



STATE OF MISSOURI
OFFICE OF SECRETARY OF STATE

IN THE MATTER OF:)
) Case No. AP-04-65
KENNETH M. BRISTOW, JR.)
CRD # 2599507)
)
Respondent.)

Serve at: 20 Fairhaven Boulevard
Woodbury, New York 11797

SUMMARY DENIAL OF AGENT REGISTRATION

Now on the 29th day of June, 2004, the Commissioner issues the following Summary Denial Suspension of Agent Registration:

On June 29, 2004, Assistant Commissioner Mary Hosmer, as counsel for the Enforcement Section, submitted a petition to the Commissioner alleging cause for discipline or disqualification of Kenneth M. Bristow, Jr. ("Respondent") as an agent and for other administrative relief.

On June 29, 2004 the matter was taken up and reviewed by the Commissioner.

The summary denial of Respondent's registration as an agent is in the public interest.

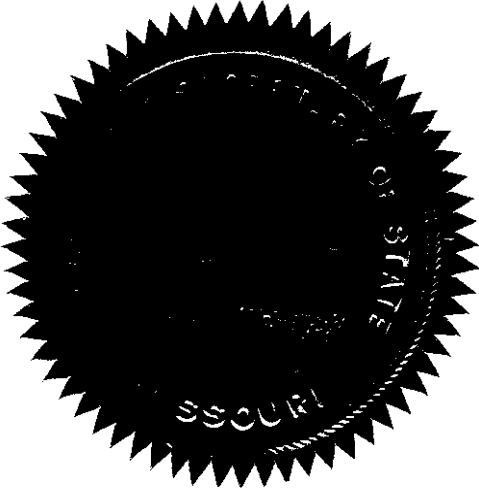
Pursuant to §409.4-412(f), RSMo Cumulative Supp. 2003, and based on the petition submitted by the Enforcement Section, the Commissioner finds that there is a factual and legal basis for a summary denial of Respondent's registration as an agent.

ORDER

IT IS THEREFORE ORDERED that the registration of Kenneth M. Bristow, Jr. as an agent is hereby summarily DENIED.

SO ORDERED.

WITNESS MY HAND AND OFFICIAL SEAL OF MY OFFICE AT JEFFERSON CITY,
MISSOURI THIS 29th DAY OF JUNE, 2004.



MATT BLUNT
SECRETARY OF STATE

DOUGLAS M. OMMEN
COMMISSIONER OF SECURITIES

NOTICE

To: Respondent and any unnamed persons aggrieved by this Order:

You may request a hearing in this matter. Any request for a hearing should be sent, in writing to Douglas M. Ommen, Commissioner of Securities, Office of the Secretary of State, Missouri State Information Center, Room 229, 600 West Main Street, Jefferson City, Missouri, 65102, within thirty (30) days of the receipt of this Order. §409.4-412, RSMo Cumulative Supp. 2003 and MO 15 CSR 30-55.020. If you request a hearing the matter will be scheduled for hearing within fifteen days of a request.

CERTIFICATE OF SERVICE

I hereby certify that on this 29th day of June, 2004, copies of the foregoing notice, order and the petition for administrative relief were mailed by certified U.S. Mail, postage prepaid, to the Respondent in this matter.

Diann L. Wingrath
Administrative Aide