



**John R. Ashcroft**  
Secretary of State  
**State of Missouri**  
600 W. Main • PO Box 1276  
Jefferson City, MO 65102

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**APPLICATION TO REGISTER AS A FOREIGN FAMILY TRUST COMPANY**

*Form FTC-02*

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**General Instructions**

This form is the application to register as a foreign family trust company, as that term is defined in section 362.1015(10), RSMo. All statutes, rules, and forms relating to foreign family trust companies are available at the Office of Secretary of State's website: <http://www.sos.mo.gov>.

**Authorized Representative.** The application must be completed and affirmed under penalty of perjury by an authorized representative of the proposed foreign family trust company. The authorized representative must be an officer or director, if the proposed foreign family trust company is organized as a corporation, or a manager, officer, or member, if the proposed foreign family trust company is organized as a limited liability company. The authorized representative may designate a correspondent or legal representative to correspond with the secretary for the purpose of inquiries and requests for information regarding the application. The duty to ensure that all information provided in the application is complete, correct, and true remains with the proposed foreign family trust company's authorized representative.

If additional space is needed to complete the information required by this form, attach additional pages as necessary and identify the question to which the additional pages pertain.

Upon completion, submit the application, along with the nonrefundable \$5,000 filing fee payable to the Secretary of State to:

Secretary of State  
Securities Division  
600 W. Main Street  
PO Box 1276  
Jefferson City, MO 65102

- The nonrefundable \$5,000 filing fee, made payable to "Secretary of State", is provided with this application for deposit into the Family Trust Company Fund.

This application will not be deemed to be filed until the applicant has provided the secretary with all information required.

# APPLICATION

**1. Name of the Foreign Family Trust Company**

\_\_\_\_\_

**2. Current Street Address and Telephone Number of the Physical Location of the Foreign Family Trust Company's Principal Place of Business in the Jurisdiction in which it was Incorporated or Organized**

Street Address Line 1: \_\_\_\_\_

Street Address Line 2: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Facsimile Number: \_\_\_\_\_

**3. Name, Address, and Telephone Number of the Foreign Family Trust Company's Supervisory or Regulatory Authority in the Jurisdiction in which it was Incorporated or Organized**

Name of Authority: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Facsimile Number: \_\_\_\_\_

Website Address: \_\_\_\_\_

**4. Missouri - Current Street Address and Telephone Number of the Physical Location in Missouri of the Foreign Family Trust Company's Principal Place of Operations**

Street Address Line 1: \_\_\_\_\_

Street Address Line 2: \_\_\_\_\_

City: \_\_\_\_\_, Missouri Postal Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Facsimile Number: \_\_\_\_\_

**5. Current Street Address and Telephone Number of Any Other Offices in Missouri**

Street Address	City	Postal Code	Telephone Number

**6. Registered Agent in Missouri**

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_, Missouri      Postal Code: \_\_\_\_\_

**7. The Foreign Family Trust Company's Authorized Representative or Correspondent for Purposes of this Application**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_, State: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Email: \_\_\_\_\_

**8. Deposit Account**

State the name and address of the financial institution, which must be a state-chartered or national financial institution that has a principal or branch office in Missouri, where the foreign family trust company will maintain a deposit account.

Name of Institution: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Missouri Office Address:\* \_\_\_\_\_

Missouri Office City: \_\_\_\_\_ Postal Code: \_\_\_\_\_

\* If different from the address above.

**9. Certificate of Good Standing and Proof that the Organization of the Foreign Family Trust Company in the Jurisdiction Where it is Incorporated or Organized is Similar to that of a Family Trust Company as Defined in Chapter 362, RSMo.**

Provide, as Exhibit A, a certified copy of a certificate of good standing, or an equivalent document, issued by the licensing official or agency in the jurisdiction where the foreign family trust company is incorporated or organized, and authenticated by the official having custody of records in the jurisdiction where the foreign family trust company is incorporated or organized, along with:

- (a) a copy of the foreign family trust company's articles of incorporation, articles of organization, or other equivalent document, for its operations in the jurisdiction where it is incorporated or organized;
- (b) a copy of the bylaws or operating agreement or equivalent document for its operations in the jurisdiction where it is incorporated or organized;
- (c) a description of all types of services the foreign family trust company is authorized by law to provide in the jurisdiction where it is incorporated or organized and the services it provides;
- (d) a description of the types of persons or entities (for example, one or more families, family members, related interests of family members, company employees, members of the general public, unrelated persons and/or businesses, and/or others) to whom the foreign family trust company is authorized by law to provide services in the jurisdiction where it is incorporated or organized and an identification of the types of persons or entities to whom the company provides services in the jurisdiction where it is incorporated or organized; and
- (e) a complete copy of the initial registration application or equivalent document and information submitted to the registering official or agency in jurisdiction where the foreign family trust company is incorporated or organized.

**10. Is the foreign family trust company owned by, or a subsidiary of, a corporation, limited liability company, or other business entity that is organized in or licensed by any foreign country?**

Yes                       No

**11. Applicable State and Local Business Licenses, Charters, and Permits**

List all applicable state and local business licenses, charters, and permits obtained or that will be obtained for the company's operations in Missouri.

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**CERTIFICATION**

I, the undersigned authorized representative of \_\_\_\_\_, the foreign family trust company applicant named herein, hereby affirm, under penalty of perjury, that I am authorized to make this application on behalf of the applicant; that the operations of the foreign family trust company will comply with the provisions of sections 362.1010 to 362.1117, RSMo.; that the applicant is currently in compliance with the family trust company laws and regulations of the jurisdiction in which it is incorporated or organized; that I have read the foregoing application and all information submitted herewith; and that the application and all information submitted herewith is true, complete, and correct to the best of my knowledge and belief. I understand that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal by the secretary to authorize the foreign family trust company to operate in this state.

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_

COUNTY OF \_\_\_\_\_

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, by \_\_\_\_\_, who  is personally known to me or who  produced \_\_\_\_\_ as identification.

\_\_\_\_\_  
Notary Public Signature

Notary Seal:

**EXHIBIT A**

**Certificate of Good Standing and Proof that the Organization of  
the Foreign Family Trust Company in the Jurisdiction Where it is  
Incorporated or Organized is Similar to that of a Family Trust Company  
as Defined in Chapter 362, RSMo.**