# Rules of Department of Agriculture
## Division 60—Grain Inspection and Warehousing
### Chapter 4—Missouri Grain Warehouse Law

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Title 2—DEPARTMENT OF
AGRICULTURE
Division 60—Grain Inspection
and Warehousing
Chapter 4—Missouri Grain Warehouse
Law

2 CSR 60-4.010 Definitions
(Rescinded May 11, 1980)

2 CSR 60-4.011 Agricultural Commodities to be Regulated as Grain

PURPOSE: This rule explains the interpretation made by the department regarding the definition of grain in section 411.024(14), RSMo.

Editor’s Note: The secretary of state has determined that the publication of this rule in its entirety would be unduly cumbersome or expensive. The entire text of the material referenced has been filed with the secretary of state. This material may be found at the Office of the Secretary of State or at the headquarters of the agency and is available to any interested person at a cost established by state law.

(1) Rice shall be regulated as grain in addition to any grain for which the United States Department of Agriculture has established standards under the United States Grain Standards Act.

Auth: section 411.070, RSMo (1986).*


2 CSR 60-4.015 Scope of Law

PURPOSE: This rule explains the interpretation made by the department regarding the scope of the Missouri Grain Warehouse Law as provided in section 411.015, RSMo.

(1) The provisions of the Missouri Grain Warehouse Law apply to all warehouse workers (warehousemen, as defined in section 411.026, RSMo) and warehouses located in Missouri. Warehouse workers and warehouses licensed under the United States Warehouse Act are exempted from those provisions of the law which are specifically addressed and covered in the United States Warehouse Act.

Auth: section 411.070, RSMo (1986).*


2 CSR 60-4.016 Application of Law

PURPOSE: This rule explains the interpretation made by the department regarding the application of Missouri Grain Warehouse Law as provided in section 411.081, RSMo.

(1) Provisions of Chapter 411, RSMo apply to that part of the operation of any public or private warehouse operating under the United States Warehouse Act, not specifically referenced in that Act or corresponding regulations.

Auth: section 411.070, RSMo (1986).*


2 CSR 60-4.020 Audit of Warehouses—Fees

PURPOSE: This rule states the schedule of fees to be charged for warehouse examinations.

(1) Actual capacity, not over 150,000 bushel (bu.) capacity, minimum fee $50; 150,001 bu.—300,000 bu., $50 plus 60¢ per 1000 based on 1/3 capacity of excess over 150,000 bu.; 300,001 bu.—600,000 bu., $50 plus 50¢ per 1000 based on 1/3 capacity of excess over 300,000 bu.; 600,001 bu.—1,200,000 bu., $130 plus 40¢ per 1000 based on 1/3 capacity of excess over 600,000 bu.; 1,200,001 bu.—2,400,000 bu., $210 plus 30¢ per 1000 based on 1/3 capacity of excess over 1,200,000 bu.; 2,400,001 bu.—4,800,000 bu., $330 plus 20¢ per 1000 based on 1/3 capacity of excess over 2,400,000 bu.; and over 4,800,000 bu., $490 plus 15¢ per 1000 based on 1/3 capacity of excess over 4,800,000 bu.


2 CSR 60-4.030 Warehouse License—Fees

PURPOSE: This rule states the schedule of fees to be charged for public warehouse licenses.

(1)

<table>
<thead>
<tr>
<th>Actual Capacity</th>
<th>Fee (per year)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1—300,000</td>
<td>$25</td>
</tr>
<tr>
<td>300,001—600,000</td>
<td>$50</td>
</tr>
<tr>
<td>600,001—900,000</td>
<td>$100</td>
</tr>
<tr>
<td>900,001—1,500,000</td>
<td>$150</td>
</tr>
<tr>
<td>1,500,001—2,500,000</td>
<td>$200</td>
</tr>
<tr>
<td>2,500,001—3,500,000</td>
<td>$250</td>
</tr>
<tr>
<td>over 3,500,000</td>
<td>add $25/for each additional million bushels.</td>
</tr>
</tbody>
</table>


2 CSR 60-4.040 Licensing of Grain Weighers and Grain Inspectors

PURPOSE: This rule states the requirements for obtaining a grain weigher’s or grain inspector’s license.

(1) Each person wishing to obtain a grain weigher’s or grain inspector’s license must submit an application for approval by the director. The application shall be in a form prescribed by the director. All items on the application must be completed or marked Not Applicable, as appropriate. The director shall review the application and upon finding that the applicant is capable of performing the services proposed, the director shall issue the proper licenses.

(2) A fee of five dollars ($5) will be charged upon issuance of each license.


Missouri Department of Agriculture
Division of Grain Inspection and Warehousing

Application for License to Inspect and/or Weigh Grain

In and under the laws of the State of Missouri Chapter 411, RSMo., the undersigned hereby apply under the Missouri Grain Warehouse Law and the regulations prescribed thereunder, for a terminal or public warehouse license to (inspect and/or weigh) and certify the (grade and/or weight) of grains for the purpose of issuing warehouse receipts and scale tickets.

I make application to (inspect and/or weigh) grain in the following warehouse which is licensed under the Missouri Grain Warehouse Law.

<table>
<thead>
<tr>
<th>Warehouse</th>
<th>Warehouse Location</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Have you ever been refused a bond?  □ Yes  □ No  If Yes, Explain.

Give name and address of three character references not of your immediate family.

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Years of experience in the inspection or weighing of grain.

I hereby state that all statements are true and accurate to the best of my knowledge.

Applicant Signature  Date

Warehousemans Statement

This applicant is hereby applying for a license to

□ Inspect  □ Weigh  □ Inspect & Weigh

grain under the Missouri Grain Warehouse Law. I do certify that said applicant is employed by me and will be furnished with the necessary equipment to accurately

□ Inspect  □ Weigh  □ Inspect & Weigh

grain in this state licensed warehouse.

□ is  □ is not to be authorized to sign warehouse receipts.

Warehouse  Date

Warehousemans Signature  Title

Auditor's Recommendations

I have observed and tested the above mentioned applicant performing tasks related to and necessary for approval.

□ Applicant appears qualified. I recommend approval.

□ Applicant does not appear qualified. I do not recommend approval.

Auditor's Signature  Date

MO 355-0338 (9-86)
MISSOURI GRAIN WAREHOUSE LAW

CHAPTER 411. RSMo

CERTIFICATE OF LOSS OF UNCANCELED WAREHOUSE RECEIPT

WAREHOUSEMAN'S AFFIDAVIT

It is hereby certified that:

Missouri Grain Warehouse Receipt number _____ in the amount of ________
gross pounds and/or _________ net bushels of _________ (type of grain)
dated ________ (date)
was voided, or this receipt was never issued or voided, and that said receipt has not been negotiated or assigned in any way; that said receipt was ________ (indicate whether lost, stolen, or destroyed) and that a diligent effort has been made to find said receipt without success.

It is hereby certified to the Missouri Department of Agriculture, subject to penalties of applicable laws for knowing false representations and similar offenses, that the information contained in the above statement is, to the best of my knowledge and belief, a true, correct, and complete statement. I understand that this statement and the information contained herein does not relieve me of any responsibilities under the Missouri Grain Warehouse Law.

Section 411.517 states that any warehouseman who keeps or files false records or who alters records in order to conceal outstanding storage obligations or to mislead departmental auditors is guilty of a Class C felony, and upon conviction, shall be punished for each offense by a fine and/or by imprisonment by the division of corrections as set forth as punishment for that class of crime.

(DATE)

Name and Location of Warehouseman

(Corporate Seal if applicable)

Manager’s Signature

Signature of Owner/Officer & Title

Subscribed and Sworn to before me this ______ day of __________, ___.

(Notary Seal)

(Notary Public)

My commission expires ______________.

OR

(Dept. of Agriculture Representative)
MISSOURI GRAIN WAREHOUSE LAW
CHAPTER 411. RSMo
CERTIFICATE OF LOSS OF UNCANCELED WAREHOUSE RECEIPT

PART I.
WAREHOUSEMAN'S AFFIDAVIT

It is hereby certified that:
Missouri Grain Warehouse Receipt number ______ was issued on (Date)
in the amount of _________ gross pounds and/or _________ net bushels of
_________ (grade & type of grain) by (name of depositor) of (city)
_________ (state) on (date) by (name of warehouse) of (warehouse location)
Missouri Warehouse License Number P-UR____ and that said receipt was returned to
the warehouse for cancellation; that said receipt was _________
(indicate whether lost, stolen, or destroyed)
and that a diligent effort has been made to find said receipt without success. It
is further certified that full compensation in the form of payment or redelivery
of the grain was made to _________ on _________; and that said receipt has not been negotiated or assigned in any way.

It is hereby certified to the Missouri Department of Agriculture, subject to
penalties of applicable laws for knowing false representations and similar
offenses, that the information contained in the above statement is, to the best of
my knowledge and belief, a true, correct, and complete statement. I understand
that this statement and the information contained herein does not relieve me of any
responsibilities under the Missouri Grain Warehouse Law.

Section 411.517 states that any warehouseman who keeps or files false records
or who alters records in order to conceal outstanding storage obligations or to
mislead departmental auditors is guilty of a Class C felony, and upon conviction,
shall be punished for each offense by a fine and/or by imprisonment by the division
of corrections as set forth as punishment for that Class of crime.

(DATE) Name and Location of Warehouseman

(Corporate Seal
if applicable) Manager's Signature

Signature of Owner/Officer & Title

Subscribed and Sworn to before me this ______ day of __________, 19____.

(Notary Seal) (Notary Public)
My commission expires ________________.

OR
(Dept. of Agriculture Representative)
PART II

DEPosITOR’S AFFIDAVIT

It is hereby certified that:

1. ___________________________ was lawfully entitled to
   the possession of the original negotiable copy of Missouri Grain Warehouse Receipt
   number _____ issued by ___________________________ on __________ for
   ___________________________ gross pounds and/or ___________________________ net bushels of ___________________________;
   (grade & type of grain)

   that said receipt was returned to said warehouseman for cancellation; and that said
   receipt has not been otherwise negotiated or assigned in any way. It is further
   certified that full compensation for the grain represented on said receipt has been
   received in the form of payment or redelivery.

   __________________________________________
   Name of Depositor

   __________________________________________
   Depositor’s Signature

   Subscribed and Sworn to before me this ______ day of ________, 19__.
   (Notary Seal)

   __________________________________________
   (Notary Public)
   My commission expires _________________________.
   OR
   __________________________________________
   (Dept. of Agriculture Representative)

PART III

RELEASE OF LIABILITY

This is to certify that the State of Missouri shall be exonerated of any
liability that may arise from the payment for, or issuance of a duplicate receipt
to replace, receipt number _____ issued to ___________________________ of
______________________________ by
______________________________.
(designator’s address) (name of warehouse)

Said warehouseman and depositor agree to save harmless any innocent third
party in the event of reappearance of said lost receipt.

____________________________________________
(Depositor’s Name)

____________________________________________
(Warehouseman’s Name)

BY: __________________________
   (Depositor’s or Agent’s Signature)

BY: __________________________
   (Warehouseman’s or Agent’s Signature)

Subscribed and Sworn to before me this ______ day of ________, 19__.
   (Notary Seal)

   __________________________________________
   (Notary Public)
   My commission expires _________________________.
   OR
   __________________________________________
   (Dept. of Agriculture Representative)
MISSOURI GRAIN WAREHOUSE LAW
CHAPTER 411. RSMo
CERTIFICATE OF LOSS OF UNCANCELED WAREHOUSE RECEIPT

PART I
WAREHOUSEMAN'S AFFIDAVIT

It is hereby certified that:

Missouri Grain Warehouse Receipt number ______ was issued on ______ in the amount of ______ gross pounds and/or ______ net bushels of ______ in ______, ______, to ______, by ______, Missouri Warehouse License Number P-UR-______.

It is hereby certified to the Missouri Department of Agriculture, subject to penalties of applicable laws for knowing false representations and similar offenses, that the information contained in the above statement is, to the best of my knowledge and belief, a true, correct, and complete statement. I understand that this statement and the information contained herein does not relieve me of any responsibilities under the Missouri Grain Warehouse Law.

Section 411.517 states that any warehouseman who keeps or files false records or who alters records in order to conceal outstanding storage obligations or to mislead departmental auditors is guilty of a Class C felony, and upon conviction, shall be punished for each offense by a fine and/or by imprisonment by the division of corrections as set forth as punishment for that Class of crime.

(DATE) ____________________________
Name and Location of Warehouseman

(Corporate Seal if applicable) ____________________________
Manager's Signature

Signature of Owner/Officer & Title ____________________________

Subscribed and Sworn to before me this ______ day of ______, 19____.

(Notary Seal) ____________________________
(Notary Public)
My commission expires __________.

OR
(Dept. of Agriculture Representative) ____________________________
Chapter 4—Missouri Grain Warehouse Law

PART II DEPOSITOR’S AFFIDAVIT No. 3

It is hereby certified that:

I, ___________________________ am lawfully entitled to

the possession of the original negotiable copy of Missouri Grain Warehouse Receipt

number ______ issued by ________ on ________ for

_______ gross pounds and/or _______ net bushels of __________;

that said receipt has not been otherwise negotiated or assigned in any way. It is

further certified that said receipt was __________________________;

and that a diligent effort has been made to find said receipt without success.

_________________________

(DATE) Name of Depositor

_________________________

Depositor’s Signature

Subscribed and Sworn to before me this ______ day of ________, 19____.

_________________________

(Notary Seal) (Notary Public)

My commission expires ____________________________.

OR __________________________

(Dept. of Agriculture Representative)

PART III RELEASE OF LIABILITY

This is to certify that the State of Missouri shall be exonerated of any

liability that may arise from the payment for, or issuance of a duplicate receipt

to replace. receipt number ______ issued to __________________________ of

_________________________

(depositor’s name)

by __________________________

(depositor’s address) (name of warehouse)

Said warehouseman and depositor agree to save harmless any innocent third

party in the event of reappearance of said lost receipt.

_________________________

(Warehouseman’s Name)

_________________________

(Depositor’s Name)

BY: __________________________

(Depositor’s or Agent’s Signature)

Subscribed and Sworn to before me this ______ day of ________, 19____.

_________________________

(Notary Seal) (Notary Public)

My commission expires ____________________________.

OR __________________________

(Dept. of Agriculture Representative)
2 CSR 60-4.045 Weighing of Grain

PURPOSE: This rule clarifies who may weigh or supervise the actual weighing of grain at terminal warehouse locations, as well as at other grain warehouse locations, in Missouri as described in sections 411.030 and 411.160, RSMo.

(1) The department has the exclusive right to perform Class I and Class II weighing, and the subsequent certification, in terminal warehouses, as well as at all other grain warehouse locations in Missouri: except that, grain exchanges or boards of trade may weigh or supervise the weighing of grain in Missouri.

(A) Class I is defined as—A weight documented by a certificate issued by a weigher that has been duly appointed by the director and qualified according to law using an approved scale under one hundred percent (100%) supervision of a disinterested third party.

(B) Class II is defined as—A weight documented by a weight certificate issued by a weigher that has been duly appointed by the director and qualified according to law using an approved scale under a minimum of twenty-five percent (25%) supervision of a disinterested third party.

(C) Grain exchanges and boards of trade are defined as—Any associations or organizations that are formed in conformity with, operate in conformity with and are governed by the provisions of sections 276.090—276.150, RSMo.

(D) Terminal warehouse is defined as—Any warehouse where the department makes available inspection and weighing services.

Auth: section 411.070, RSMo (1986).*


2 CSR 60-4.050 Warehouse Receipts

PURPOSE: This rule states the requirements for negotiable warehouse receipts including the issuance, distribution, cancellation, retention and the procedures required when a negotiable receipt is lost or stolen, including the issuance of duplicate receipts, and also requirements on nonnegotiable receipts.

(1) All negotiable warehouse receipts must be ordered from the Missouri Department of Agriculture, GRS, P.O. Box 630, Jefferson City, MO 65102 in triplicate or quaduplicate. Copies shall be distributed as follows:

(A) The original copy of the negotiable warehouse receipt must be issued to the owner of the stored commodity;

(B) At least one (1) copy of each warehouse receipt ordered, whether issued or voided, must be retained by the warehouseman in accordance with section 411.383, RSMo; and

(C) Additional copies printed may be given to the owner(s) of the stored commodity or retained by the warehouseman.

(2) The cancellation date, method of disposition and disposition document number must be shown on each original warehouse receipt cancelled by the warehouseman.

(3) If the original copy of a negotiable warehouse receipt is lost, stolen or destroyed by the owner, the following procedures must be followed:

(A) The owner(s) of the receipt shall complete and file an affidavit, on a form approved by the department, with the issuing warehouseman stating that s/he is lawfully entitled to the possession of the original receipt, that s/he has not negotiated or assigned the original receipt, how the original receipt was lost, stolen and destroyed and that a diligent effort has been made to find the receipt without success;

(B) The owner also shall obtain and file with the warehouseman a lost instrument bond, issued by a surety company that is authorized to do business in Missouri, in an amount equal to double the current value of the commodity represented by the lost warehouse receipt. The bond shall indemnify the warehouseman against loss, liability or expense that may be sustained as a result of the warehouseman’s issuance of duplicate (replacement) receipt; and

(C) Upon receipt of the previously mentioned documents, the warehouseman shall issue a duplicate (replacement) warehouse receipt with the same terms, subject to the same conditions and bearing on its face the number and date of the receipt which it is replacing, along with the word DUPLICATE.

(4) If the original copy of a negotiable warehouse receipt is lost or destroyed by a licensed warehouseman, the director shall require proof of that loss or destruction and may require the warehouseman to sign an affidavit stating—that the receipt has been lost or destroyed and therefore cannot be produced for cancellation, that delivery or payment in full for the commodity represented is to be made to the owner and that the warehouseman has not negotiated the receipt for value. The director also may require the owner(s) of the negotiable receipt to sign an affidavit stating that s/he received delivery of, or payment in full for, the grain represented by the receipt and that the original receipt was given to the warehouseman for cancellation.

(5) A summary of all nonnegotiable warehouse receipts, other than scale tickets, issued by a licensed warehouse must be made available to department auditors or mailed to the Missouri Department of Agriculture, GRS, P.O. Box 630, Jefferson City, MO 65102. The summary must state the bushel amount and current market value of each type of commodity receipted and the total dollar amount receipted.

Auth: section 411.070, RSMo (1986).*


2 CSR 60-4.060 Safety Requirements

PURPOSE: This rule establishes the responsibility for safe working conditions for employees of the Department of Agriculture.

(1) It shall be the responsibility of each licensed warehouseman and any unlicensed person requesting services of the Missouri Department of Agriculture to provide safe working conditions for employees of the department. This shall include, but not be limited to, safe ladders, manlifits and catwalks that are the means of entrance and exit to storage units.

Auth: section 411.070, RSMo (1986).*

Chapter 4—Missouri Grain Warehouse Law

2 CSR 60-4.080 Storage Space Approval

PURPOSE: This rule establishes requirements for the approval of warehouse storage space for licensing.

(1) Upon receipt of an application for a warehouse license, the director shall verify the following prior to approving storage space for licensing:

(A) The flooring is steel or concrete, except for wooden elevators and raised aeration floors;
(B) The sidewalls are rigid and self-supporting;
(C) The space is immobile;
(D) Adequate means of entrance and exit to the storage space are provided; and
(E) The space is otherwise capable of maintaining the quantity and quality of grain stored in it.

(2) The requirements for flooring, sidewalls and immobility may be modified by the director for emergency or temporary storage on a case-by-case basis if it is shown that adequate protection for stored grain is provided by the storage space.

(3) Approved space may be used only when all other licensing requirements have been met and a warehouse license has been issued by the director.

(4) The licensed storage capacity of the warehouse may be increased upon the director's verification that the space to be added meets the requirements of section (1) of this rule; however, prior to using any additional space, the warehouseman, based on the increased capacity, shall increase stock insurance and license security to the amounts required under sections 411.278, 411.281 and 411.290, RSMo.

(5) The licensed storage capacity of the warehouse may be decreased or space deleted from a license upon the director's verification that all grain has been removed from the space. However, if the space is connected to other licensed space by grain transporting equipment, the director may consider the space one (1) functional unit and require the space to remain licensed.

Auth: section 411.070, RSMo (1986).*

NOTE: This application is required for all original applicants for a Missouri grain warehouse and/or Missouri grain dealer license. This information is requested to allow the Grain Regulatory Services Program to effectively administer the Missouri Grain Warehouse Law (Chapter 411, RS Mo), the Missouri Grain Dealer Law (276.401-276.581, RSMo), and related administrative rules. Please read the "General Information & Instructions" and the "Guidelines for Preparation of Financial Statements" before completing this form. Failure to submit financial statements required by these instructions may result in our rejection of your application. This form should be typewritten, and must be subscribed and sworn to under oath. If you are applying for licenses at more than one location, an original application form must be completed for each location. All items on the application must be completed or marked not applicable (N/A).

APPLICANT’S LEGAL NAME

DBA

APPLICANT (HEADQUARTERS) INFORMATION

LOCATION INFORMATION (MULTIPLE LOCATIONS SEE INSTRUCTIONS)

ADDRESS

ADDRESS

CITY

CITY

STATE

STATE

ZIP

ZIP

TELEPHONE

TELEPHONE

FAX NO.

FAX NO.

CONTACT PERSON

MANAGER’S NAME

DO YOU WANT MAIL TO GO TO:

APPLICANT ADDRESS ☐ LOCATION ADDRESS

MANAGER’S HOME ADDRESS

CITY

STATE

ZIP

MANAGER’S HOME PHONE

☐ APPLICANT ADDRESS ☐ LOCATION ADDRESS

TYPE OF BUSINESS

(CHECK APPLICABLE BOXES AND LIST APPLICABLE NAMES)

☐ INDIVIDUAL PROPRIETOR

NAME OF SPOUSE

☐ PARTNERSHIP

PARTNERS NAMES:

☐ CORPORATION

NAME OF OFFICERS:

☐ SSB

PRESEN'T

☐ COOPERATIVE

VICE-PRESIDENT

☐ TREASURER

TYPE OF LICENSE BEING APPLIED FOR

(CHECK APPLICABLE BOXES)

☐ MISSOURI GRAIN WAREHOUSE LICENSE: ☐ OWN FACILITY ☐ LEASE/RENT FACILITY FROM:

☐ MISSOURI GRAIN DEALER LICENSE (CHECK WHICH CLASS AND STATE ESTIMATE):

☐ CLASS 1 - ALSO LICENSED AS A MISSOURI GRAIN WAREHOUSE (OR FEDERAL GRAIN WAREHOUSE IN MISSOURI - LICENSE #

☐ CLASS 2 - OWNING OR USING PHYSICAL FACILITIES IN WHICH LICENSEE OWNED GRAIN MAY BE STORED OR HELD.

☐ CLASS 3 - PRIMARILY ENGAGED IN THE TRUCKING OR TRANSPORTATION BUSINESS.

☐ CLASS 4 - ANY DEALER WHO IS NOT CLASS 1, 2, OR 3 DEALER.

ESTIMATE THE TOTAL DOLLAR AMOUNT OF GRAIN TO BE PURCHASED IN MISSOURI DURING THE FIRST FISCAL YEAR $
**Chapter 4—Missouri Grain Warehouse Law**

**CHECK WHICH SERVICES YOU WILL BE OFFERING:**
- [ ] Buy whole grain for resale.
- [ ] Transport grain for other parties.
- [ ] Purchase whole grain, process and sell as feed.
- [ ] Purchase whole grain, process and sell as seed.
- [ ] Sell grain only.
- [ ] Grain bank feed grains for producers.
- [ ] Store grain on an open storage basis.
- [ ] Offer delayed pricing or deferred payment options.
- [ ] Issue negotiable warehouse receipts.
- [ ] Other (specify):

**OFFICE USE ONLY**

**BROKERS USED FOR HEDGING OR SPECULATIVE TRADING IN THE COMMODITIES MARKETS:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>Zip</th>
</tr>
</thead>
</table>

**FINANCIAL INSTITUTIONS USED:**

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
<th>Telephone</th>
<th>Contact Person</th>
</tr>
</thead>
</table>

**STATE YOUR FISCAL YEAR END:**

**CORPORATIONS ONLY:**

1. **STATE OF INCORPORATION:**

2. **DATE OF INCORPORATION:**

   (A COPY OF YOUR CERTIFICATE OF INCORPORATION AND ANY AMENDMENTS MUST BE SUBMITTED. IF INCORPORATED IN A STATE OTHER THAN MISSOURI, A COPY OF THE CERTIFICATE OF AUTHORITY OR RESIDENT AGENT DESIGNATION MUST BE SUBMITTED.)

3. **IF YOU ARE A CLOSELY HELD CORPORATION (STOCK NOT PUBLICLY TRADED) LIST THE THREE LARGEST STOCKHOLDERS AND INDICATE WHAT PERCENT OF THE TOTAL STOCK THEY OWN:**

   1. ____________________________ %
   2. ____________________________ %
   3. ____________________________ %

4. **HAS ANY OFFICER, MAJORITY SHAREHOLDER OR BOARD MEMBER OF THE CORPORATION BEEN INVOLVED IN ACTIVITIES WHICH RESULTED IN ANY LOSSES TO GRAIN DEPOSITORS OR GRAIN SELLERS IN MISSOURI OR ANY OTHER STATE IN THE PAST TEN (10) YEARS?**

   - [ ] Yes
   - [ ] No

   IF YES, PLEASE EXPLAIN:

5. **IS THE CORPORATION A MAJORITY OR WHOLLY OWNED SUBSIDIARY?**

   IF YES, STATE THE PARENT COMPANY'S NAME ____________________________

   ADDRESS ____________________________

   NOTE SPECIAL INSTRUCTIONS FOR CORPORATIONS IN THE "GUIDELINES FOR PREPARATION OF FINANCIAL STATEMENTS".

6. **IS THE CORPORATION PART OF A GROUP OF RELATED CORPORATIONS THAT DO BUSINESS WITH EACH OTHER, WHERE THE SAME INDIVIDUAL, PARTNERSHIP, OR CORPORATION OWNS A CONTROLLING INTEREST IN ALL THE ENTITIES?**

   - [ ] Yes
   - [ ] No

   IF YES, STATE WHO HAS CONTROLLING INTEREST ____________________________

   ADDRESS: ____________________________

   NOTE SPECIAL INSTRUCTIONS FOR CORPORATIONS IN THE "GUIDELINES FOR PREPARATION OF FINANCIAL STATEMENTS".

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Judith K. Moriarty (3/29/93) CODE OF STATE REGULATIONS 13
### Partnership Only:

1. **Do you have a written partnership agreement?**
   - Yes □
   - No □
   - If Yes, please submit a copy with this application.
   - If No, one must be written, signed by all partners and a witness, and a copy forwarded to our office as soon as possible.

2. **Are all fixed assets used by the partnership titled in the name of the partnership?**
   - Yes □
   - No □
   - If No, please explain:

3. **Does the partnership maintain a separate checking account?**
   - Yes □
   - No □
   - If Yes, who is authorized to sign checks for the partnership?

4. **Have any of the partners of this partnership been involved in activities which resulted in any losses to grain depositors or grain sellers in Missouri or any other state in the past ten (10) years?**
   - Yes □
   - No □
   - If Yes, please explain:

### Individual Proprietor Only:

1. **Are all fixed assets used in the proprietorship business titled in the proprietor's name only?**
   - Yes □
   - No □
   - If No, please explain:

2. **Are business records kept?**
   - Yes □
   - No □
   - If Yes, describe:

3. **Do you have a separate business checking account?**
   - Yes □
   - No □
   - If Yes, who is authorized to sign checks on this account?

4. **Have you or your spouse (if applicable) been involved in activities which resulted in any losses to grain depositors or grain sellers in Missouri or any other state in the past ten (10) years?**
   - Yes □
   - No □
   - If Yes, please explain:

### Applicant's Certification

This must be signed by the proprietor, one of the partners of a partnership, or by an officer of a corporation applicant.

**Date**

**State of**

**County of**

**Typed Name**

**Being first duly sworn, depose and say that I am the**

**Title of the Applicant - Business Name**

I that I am authorized on the part of said applicant to verify and file with the Missouri Department of Agriculture all information contained herein, that I have full knowledge of the matters sent forth herein, and that all of the same are true in substance and fact. I also state that the financial statements submitted with this application are true and correct to the best of my knowledge and belief.

**Signature**

**Notary Public Embossed Seal**

**State of**

**County (or City of St. Louis)**

**Subscribed and sworn before me this**

**Day of**

1

**Notary Public Signature**

**My Commission Expires**

**Notary Public Name (Typed or Printed)**

**NO 350-0831 (1/92)**
VEHICLE REGISTRATION INFORMATION FOR GRAIN DEALER LICENSE APPLICANTS

INSTRUCTIONS:
STRAIGHT TRUCKS (UNLESS THE LICENSED GROSS WEIGHT IS LESS THAN 24,000 LBS.), TANDEM TRUCKS, AND THE TRAILERS OF TRACTOR-TRAILER UNITS (EXCEPT BULK FEED DELIVERY TRUCKS USED PRINCIPALLY FOR HAULING FEED), ARE TO BE REGISTERED IF THEY WILL BE USED IN THE TRANSPORTATION OF GRAIN.

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ENCLOSE THE FOLLOWING:

REGISTRATION FEES - PAYABLE TO THE MISSOURI DEPARTMENT OF AGRICULTURE $5.00 PER UNIT = $

VEHICLE PLATE ORDER CHARGE - PAYABLE TO PRECISION ART $5.00 PER UNIT = $

NOTE: THE VEHICLE REGISTRATION FEES ARE IN ADDITION TO THE FILING AND LICENSING FEES LISTED ON THE INSTRUCTION PAGE, WHICH ARE ALL PAYABLE TO THE MISSOURI DEPARTMENT OF AGRICULTURE. THE VEHICLE PLATE CHARGE MUST BE ENCLOSED AS A SEPARATE CHECK PAYABLE TO PRECISION ART.

OFFICE USE ONLY: