Rules of  
Department of Insurance,  
Financial Institutions and  
Professional Registration  
Division 200—Insurance Solvency and Company Regulation  
Chapter 14—Multiple Employer Self-Insured Health Plans

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20 CSR 200-14.100 Application for Certificate of Authority

PURPOSE: This rule prescribes the process and forms to be used by a multiple employer self-insured health plan in applying for a certificate of authority to transact business in Missouri.

(1) An Application for Certificate of Authority form must be completed for a Multiple Employer Self-Insured Health Plan that does not meet the exemption standards of 376.1002, RSMo. Each application must be accompanied by a fee in the amount of one hundred sixty dollars ($160), along with all the documents requested on Section C of the application form, even if the Multiple Employer Self-Insured Health Plan had commenced operations prior to the effective date of this rule (June 6, 1994).

(2) Each application must also be accompanied by the most recent audited financial report in accordance with sections 375.1025–375.1062, RSMo, and which includes those items specified in 376.1012, RSMo showing the condition and affairs of the plan.

(3) Each trustee of a Multiple Employer Self-Insured Health Plan shall obtain a fidelity bond from a licensed insurer in an amount of not less than one hundred fifty thousand dollars ($150,000).

(4) A copy of a Contract between Multiple-Employee Self-Insured Health Plan and Participating Employer form must be completed and executed by each participating employer and filed with the director of the Department of Insurance by the plan as part of its application for a certificate of authority.


20 CSR 200-14.200 Renewal of Certificate of Authority

PURPOSE: This rule prescribes the process and forms to be used by a multiple employer self-insured health plan to renew its certificate of authority.

(1) An Application for Certificate of Authority form must be completed and submitted to the director of the Department of Insurance, Financial Institutions and Professional Registration by March 1 of each year, along with the license fee prescribed by section 376.1005.2, RSMo, in order to renew a multiple employer self-insured health plan’s certificate of authority.

(2) By March 1 of each calendar year, each multiple employer self-insured health plan must submit an annual report consisting of the National Association of Insurance Commissioners health annual statement convention blank, as well as an annual audited financial report prepared in accordance with sections 375.1025–375.1062, RSMo, and which include those items specified in section 376.1012(4), RSMo showing the condition and affairs of the plan as of the preceding December 31. A multiple employer self-insured health plan may request an extension to June 1 for filing the annual audited financial report, which will be granted for good cause shown.


20 CSR 200-14.300 Employers Who Join the Plan After a Certificate of Authority is Granted

(Rescinded July 30, 2019)


20 CSR 200-14.400 Dissolution of Plan

(Rescinded July 30, 2019)

AUTHORITY: sections 374.045, 375.786