MUST be reviewed and approved by the State Library before it is implemented. All surveys submitted with the applications may be considered to be approved unless otherwise noted in your grant award packet.

- Periodically review your application to be sure you accomplished everything listed and in the timeframe desired.
• Watch for project changes, especially if they will require a formal amendment

• Keep all original invoices, timesheets and travel records in case you are audited

• Make copies of all forms you send

• Answer all the questions; fill in all the blanks

• File all reports on or before the deadline

Yes, you may submit the final report early if the project activities are complete and all evaluation measures have been gathered and analyzed.
Questions
Don’t hesitate to contact us if you have any questions about how to manage the grant.

When you exit today’s session, you will be prompted to complete a short survey. Please take the time to do so. This helps us to know if our sessions are helpful, and how we can improve them.