



STATE OF MISSOURI  
OFFICE OF SECRETARY OF STATE

IN THE MATTER OF: )  
 )  
RICHARD T. GRAY ) Case No. AP-04-27  
CRD# 858965, )  
 )  
Respondent. )

Serve: Richard T. Gray  
750 South Hanley  
Clayton, Missouri 63105

**ORDER REFERRING MATTER FOR FILING  
WITH ADMINISTRATIVE HEARING COMMISSION**

On April 9, 2004, Omar D. Davis, Securities Enforcement Counsel, on behalf of the Enforcement Section of the Division of Securities (“Enforcement Section”), filed an amended petition for order of revocation or suspension of agent registration, or order of bar or censure of the agent pursuant to §409.204(a), RSMo Cumulative Supp. 2002.

§409.204(f)(1) provides:

If a proceeding is instituted to revoke or suspend a registration of any agent, broker-dealer or investment adviser under sections 409.101 to 409.419, the commissioner shall refer the case to the administrative hearing commission.

A substantial basis exists to refer this matter to the Administrative Hearing Commission. The Enforcement Section’s request for bar or censure will not be prejudiced during the pendency of this proceeding for revocation or suspension before the Administrative Hearing Commission.

NOW THEREFORE, on this 3<sup>rd</sup> day of May, 2003, the Commissioner, after having reviewed the petition and pursuant to §409.204(f)(1), issues the following order:

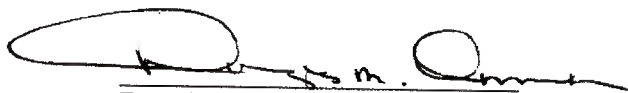
The matter is hereby referred to the Administrative Hearing Commission.

The Enforcement Section shall within five (5) days of this order draft for review by the commissioner a proposed petition pursuant Rule 15 CSR 30-55.010(2)(B), alleging grounds for discipline under §§409.204(a)(2)(B) and (G). Thereafter, the Enforcement Section shall assist the Attorney General's Office in a review of the evidence and the filing of a petition for findings of fact and conclusions of law before the Administrative Hearing Commission.

SO ORDERED.

MATT BLUNT  
SECRETARY OF STATE

May 3, 2004  
Date

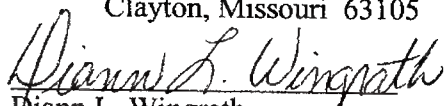


Douglas M. Ommen  
Commissioner of Securities

CERTIFICATE OF SERVICE

I hereby certify that on this 3<sup>rd</sup> day of May, 2004,  
a copy of the foregoing was mailed by certified  
U.S. Mail, postage prepaid, to:

Richard T. Gray  
750 South Hanley  
Clayton, Missouri 63105

  
Diann L. Wingrath  
Administrative Aide